

OPEN UNRESOLVED RECOMMENDATIONS OLDER THAN 6 MONTHS AS OF SEPTEMBER 30, 2022

Consistent with our obligations under the *Inspector General Act of 1978*, as amended, this is a compilation of recommendations that are open and unresolved. The Department of Homeland Security Office of Inspector General made these recommendations to the Department in 22 reports issued between May 2015 and March 2022.

These recommendations remain open and unresolved until 1) DHS submits to DHS OIG a corrective action plan that addresses the findings and recommendations, including estimated completion dates, and 2) DHS OIG accepts the plan. DHS OIG typically closes recommendations once DHS completes the agreed upon corrective actions and DHS OIG verifies the actions were completed. DHS OIG may also close recommendations based on special circumstances.

We continue to work with DHS and component leadership to resolve these recommendations. As a result, since the beginning of fiscal year 2022, the number of recommendations open and unresolved longer than 6 months has decreased from 42 to 32.

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Report Number	Report Title	Issue Date	Rec Number	Recommendation Text	DHS Component	OIG Reason Code*
OIG-15-85	<u>DHS Missing Data Needed to Strengthen Its Immigration Enforcement Efforts</u>	5/4/2015	1	We recommend that the Department of Homeland Security Deputy Secretary require the Office of Policy to develop and implement a plan to collect, analyze, and report data on the Department's use of prosecutorial discretion to assess immigration enforcement activities and improve future policy. The plan should include steps taken to ensure the completeness and accuracy of the prosecutorial discretion data.	SEC, Deputy Secretary, Chief of Staff	B
OIG-18-04	<u>FAMS' Contribution to Aviation Transportation Security Is Questionable</u>	10/24/2017	2	Classified Report. Recommendation is SSI.	TSA	B
			3	Classified Report. Recommendation is SSI.	TSA	B
			4	Classified Report. Recommendation is SSI.	TSA	B
OIG-18-36	<u>ICE Faces Challenges to Screen Aliens Who May Be Known or Suspected Terrorists (REDACTED)</u>	1/5/2018	2	We recommend that the Assistant Director of Field Operations immediately correct the limitations and challenges identified with KSTEP by ensuring ERO offices have the infrastructure necessary to communicate on known or suspected terrorists.	ICE	B

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OIG-19-17	<u>FAMS' Contribution to International Flight Security Is Questionable</u>	9/19/2018	1	Classified Report. Recommendation is SSI.	TSA	C
			2	Classified Report. Recommendation is SSI.	TSA	C
OIG-19-23	<u>Border Patrol Needs a Staffing Model to Better Plan for Hiring More Agents</u>	2/28/2019	1	We recommend the Under Secretary for Management of DHS ensure CBP and Border Patrol expedite development and implementation of a workforce staffing model for U.S. Border Patrol as required by Congress to better inform staffing and resource deployment decisions.	CBP	B
OIG-19-57	<u>A Joint Review of Law Enforcement Cooperation on the Southwest Border between the Federal Bureau of Investigation and Homeland Security Investigations</u>	7/31/2019	5	To improve cooperation between FBI and HSI along the Southwest border, we recommend that FBI and HSI: Jointly develop a memorandum of understanding or similar written agreement governing Federal Bureau of Investigation and Homeland Security Investigations operations on overlapping criminal investigative areas.	ICE	A

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OIG-19-66	<u>FEMA Did Not Sufficiently Safeguard Use of Transportation Assistance Funds</u>	9/30/2019	1	We recommend the FEMA Assistant Administrator for Recovery strengthen FEMA's transportation assistance policies and procedures and coordinate with FEMA Assistant Administrator for Mission Support to: a) require the collection and retention of eligibility documentation or where applicable, document the steps taken to validate applicant statements in FEMA's system of record, and b) ensure future information technology updates support the collection, use, and retention of unique Vehicle Identification Numbers to enable FEMA to cross-reference national databases to confirm insurance coverage and identify applicants' second vehicles.	FEMA	A
			3	We recommend the FEMA Assistant Administrator for Recovery, in accordance with the Stafford and Improper Payments Acts; develop controls to identify payments not used for critical transportation needs.	FEMA	A
OIG-20-23	<u>FEMA Has Made More than \$3 Billion in Improper and Potentially Fraudulent Payments for Home Repair Assistance since 2003</u>	4/6/2020	1	We recommend the Federal Emergency Management Agency Administrator, due to the questioned costs exceeding \$3 billion, implement a process to collect documentation and verify eligibility for applicants claiming no homeowner's insurance prior to providing IHP home repair assistance payouts.	FEMA	C
			2	We recommend the Federal Emergency Management Agency Administrator include the IHP as susceptible to significant improper payments	FEMA	C

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				subject to annual improper payments estimation and reporting.		
OIG-20-52	<u>CBP Has Not Demonstrated Acquisition Capabilities Needed to Secure the Southern Border</u>	7/14/2020	1	We recommend the Under Secretary for Management of DHS require CBP to conduct an up-to-date independent Analysis of Alternatives to identify the most appropriate and effective solutions to obtain complete operational control of the southern border.	CBP, MGMT	A
OIG-20-55	<u>CBP Needs a Comprehensive Process for Conducting Covert Testing and Resolving Vulnerabilities (REDACTED)</u>	7/28/2020	1	We recommend that the Deputy Commissioner of CBP develop and implement policies to ensure CBP's covert testing groups: a. develop risk-based annual covert test plans and identify systemic tests; b. distribute test results throughout the organization; c. make recommendations; and d. implement and track corrective actions.	CBP	C
			5	We recommend that the Assistant Commissioner of Office of Intelligence and Border Patrol's Chief of Law Enforcement Operations Directorate direct covert testing entities to develop and implement both performance measures and standard operating procedures including: a. processes for determining data to be included in test reports, b. data quality monitoring, and c. supervisory review.	CBP	C

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OIG-20-59	<u>HSI Effectively Contributes to the FBI's Joint Terrorism Task Force, But Partnering Agreements Could Be Improved (REDACTED)</u>	8/13/2020	4	We recommend the Under Secretary, Office of Strategy, Policy, and Plans, in consultation with the Under Secretary of Management, coordinate with DOJ and State to develop an agreement that facilitates the direct sharing of passport information within the JTTF environment through State's formal MOU process.	ICE, MGMT, PLCY, TSA	A
			5	We recommend the Under Secretary of Management coordinate with the Information Sharing and Safeguarding Governance Board to ensure: - ICE and TSA develop an agreement for TSA to directly process Secure Flight data requested for use by JTTF or HSI JTTF agents investigating terrorism activities or performing task force specific missions, and - DHS JTTF contributors mitigate data restrictions or delays pertaining to law enforcement and intelligence investigations.	ICE, MGMT, PLCY, TSA	A
	<u>FEMA Has Paid Billions in Improper Payments for SBA Dependent Other</u>		1	We recommend the FEMA Administrator, due to the more than \$3.3 billion in questioned costs throughout a 16-year period, implement a process to collect income and dependent documentation on SBA Dependent ONA applicants to ensure payments are proper.	FEMA	C

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OIG-20-60	<u>Needs Assistance since 2003</u>	8/12/2020	2	We recommend the FEMA Administrator, due to the questioned costs in recommendation 1, implement a verification process to ensure accuracy of income and dependent information provided by SBA Dependent ONA applicants.	FEMA	C
			3	We recommend the FEMA Administrator include SBA Dependent ONA as susceptible to significant improper payments subject to annual improper payments estimation and reporting.	FEMA	C
OIG-20-66	<u>DHS Inconsistently Implemented Administrative Forfeiture Authorities Under CAFRA</u>	8/27/2020	1	We recommend the Under Secretary of Management develop and implement a Department-wide structure and designate an office to manage and oversee forfeiture activities across DHS, including: - reviewing component policies and procedures to ensure alignment with CAFRA; - developing performance objectives, measures, and plans; and - periodically requesting and reviewing component administrative forfeiture data for alignment with departmental and component goals.	CBP, DHS, ICE, Coast Guard, Secret Service	A
OIG-20-77	<u>Evaluation of DHS' Information Security Program for Fiscal Year 2019</u>	9/30/2020	2	Recommendation contains redactions.	MGMT	C

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OIG-21-02	<u>CBP Has Taken Steps to Limit Processing of Undocumented Aliens at Ports of Entry</u>	10/27/2020	1	We recommend the CBP Acting Commissioner resume processing undocumented aliens at the seven ports of entry currently redirecting them to other ports, or formally redesignate the ports to exclude undocumented aliens.	CBP	B
OIG-21-17	<u>DHS Grants and Contracts Awarded through Other Than Full and Open Competition, FYs 2018 and 2019</u>	2/1/2021	1	We recommend the DHS Chief Procurement Officer improve its written procedures to ensure only those contracts awarded through other than full and open competition are included in the required report.	MGMT	C
OIG-21-30	<u>Violations of Detention Standards Amidst COVID-19 Outbreak at La Palma Correctional Center in Eloy, AZ</u>	3/30/2021	1	We recommend the Executive Associate Director of Enforcement and Removal Operations direct the Phoenix Enforcement and Removal Field Office responsible for LPCC to ensure compliance and remedial action is taken to address LPCC's use of force incidents and allegations of detainee mistreatment by staff when warranted.	ICE	B
OIG-21-43	<u>FEMA Has Not Prioritized Compliance with the Disaster Mitigation Act of 2000, Hindering Its Ability to Reduce Repetitive Damages to Roads and Bridges</u>	7/6/2021	1	We recommend the Federal Emergency Management Agency Administrator address unresolved implementation issues, publish proposed regulations for notice and comment within 1 year, and issue final regulations within 3 years of issuance of this report for implementation of the Disaster Mitigation Act of 2000, 42 U.S.C. § 5172(b)(2).	FEMA	B

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OIG-21-66	<u>DHS Did Not Fully Comply with Requirements in the Transportation Security Card Program Assessment</u>	9/22/2021	1	We recommend the Secretary of Homeland Security, in consultation with TSA and the Coast Guard, re-evaluate the HSOAC assessment, and report on further corrective actions necessary to address the four areas of concern from the assessment findings, as identified in this report, or justify excluding these areas of concern from the corrective action plan.	Coast Guard, TSA	A
OIG-22-04	<u>DHS Continues to Make Progress Meeting DATA Act Requirements, but Challenges Remain</u>	11/4/2021	3	We recommend DHS' Acting Chief Financial Officer develop and apply solutions to improve the implementation and use of the government-wide financial data standards for procurement and financial assistance data elements that had an accuracy error rate of 10 percent or more.	OCFO	C
OIG-22-10	<u>USCIS' U Visa Program Is Not Managed Effectively and Is Susceptible to Fraud (REDACTED)</u>	1/6/2022	1	We recommend the Chief, Office of Policy and Strategy implement additional controls that mitigate risks of fraudulent Supplement B forms, such as requiring certifying officials to submit forms directly to USCIS.	USCIS	A
			3	We recommend the Associate Director, Service Center Operations Directorate, develop a plan to track the outcome of U visa-related fraud referrals and take steps to further mitigate fraud risks.	USCIS	A

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OIG-22-31	<u>Management Alert - Immediate Removal of All Detainees from the Torrance County Detention Facility</u>	3/16/2022	1	We recommend that the Acting Director of ICE immediately relocate all detainees from Torrance County Detention Facility and place no detainees there unless and until the facility ensures adequate staffing and appropriate living conditions.	ICE	A
Totals	22 reports		32 recs			

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