Transforming Lives Through Supported Employment Program Short Title: Supported Employment Program Grant Funding Opportunity NOFO Number: SM-23-008

### Presented by:

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### OBJECTIVES

- 1. Key Information
- 2. Purpose of Program
- 3. Program Objectives
- 4. Eligibility
- 5. Eligibility for Tribes or Tribal Organizations
- 6. Required Activities
- 7. Allowable Activities
- 8. Data Collection and Measurement
- 9. Project Performance Assessment
- 10. Application Requirements



- Released on SAMHSA's Website on February 28<sup>th</sup>, 2023
- Applications due May 1<sup>st</sup>, 2023
- Anticipated Number of Awards Up to 6
- Anticipated Award Amount Up to \$800,000 per year
- Length of Project Up to 5 years
- Cost Sharing/Match Required? No



The purpose is to support state and community efforts to refine, implement, and sustain evidence-based supported employment programs and mutually compatible and supportive evidence-based practices (e.g., supported education) for adults with serious mental illness (SMI) or co-occurring mental and substance use disorders (COD).

The awards provide resources to help individuals with SMI or COD achieve competitive employment and build paths to self-sufficiency and recovery. SAMHSA expects that this program will increase state and community capacity to implement and sustain Supported Employment Program (SEP) models and integrated supports to improve competitive employment outcomes.



SAMHSA expects this program will improve **employment and educational** status of persons with mental illness and co-occurring mental illness and substance use disorders.

Furthermore, the program will improve the following behavioral health outcomes in its participants:

- Housing stability,
- Rates of hospitalization,
- Substance use, and
- Involvement with the criminal justice system.



# Eligibility

Eligibility is statutorily limited to:

- States and Territories, including the District of Columbia,
- Political subdivisions of States,
- Indian tribes, or tribal organizations (as such terms are defined in section 5304 of title 25),
- Health facilities, or programs operated by or in accordance with a contract or award with the Indian Health Service, or
- Other public or private non-profit entities.



# **Eligibility for Tribes or Tribal Organizations**

Tribes and Tribal Organizations are encouraged to apply:

- At least one award will be made to tribes/tribal organizations pending adequate application volume.
- Any legally established organization of AI/ANs which is controlled, sanctioned, or chartered by such governing body, or which is democratically elected by the adult members of the Indian community to be served by such organization, and which includes the maximum participation of AI/ANs in all phases of its activities.
- Consortia of tribes or tribal organizations are eligible to apply, but each participating entity must indicate its approval. A single tribe in the consortium must be the legal applicant, the recipient of the award, and the entity legally responsible for satisfying the grant requirements.



# **Required Activities**

### Section 3, Required Activities

- Identify and implement an evidence-based Supported Employment model (e.g., Individual Placement and Support) that is responsive to the selected population(s) of focus and adheres to practice fidelity or standards.
- Conduct supported employment fidelity assessments no later than 90 days after service delivery begins and annually thereafter. Every fidelity assessment must include a plan to increase/improve adherence to the selected supported employment model.
- Provide specialized and individualized support to individuals with SMI and COD to choose, acquire, and maintain competitive employment.



- Provide comprehensive treatment and recovery support services (e.g., psychiatric services including psychotropic medication, psychotherapeutic interventions, as indicated, inclusive of individual, group, family therapy(ies), case management, physical healthcare, social services supports (including housing, and assistance accessing state/federal benefits) for SMI and/or COD in conjunction with vocational services.
- Develop and implement an employer engagement strategy (i.e., job development strategy) that increases the program's capacity to: (1) respond to the unique vocational interests of each person served; (2) develop and nurture relationships with appropriate employers in the community; (3) respond to changes in the local labor market; and (4) work with employers to develop tenure and long-term opportunities for persons hired. This strategy must be completed within 6 months of the project period and be updated as needed.



- Provide program outreach and accessibility to services for under resourced populations to ensure that individuals and families can access supported employment services when and where they need them, and in a way that is responsive to their needs and preferences.
- Provide training on behavioral health implementation for the national Culturally and Linguistically Appropriate Services (CLAS) standards to all program staff.
- Develop and implement a strategy to respond to the unique needs of individuals who are unsuccessful in finding employment (i.e., reassess, reengage, and adjust services to all individuals who do not find employment after 120 days). This strategy must be completed within 6 months of the project period and be updated as needed.



10

- Develop and implement a strategy for long-term employment stability (e.g., strengthening natural supports for individuals who have worked for more than 90 days). This strategy must be completed within 6 months of the project period and be updated as needed.
- Develop a sustainability plan that identifies mechanisms for sustaining activities funded by this grant and delineates steps necessary for exercising those mechanisms. This can be done in partnership with entities including the state Medicaid Authority, Department of Disability Services, etc.
- Assess for housing status and collaborate with homeless and housing service providers to link to the local HUD Coordinated Entry housing system. This is to assure that persons who are homeless and of risk of homelessness receive optimal SE services and housing supports.



- Translate tools and resources available to recipients of services (see CLAS Standards 5-8).
- Provide, increase, or enhance access to services for people of all racial/ethnic/marginalized groups in the community.
- Create conflict and grievance resolutions processes that are culturally and linguistically appropriate (CLAS standard 14).



12

Allowable activities are an allowable use of funds but are not required. Allowable activities include:

- Implement supported education services for individuals who are enrolled in an educational/academic program at admission to the SEP program and/or who has an educational goal. This service must adhere to a supported education evidence-based model or practice.
- Employ a certified Peer Support Specialist (or comparable statecertified professional) to assist individuals who struggle in transition to work or may have interpersonal concerns in a new job.



### Allowable Activities, continued

- Provide supported employment services within the structure of another program (e.g., a Certified Community Behavioral Health Clinic, Supportive Housing program).
- Participate with supported employment organizations to obtain technical assistance to implement and sustain evidence-based supported employment and adhere to fidelity.



All SAMHSA recipients are required to collect and report certain data so that SAMHSA can meet its obligations under the Government Performance and Results (GPRA) Modernization Act of 2010. You must document your plan for data collection and reporting in your Project Narrative in response to Section E: Data Collection and Performance Measurement in Section V of this NOFO.

Award recipients are required to submit data into SAMHSA's Performance Accountability and Reporting System (SPARS). SPARS access and training and technical assistance will be provided upon award.

Supported Employment recipients are required to report performance on National Outcomes Measures (NOMS) and Infrastructure Development, Prevention, and Mental Health Promotion (IPP) Indicators.



### Data Collection/Performance Measurement Continued

Recipients will collect data utilizing the NOMs Client-Level Measures Outcomes data collection tool. These data must be collected at baseline (i.e., the client's entry into the project), six months post baseline, and discharge on the following:

- Behavioral Health Diagnosis(es)
- Demographic data
- Functioning in everyday life
- Stability in Housing
- Education and Employment
- Criminal and Criminal Justice Status
- Perception of Care
- Social Connectedness



16

### Data Collection/Performance Measurement Continued

In addition, recipients are required to collect and report data quarterly in SPARS on the following Infrastructure Development, Prevention, and Mental Health Promotion (IPP) indicators:

- The number of individuals trained in diversity, equity, and inclusion as a result of the award.
- Number of individuals referred to mental health or related services.
- Number and percentage of individuals receiving mental health or related services after referral.
- Number of individuals contacted through program outreach efforts.



In addition, recipients are required to report on their progress addressing the goals and objectives identified in your Project Narrative. Recipients must periodically review the performance data they report to SAMHSA (as required above), assess their progress, and use this information to improve the management of their project. The project performance assessment should be designed to help you determine whether you are achieving the goals, objectives, and outcomes you intend to achieve and whether adjustments need to be made to your project.



18

Award recipients may be required to collect and report additional employment and employment retention data on individuals served by this award and include aggregated data in the annual project performance assessment. Your assigned Government Project Officer (GPO) will inform you of this requirement if applicable. Budget revisions may be permitted.

Performance assessments should be used to determine whether your project is having/will have the intended impact on behavioral health disparities. Recipients should also review the behavioral health Disparities Impact Statement (DIS) submitted within the first two months of the award. See Section VI.3 for information on required progress reports.



19

### **Application Requirements**

### Section 3, Eligibility Requirements, subsection 3 Other requirements

- The Project Narrative must not exceed **10 pages**. If the Project Narrative is over 10 pages, the application will not be considered for review.
- Evidence of Experience and Credentials
  - SAMHSA believes that only existing, experienced, and appropriately credentialed organizations with demonstrated infrastructure and expertise will be able to provide the required services quickly and effectively.
  - Applicants are encouraged to include appropriately credentialed organizations that provide services to underserved, diverse populations.
  - All Required Activities must be provided by applicants directly, by subrecipients, or through referrals to applicant partner agencies.
  - Applicants must submit evidence under Attachment 1 of their application meeting three additional requirements related to the provision of services.



### Application Requirements, continued

- A provider organization for direct client behavioral health (which includes both mental health and substance use) services appropriate to the award must be involved in the proposed project. The provider may be the applicant or another organization committed to the project. More than one provider organization may be involved.
- Each mental health provider organization must have at least two years of experience (as of the due date of the application) providing relevant services (official documents must establish that the organization has provided relevant services for the last two years).
- Each mental health provider organization must comply with all applicable local (city, county) and state licensing, accreditation, and certification requirements, as of the due date of the application.



- SECTION A: Population of Focus and Statement of Need (**15 points** approximately 1 page)
- SECTION B: Proposed Implementation Approach (**30 points** approximately 5 pages not including Attachment 4 Project Timeline)
- SECTION C: Proposed Evidence-Based Service/Practice (**25 points** approximately 2 pages)
- SECTION D: Staff and Organizational Experience (**20 points** approximately 1 page)
- SECTION E: Data Collection and Performance Measurement (**10 points** approximately 1 page)



**Grants Management Overview** 

Division of Grants Management Substance Abuse and Mental Health Services Administration U.S. Department of Health and Human Services

**Presented by: Ernest Stevens, GMS** 



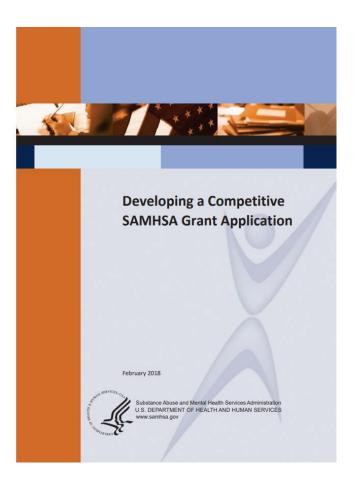
23

### OBJECTIVES

- 1. Applying for a Grant Available Resources
- 2. Applicable Policies & Regulations
- 3. Factors Affecting Allowability of Costs
- 4. Budget Narrative & Justification
- 5. Sample Budget Template
- 6. SF-424A
- 7. Key Personnel
- 8. Indirect Cost Rate
- 9. Funding Limitations/Restrictions
- 10. Questions/Technical Support



### **APPLYING FOR A GRANT - AVAILABLE RESOURCES**



- A manual is available for applicants: <u>Developing a Competitive</u> <u>SAMHSA Grant Application (PDF | 1 MB)</u>.
- This manual will provide applicants with valuable information about how to prepare a strong grant application.
- Additional information can be found on: <u>https://www.samhsa.gov/grants/grants-</u> <u>training-materials</u>



### APPLYING FOR A NEW SAMHSA GRANT - AVAILABLE RESOURCES (CONT'D.)

#### Introduction to Grants.gov Video Series

The Introduction to Grants.gov Video Series covers the complete Grants.gov application process, from registering and creating a Grants.gov account to finding funding opportunities and completing an application package



#### How to Register with Grants.gov Updated on March 21, 2020

earn how to create a Grants.gov account so you can subscribe to funding opportunity notifications and apply for federal grants using Grants.gov Workspace. This video also explains how to associate a DUNS number with an applicant account. (Note: This is an optional step)



#### Intro to Grants.gov User Roles

Updated on April 20, 2018

roles within the Grants.gov system and how these roles impact the application process

#### How to Search for a Federal Grant on Grants.gov

Learn about Grants.gov's powerful search engine, which allows users to find and apply for federal grants in a variety of ways. This video also shows how registered users can subscribe to opportunity notifications generated from saved search criteria

#### What's in a Grant Opportunity on Grants.gov?

mation that is included with every posting of a federal grant opportunity on Grants.gov



#### Intro to Applying on Grants.gov

earn how easy it is to apply for a federal grant using Grants.gov Workspace. This video serves as an introduction to the apply process.

- Learn more about registering, searching, and applying for federal grant opportunities.
- **Recording of the SAMHSA Applicant Webinar** • (39 minutes)
- NOFO Applicant Webinar Presentation (PDF | ullet7 MB)
- **Grants.gov Video Series** .
- For more information refer to: • https://www.samhsa.gov/grants/applying
- Note: Effective April 4, 2022, the Data Universal Numbering System (DUNS) Number will be replaced by a Unique Entity Identifier (UEI) assigned by the System for Award Management (SAM.gov). For more information, please refer to <u>https://sam.gov/content/duns-uei</u>.



### **APPLICABLE POLICIES & REGULATIONS**

- 45 CFR Part 75 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards - <u>https://www.ecfr.gov/current/title-45/subtitle-</u> <u>A/subchapter-A/part-75</u>
- HHS Grants Policy Statement The HHS Grants Policy Statement document contains important information on the general terms and conditions for discretionary grants and cooperative agreement awards -<u>https://www.hhs.gov/sites/default/files/grants/grants/policies-</u> regulations/bbcgps107.pdf

regulations/hhsgps107.pdf

- Financial Management Requirements the financial management control areas provide recipients with guidance for ensuring their existing accounting and personnel policies and procedures (P&P) include the necessary controls. *The guidance is also used by SAMHSA to complete Financial Capability Reviews (FCRs) of new and prospective grantees.* Learn more about grantee financial management requirements.
- The Notice of Funding Opportunity (NOFO)



### APPLICABLE POLICIES & REGULATIONS (CONT'D.)

### • 45 CFR PART 75—UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES, AND AUDIT REQUIREMENTS FOR HHS AWARDS

Recipient Type	Uniform Admin Requirements	Cost Principles	Audit Requirements
State & Local	45 CFR Part 75,	45 CFR Part 75,	45 CFR Part 75,
Governments	Subpart C and D	Subpart E	Subpart F
College &	45 CFR Part 75,	45 CFR Part 75,	
Universities	Subpart C and D	Subpart E	
Non-Profits		45 CFR Part 75, Subpart E	



Proposed budgets must contain allowable, reasonable, and allocable costs, as defined under <u>45 CFR 75.403</u>, <u>75.404</u>, and <u>75.405</u>.

- Allowable costs, unless otherwise authorized by program statute are necessary and reasonable for award performance and allowable under the cost principles.
- **Reasonable** costs are not in excess of what would normally be incurred by a prudent person under the circumstances prevailing at the time the decision was made, given market rates, effort and the organization's documented policies.
- Allocable costs can be charged to a federal award if the goods or services are chargeable in accordance with relative benefits received.



### SAMPLE BUDGET TEMPLATE

In an effort to reduce errors and expedite the review of your budget, it is highly recommended you use the SAMHSA Budget Template to complete the Detailed Budget and Narrative Justification required for submission with your application.

- Over the years, numerous recipients requested a template to present budget information. We heard you!!
- The budget template was created with extensive recipient consultation and input and designed to avoid all the common budget preparation pitfalls.
- The SAMHSA Budget Template includes a wealth of helpful tooltips and resources to assist and guide you with preparation of your budget.
- The budget template is available at: <u>https://www.samhsa.gov/grants/applying/forms-resources</u>

**Note:** For SAMHSA to view all your budget data, you must flatten/convert the PDF to a non-editable format by **PRINTING TO PDF** before submission.

The following resources provide guidance on use of the budget template:

- Key Features of the Budget Template
- Budget Template Users Guide
- <u>Budget Review Checklist</u> (For review of your Detailed Budget before submission)



•All applications must include a detailed budget and narrative justification that explains the federal expenditures.

•The detailed budget and narrative justification must be consistent with and support the Project Narrative.

•The Budget Narrative and justification must be concrete and specific, providing justification for the basis of each proposed cost in the budget and how that cost was calculated.



•Detailed Breakdowns must be provided of the materials, quantities, number of persons, cost per unit/hour, number of hours/levels of effort, or other relevant basis to show how costs will be utilized towards achieving the grant's goals and objectives. This is to facilitate the determination of whether the proposed costs are allowable, reasonable, and allocable.

•The total for each budget category in your detailed budget with narrative justification must match the corresponding total of each Object Class Category on your SF-424A in Section B Budget Categories.

•Your detailed budget with narrative justification should reflect the project costs for the first year ONLY. In your budget summary table, you will show the amounts requested for future years and justify/explain any change in amounts requested for future years from what was requested in year 1.

•An illustration of a budget and narrative justification is included in <u>Appendix L</u> of the NOFO.



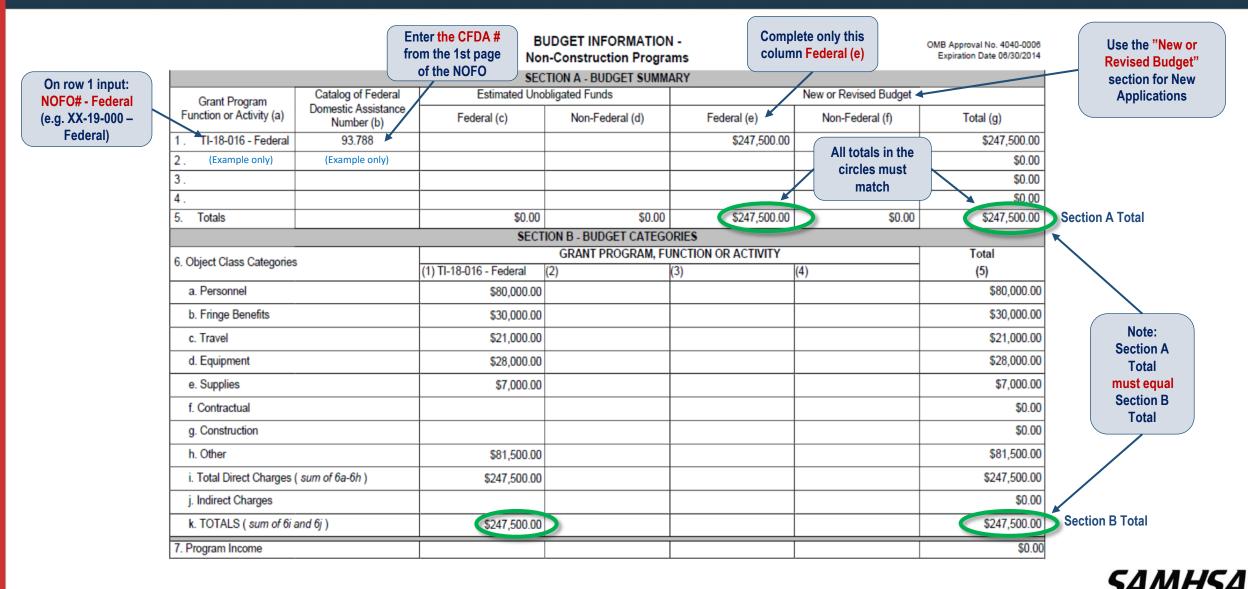
### AVOIDING COMMON ISSUES WITH THE SF-424A

- "SECTION D FORECASTED CASH NEEDS" column "Total for 1st year" line "15. TOTAL" MUST EQUAL "SECTION A - BUDGET SUMMARY" subsection "New or Revised Budget" column "Total (g)" line "5. Totals" amount.
- "SECTION B BUDGET CATEGORIES" column "Total (5)" line "K. TOTALS" MUST EQUAL "SECTION A -BUDGET SUMMARY" subsection "New or Revised Budget" column "Total (g)" line "5. Totals" amount.
- The number of years indicated in "SECTION E BUDGET ESTIMATES OF FEDERAL FUNDS...." subsection "FUTURE FUNDING PERIODS (YEARS)" MUST correlate with the number of years based on the "Start Date" and "End Date" in section "17. Proposed Project" on the SF 424. Enter data for the first budget period in Section D and enter future budget periods in Section E. Please refer to the Notice of Funding Opportunity (NOFO) for additional guidance.



Section	Direction for Completion	
Section A – Budget Summary	On Row 1 input: "NOFO#-Federal" (e.g. XX-19-000-Federal)	
	Under Catalog of Federal Domestic Assistance Number (b), Enter the CFDA# from the 1 <sup>st</sup> page of the NOFO	
	Use the "New or Revised Budget" section for New Applications	
	Complete only Column "Federal (e)" under the "New or Revised Budget" Header	
	Totals in Section A: Column Total for Federal (e) and Total (g) must match	
Section B – Budget Categories	Section A totals [Federal (e) and Total (g) must match Section B total.	





Substance Abuse and Mental Health Services Administration

Section	Direction for Completion		
Section C – Non-Federal Resources	Section C is not applicable (match not required)		
Section D - Forecasted Cash Needs	Section D is for the 1 <sup>st</sup> Federal year of funding only (match not required). Show fundation allocation PER Quarter		
	All totals for Row 13 (Federal) and Row 15 [Total (sum of lines 13 and 14)] should match (this should also match sections A, B & D).		
	Section D – Forecasted Cash Needs. Federal (line 13) amount must equal Section A Budget Summary and Section B Budget categories federal funding. Ensure that Section D "Total" amount = Section A and Section B Totals.		
Section E - Budget Estimates of Federal Funds Needed For Balance of the Project	Section E is for the future budget period (year 2)		
	Federal funds needed for 2nds budget period in column (b)First		
	Federal funds needed for 3 <sup>rd</sup> budget period (c) Second		
	Federal funds needed for 4 <sup>th</sup> budget period (d) Third		
	Federal funds needed for 5 <sup>th</sup> budget period (e) Fourth		



				Section C is not		
				applicable		
SECTION C - NON-FEDERAL RESOURCES (match not required)						
(a) Grant Program		(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8. TI-18-016 - Federal (Example only)					\$0.00	
9.					\$0.00	
10.					\$0.00	
11.	All totals in the circles should match				\$0.00	Section D is for
12. TOTAL (sum of lines 8-11)	(sections A, B, D)	* \$0.00	\$0.00	\$0.00	\$0.00	the 1 <sup>st</sup> federal year of funding
		SECTION D - FOREC	ASTED CASH NEEDS 🛛 🛶			only
13. Federal	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	(match
10. T Gudrar	\$247,500.00	\$61,875.00	\$61,875.00	\$61,875.00	\$61,875.00	not required).
14. Non-Federal	\$0.00					
15. TOTAL ( sum of lines 13 and 14 )	\$247,500.00	\$61,875.00	\$61,875.00	\$61,875.00	\$61,875.00	Show funds allocation
	SECTION E - BUDGE	T ESTIMATES OF FEDERAL F	UNDS NEEDED FOR BALANC	E OF THE PROJECT		per quarter.
(a) Grant Program		FUTURE FUNDING PERIODS (Years)				
		(b) First	(c) Second	(d) Third	(e) Fourth	
16 . TI-18-016 - Federal (Example only)		\$247,500.00	\$247,500.00	\$247,500.00	\$247,500.00	
17.	Section E is for the	Federal funds	Federal funds	Federal funds	Federal funds	
18 .	future budget period	needed for 2nd	needed for <u>3rd</u>	needed for <u>4<sup>th</sup></u>	needed for <u>5th</u>	
19.	(year 2)	budget period	budget period	budget period	budget period	
20. TOTAL ( sum of lines 16-19 ) \$247,500.00		\$247,500.00	\$247,500.00	\$247,500.00	\$247,500.00	
SECTION F - OTHER BUDGET INFORMATION						
21. Direct Charges: 22. Indirect Charges:						
23. Remarks:		Section F is optional				
				Standard	d Form 424A (rev. 7-97) Page2	

\* Section D – Forecasted Cash Needs, Federal (line 13) amount must equal Section A Budget Summary and Section B Budget Categories federal funding. Ensure that Section D "TOTAL" amount = Section A and Section B TOTALS.



### **KEY PERSONNEL**

# The Key Personnel for this program will be the Project Director with a minimum 0.5 FTE level of effort.

- List the positions within the detailed budget (even if funded in-kind or with matching contributions).
  - a) Provide the PD resumes and job/position descriptions.
- 1. List the Project Director (PD) or Authorized Official Representative (AOR) to be designated as "Contact" in section 8f and reflect their commons ID in Field #4 of the SF-424. Either the PD or the AOR can be designated as "Contact" in eRA to take actions and receive notifications <u>but not both</u>.
- 2. If the PD position is being filled by a contractor/consultant, you must provide a copy of the formal written agreement tor that specifies the official relationship and addresses performance of all the required duties and responsibilities.



### INDIRECT COST RATE AGREEMENT OR COST ALLOCATION PLAN

- Ensure that you submit your organization's current negotiated Indirect Cost (IDC) rate agreement or cost allocation plan to support the charge of indirect costs.
- If your organization is opting to use 10% of Modified Total Direct Costs (MTDC), then a clear statement must be made in your IDC narrative as follows: "XYZ Organization elects to use the de minimis rate of 10 percent of modified total direct costs (MTDC)".
- Ensure that you accurately calculate the MTDC base to which your IDC rate is applicable.
- Include calculations to show how you arrived at your IDC base and IDC total.



### FUNDING LIMITATIONS/RESTRICTIONS

Refer to the program specific Funding Restrictions/Limitations in section IV and the Standard Funding Restrictions in Appendix I of NOFO, as well as to <u>45 CFR Part 75</u>, for applicable administrative requirements and cost principles.

### The funding restrictions for this project are as follows:

 No more than 20 percent (20%) of the total grant award for the budget period may be used for data collection, performance measurement, and performance assessment, including incentives for participating in any required data collection follow-up.

Your proposed budget must also adhere to the funding limitations/restrictions specified in Appendix I



### ANY QUESTIONS? CONSULT THE FOLLOWING:

### **Program/eligibility questions?**

Center for Mental Health Services, Division of Service and Systems Improvement, Homeless Programs Branch, SAMHSA (240) 276-1037 mogens.baerentzen@samhsa.hhs.gov

### **Fiscal/budget related questions?**

Office of Financial Resources, Division of Grants Management, SAMHSA (240) 276-1400 Email: <u>FOACMHS@samhsa.hhs.gov</u>

**Review process/application status questions?** Office of Financial Resources, Division of Grant Review, SAMHSA Email: <u>dgr.applications@samhsa.hhs.gov</u>

# Problems submitting your application on Grants.gov?

Contact the Grants.gov Helpdesk: Email: <u>support@grants.gov</u> Phone: 1-800-518-4726 (1-800-518-GRANTS)

### eRA Commons Technical Questions?

Contact the eRA Service Desk <u>Web Support</u> <u>Submit a Web Ticket</u> (preferred method of contact) Toll-Free: 1-866-504-9552 Phone: 301-402-7469 (Press 4 for SAMHSA Grantees) Hours: Mon-Fri, 7 a.m. to 8 p.m. EST (closed on federal holidays)

