

U S Army Corps of Engineers Sacramento District

2021 Nationwide **Permit Summary**

33 CFR Part 330; Issuance of Nationwide Permits – February 25, 2022

35. Maintenance Dredging of Existing Basins. The removal of accumulated sediment for maintenance of existing marina basins, access channels to marinas or boat slips, and boat slips to previously authorized depths or controlling depths for ingress/egress, whichever is less. All dredged material must be deposited and retained in an area that has no waters of the United States unless otherwise specifically approved by the district engineer under separate authorization. Proper sediment controls must be used for the disposal site. (Authority: Section 10).

A. Regional Conditions

- **Regional Conditions for California**
- Regional Conditions for Nevada and Utah

B. Nationwide Permit General Conditions

Note: To qualify for NWP authorization, the prospective permittee must comply with the following general conditions, as applicable, in addition to any regional or case-specific conditions imposed on an NWP. Prospective permittees should also contact Clean Water Act Section 401 water quality certification and/or Coastal Zone Management Act consistency for an NWP. Every person who may wish to obtain permit authorization under one prior permit authorization under one or more NWPs, has been

imposed by the division engineer or district engineer. Prospective permittees should contact the appropriate Corps district office to determine if regional conditions have been the appropriate Corps district of fice to determine the status of or more NWPs, or who is currently relying on an existing or and is on notice that all of the provisions of 33 CFR 330.1 through 330.6 apply to every NWP authorization. Note especially 33 CFR 330.5 relating to the modification, suspension, or revocation of any NWP authorization. 1. Navigation. ☐ (a) No activity may cause more than a minimal adverse effect on navigation. (b) Any safety lights and signals prescribed by the U.S. Coast Guard, through regulations or otherwise, must be installed and maintained at the permittee's expense on

authorized facilities in na vigable waters of the United States.

- (c) The permittee understands and a grees that, if future operations by the United States require the removal, relocation, or other alteration, of the structure or work herein authorized, or if, in the opinion of the Secretary of the Army or his or her authorized representative, said structure or work shall cause unrea sonable obstruction to the free navigation of the na vigable waters, the permittee will be required, upon due notice from the Corps of Engineers, to remove, relocate, or alter the structural work or obstructions caused thereby. without expense to the United States. No claim shall be made against the United States on account of any such removal or alteration.
- 2. **Aquatic Life Movements**. No activity may substantially disrupt the necessary life cycle movements of those species of a quatic life indigenous to the waterbody, including those species that normally migrate through the area, unless the activity's primary purpose is to impound water. All permanent and temporary crossings of waterbodies shall be suitably culverted, bridged, or otherwise designed and constructed to maintain low flows to sustain the movement of those a quatic species. If a bottomless culvert cannot be used, then the crossing should be designed and constructed to minimize adverse effects to aquatic life movements.
- 3. **Spawning Areas**. Activities in spawning areas during spa wning seasons must be avoided to the maximum extent practicable. Activities that result in the physical destruction (e.g., through excavation, fill, or downstream smothering by substantial turbidity) of an important spawning area are not authorized.
- 4. Migratory Bird Breeding Areas. Activities in waters of the United States that serve as breeding areas for migratory birds must be avoided to the maximum extent practicable.
- □ 5. **Shellfish Beds**. No activity may occur in areas of concentrated shellfish populations, unless the activity is directly related to a shellfish harvesting activity authorized by NWPs 4 and 48, or is a shellfish seeding or habitat restoration activity authorized by NWP 27.
- ☐ 6. **Suitable Material**. No activity may use unsuitable material (e.g., trash, debris, car bodies, a sphalt, etc.). Material used for construction or discharged must be free from toxic pollutants in toxic amounts (see section 307 of the Clean Water Act).
- □ 7. Water Supply Intakes. No activity may occur in the proximity of a public water supply intake, except where the activity is for the repair or improvement of public water supply intake structures or adjacent bank stabilization.

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□ 8. Adverse Effects From Impoundments. If the activity creates an impoundment of water, adverse effects to the aquatic system due to accelerating the passage of water, and/or restricting its flow must be minimized to the maximum extent practicable. □ 9. Management of Water Flows. To the maximum extent practicable, the pre-construction course, condition, capacity, and location of open waters must be maintained for each activity, including stream channelization, storm water management activities, and temporary and permanent road crossings, except as provided below. The activity must be constructed to withstand expected high flows. The activity must not restrict or impede the passage of normal or high flows, unless the primary purpose of the activity is to impound water or manage high flows. The activity may alter the pre-construction course, condition, capacity, and location of open waters if it benefits the aquatic environment (e.g., stream restoration or relocation activities). □ 10. Fills Within 100-Year Floodplains. The activity must comply with applicable FEMA-approved state or local	□ (b) If a proposed NWP activity will occur in a component of the National Wild and Scenic River System, or in a river officially designated by Congress as a "study river" for possible inclusion in the system while the river is in an official study status, the permittee must submit a preconstruction notification (see general condition 32). The district engineer will coordinate the PCN with the Federal agency with direct management responsibility for that river. Permittees shall not begin the NWP activity until notified by the district engineer that the Federal agency with direct management responsibility for that river has determined in writing that the proposed NWP activity will not adversely affect the Wild and Scenic River designation or study status. □ (c) Information on Wild and Scenic Rivers may be obtained from the appropriate Federal land management agency responsible for the designated Wild and Scenic River or study river (e.g., National Park Service, U.S. Forest Service, Bureau of Land Management, U.S. Fish and Wildlife Service). Information on these rivers is also
floodplain management requirements. 11. Equipment. Heavy equipment working in wetlands or mudflats must be placed on mats, or other measures must be	available at: https://www.rivers.gov/ . 17. Tribal Rights . No activity or its operation may impair reserved tribal rights, including, but not limited to, reserved water rights and treaty fishing and hunting rights.
a 12. Soil Erosion and Sediment Controls. Appropriate soil erosion and sediment controls must be used and maintained in effective operating condition during construction, and all exposed soil and other fills, as well as any work below the ordinary high water mark or high tide line, must be permanently stabilized at the earliest practicable date. Permittees are encouraged to perform work within waters of the United States during periods of low-flow or no-flow, or during low tides. □ 13. Removal of Temporary Fills. Temporary structures must be removed, to the maximum extent practicable, a fter their use has been discontinued. Temporary fills must be removed in their entirety and the affected areas returned to pre-construction elevations. The affected areas must be revegetated, as appropriate. □ 14. Proper Maintenance. Any authorized structure or fill shall be properly maintained, including maintenance to ensure public safety and compliance with applicable NWP general conditions, as well as any activity-specific conditions added by the district engineer to an NWP authorization.	□ 18. Endangered Species. □ (a) No activity is authorized under any NWP which is likely to directly or indirectly jeopardize the continued existence of a threatened or endangered species or a species proposed for such designation, as identified under the Federal Endangered Species Act (ESA), or which will directly or indirectly destroy or adversely modify designated critical habitat or critical habitat proposed for such designation. No activity is a uthorized under any NWP which "may affect" a listed species or critical habitat, unless ESA section 7 consultation addressing the consequences of the proposed activity on listed species or critical habitat has been completed. See 50 CFR 402.02 for the definition of "effects of the action" for the purposes of ESA section 7 consultation, as well as 50 CFR 402.17, which provides further explanation under ESA section 7 regarding "activities that are reasonably certain to occur" and "consequences caused by the proposed action."
□ 15. Single and Complete Project. The activity must be a single and complete project. The same NWP cannot be used more than once for the same single and complete project. □ 16. Wild and Scenic Rivers. □ (a) No NWP activity may occur in a component of the National Wild and Scenic River System, or in a river officially designated by Congress as a "study river" for possible inclusion in the system while the river is in an official study status, unless the appropriate Federal a gency with direct management responsibility for such river, has determined in writing that the proposed activity will not adversely affect the Wild and Scenic River designation or study status.	□ (b) Federal a gencies should follow their own procedures for complying with the requirements of the ESA (see 33 CFR 330.4(f)(1)). If pre-construction notification is required for the proposed a ctivity, the Federal permittee must provide the district engineer with the appropriate documentation to demonstrate compliance with those requirements. The district engineer will verify that the appropriate documentation has been submitted. If the appropriate documentation has not been submitted, additional ESA section 7 consultation may be necessary for the activity and the respective federal a gency would be responsible for fulfilling its obligation under section 7 of the ESA. □ (c) Non-federal permittees must submit a preconstruction notification to the district engineer if any listed species (or species proposed for listing) or

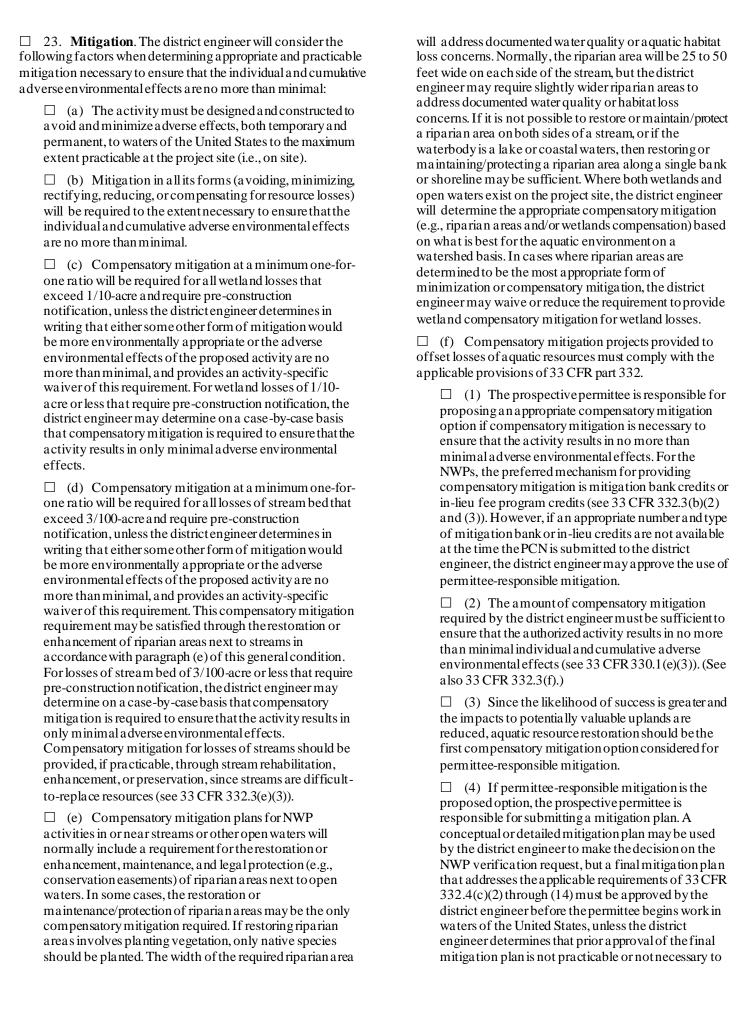
designated critical habitat (or critical habitat proposed of that ESA section 10(a)(1)(B) permit with the PCN such designation) might be affected or is in the vicinity of required by paragraph (c) of this general condition. The the activity, or if the activity is located in designated district engineer will coordinate with the agency that critical habitat or critical habitat proposed for such issued the ESA section 10(a)(1)(B) permit to determine designation, and shall not begin work on the activity until whether the proposed NWP activity and the associated notified by the district engineer that the requirements of incidental take were considered in the internal ESA the ESA have been satisfied and that the activity is section 7 consultation conducted for the ESA section authorized. For activities that might affect Federally-listed 10(a)(1)(B) permit. If that coordination results in concurrence from the agency that the proposed NWP endangered or threatened species (or species proposed for activity and the associated incidental take were listing) or designated critical habitat (or critical habitat proposed for such designation), the pre-construction considered in the internal ESA section 7 consultation for notification must include the name(s) of the endangered the ESA section 10(a)(1)(B) permit, the district engineer or threatened species (or species proposed for listing) that does not need to conduct a separate ESA section 7 might be affected by the proposed activity or that utilize consultation for the proposed NWP activity. The district the designated critical habitat (or critical habitat proposed engineer will notify the non-federal applicant within 45 for such designation) that might be affected by the days of receipt of a complete pre-construction notification proposed activity. The district engineer will determine whether the ESA section 10(a)(1)(B) permit covers the whether the proposed activity "may affect" or will have proposed NWP activity or whether additional ESA "no effect" to listed species and designated critical habitat section 7 consultation is required. and will notify the non-Federal applicant of the Corps' (g) Information on the location of threatened and determination within 45 days of receipt of a complete preendangered species and their critical habitat can be construction notification. For activities where the nonobtained directly from the offices of the FWS and NMFS Federal applicant has identified listed species (or species or their world wide web pages at https://www.fws.gov/or proposed for listing) or designated critical habitat (or https://www.fws.gov/ipac/ and critical habitat proposed for such designation) that might https://www.fisheries.noaa.gov/topic/endangered-speciesbe affected or is in the vicinity of the activity, and has so conservation respectively. notified the Corps, the applicant shall not begin work until the Corps has provided notification that the proposed ☐ 19. Migratory Birds and Bald and Golden Eagles. The activity will have "no effect" on listed species (or species permittee is responsible for ensuring that an action authorized by proposed for listing or designated critical habitat (or an NWP complies with the Migratory Bird Treaty Act and the critical habitat proposed for such designation), or until Bald and Golden Eagle Protection Act. The permittee is ESA section 7 consultation or conference has been responsible for contacting the appropriate local office of the U.S. completed. If the non-Federal applicant has not heard Fish and Wildlife Service to determine what measures, if any, back from the Corps within 45 days, the applicant must are necessary or appropriate to reduce adverse effects to still wait for notification from the Corps. migratory birds or eagles, including whether "incidental take" permits are necessary and available under the Migratory Bird \Box (d) As a result of formal or informal consultation or Treaty Act or Bald and Golden Eagle Protection Act for a conference with the FWS or NMFS the district engineer particular activity. may add species-specific permit conditions to the NWPs. \square 20. Historic Properties. ☐ (e) Authorization of an activity by an NWP does not authorize the "take" of a threatened or endangered species ☐ (a) No activity is authorized under any NWP which as defined under the ESA. In the absence of separate may have the potential to cause effects to properties authorization (e.g., an ESA Section 10 Permit, a listed, or eligible for listing, in the National Register of Biological Opinion with "incidental take" provisions, etc.) Historic Places until the requirements of Section 106 of from the FWS or the NMFS, the Endangered Species Act the National Historic Preservation Act (NHPA) have been prohibits any person subject to the jurisdiction of the United States to take a listed species, where "take" means ☐ (b) Federal permittees should follow their own to harass, harm, pursue, hunt, shoot, wound, kill, trap, procedures for complying with the requirements of capture, or collect, or to attempt to engage in any such section 106 of the National Historic Preservation Act (see conduct. The word "harm" in the definition of "take" 33 CFR 330.4(g)(1)). If pre-construction notification is means an act which actually kills or injures wildlife. Such required for the proposed NWP activity, the Federal an act may include significant habitat modification or permittee must provide the district engineer with the degradation where it actually kills or injures wildlife by appropriate documentation to demonstrate compliance significantly impairing essential behavioral patterns, with those requirements. The district engineer will verify including breeding, feeding or sheltering. that the appropriate documentation has been submitted. If \Box (f) If the non-federal permittee has a valid ESA the appropriate documentation is not submitted, then section 10(a)(1)(B) incidental take permit with an additional consultation under section 106 may be approved Habitat Conservation Plan for a project or a necessary. The respective federal a gency is responsible group of projects that includes the proposed NWP for fulfilling its obligation to comply with section 106.

activity, the non-federal applicant should provide a copy

section 110(k) of the NHPA (54 U.S.C. 306113) prevents construction notification to the district engineer if the NWP activity might have the potential to cause effects to the Corps from granting a permit or other assistance to an any historic properties listed on, determined to be eligible applicant who, with intent to avoid the requirements of for listing on, or potentially eligible for listing on the section 106 of the NHPA, has intentionally significantly National Register of Historic Places, including previously adversely affected a historic property to which the permit unidentified properties. For such activities, the prewould relate, or having legal power to prevent it, allowed construction notification must state which historic such significant adverse effect to occur, unless the Corps, after consultation with the Advisory Council on Historic properties might have the potential to be affected by the proposed NWP activity or include a vicinity map Preservation (ACHP), determines that circumstances justify granting such assistance despite the adverse effect indicating the location of the historic properties or the potential for the presence of historic properties. created or permitted by the applicant. If circumstances justify granting the assistance, the Corps is required to Assistance regarding information on the location of, or potential for, the presence of historic properties can be notify the ACHP and provide documentation specifying sought from the State Historic Preservation Officer, Tribal the circum stances, the degree of damage to the integrity Historic Preservation Officer, or designated tribal of any historic properties affected, and proposed representative, as appropriate, and the National Register mitigation. This documentation must include any views of Historic Places (see 33 CFR 330.4(g)). When obtained from the applicant, SHPO/THPO, appropriate reviewing pre-construction notifications, district Indiantribes if the undertaking occurs on or affects engineers will comply with the current procedures for historic properties on tribal lands or affects properties of addressing the requirements of section 106 of the National interest to those tribes, and other parties known to have a Historic Preservation Act. The district engineer shall legitimate interest in the impacts to the permitted activity make a reasonable and good faith effort to carry out on historic properties. appropriate identification efforts commensurate with 21. Discovery of Previously Unknown Remains and potential impacts, which may include background **Artifacts**. Permittees that discover any previously unknown research, consultation, oral history interviews, sample historic, cultural or archeological remains and artifacts while field investigation, and/or field survey. Based on the accomplishing the activity authorized by an NWP, they must information submitted in the PCN and these identification immediately notify the district engineer of what they have found, efforts, the district engineer shall determine whether the and to the maximum extent practicable, a void construction proposed NWP activity has the potential to cause effects activities that may affect the remains and artifacts until the on the historic properties. Section 106 consultation is not required coordination has been completed. The district engineer required when the district engineer determines that the will initiate the Federal, Tribal, and state coordination required activity does not have the potential to cause effects on to determine if the items or remains warrant a recovery effort or historic properties (see 36 CFR 800.3(a)). Section 106 if the site is eligible for listing in the National Register of consultation is required when the district engineer Historic Places. determines that the activity has the potential to cause effects on historic properties. The district engineer will ☐ 22. **Designated Critical Resource Waters**. Critical conduct consultation with consulting parties identified resource waters include, NOAA-managed marine sanctuaries under 36 CFR 800.2(c) when he or she makes any of the and marine monuments, and National Estuarine Research following effect determinations for the purposes of Reserves. The district engineer may designate, after notice and section 106 of the NHPA: no historic properties affected, opportunity for public comment, additional waters officially no adverse effect, or adverse effect. designated by a state as having particular environmental or ecological significance, such as outstanding national resource ☐ (d) Where the non-Federal applicant has identified waters or state natural heritage sites. The district engineer may historic properties on which the proposed NWP activity also designate additional critical resource waters after notice and might have the potential to cause effects and has so opportunity for public comment. notified the Corps, the non-Federal applicant shall not begin the activity until notified by the district engineer ☐ (a) Discharges of dredged or fill material into waters either that the activity has no potential to cause effects to of the United States are not authorized by NWPs 7, 12, historic properties or that NHPA section 106 consultation 14, 16, 17, 21, 29, 31, 35, 39, 40, 42, 43, 44, 49, 50, 51, has been completed. For non-federal permittees, the 52,57 and 58 for any activity within, or directly affecting, district engineer will notify the prospective permittee critical resource waters, including wetlands adjacent to within 45 days of receipt of a complete pre-construction such waters. notification whether NHPA section 106 consultation is □ (b) For NWPs 3, 8, 10, 13, 15, 18, 19, 22, 23, 25, 27, required. If NHPA section 106 consultation is required, 28, 30, 33, 34, 36, 37, 38, and 54, notification is required the district engineer will notify the non-Federal applicant in accordance with general condition 32, for any activity that he or she cannot begin the activity until section 106 proposed by permittees in the designated critical resource consultation is completed. If the non-Federal applicant waters including wetlands adjacent to those waters. The has not heard back from the Corps within 45 days, the district engineer may authorize activities under these applicant must still wait for notification from the Corps. NWPs only after she or he determines that the impacts to the critical resource waters will be no more than minimal.

(e) Prospective permittees should be a ware that

☐ (c) Non-federal permittees must submit a pre-





□ 28. Use of Multiple Nationwide Permits. The use of more than one NWP for a single and complete project is authorized, subject to the following restrictions: □ (a) If only one of the NWPs used to authorize the single and complete project has a specified acreage limit, the acreage loss of waters of the United States cannot exceed the acreage limit of the NWP with the highest specified acreage limit. For example, if a road crossing over tidal waters is constructed under NWP 14, with a ssociated bank stabilization authorized by NWP 13, the maximum acreage loss of waters of	☐ (b) A statement that the implementation of any required compensatory mitigation was completed in a ccordance with the permit conditions. If credits from a mitigation bank or in-lieu fee program are used to satisfy the compensatory mitigation requirements, the certification must include the documentation required by 33 CFR 332.3(l)(3) to confirm that the permittee secured the appropriate number and resource type of credits; and ☐ (c) The signature of the permittee certifying the completion of the activity and mitigation.
the United States for the total project cannot exceed 1/3-acre. (b) If one or more of the NWPs used to authorize the single and complete project has specified a creage limits, the	The completed certification document must be submitted to the district engineer within 30 days of completion of the authorized activity or the implementation of any required compensatory mitigation, whichever occurs later.
acreage loss of waters of the United States authorized by those NWPs cannot exceed their respective specified acreage limits. For example, if a commercial development is constructed under NWP 39, and the single and complete project includes the filling of an upland ditch authorized by NWP 46, the maximum acreage loss of waters of the United States for the commercial development under NWP 39 cannot exceed 1/2-acre, and the total acreage loss of waters of United States due to the NWP 39 and 46 activities cannot exceed 1 acre. 29. Transfer of Nationwide Permit Verifications. If the permittee sells the property associated with a nationwide permit verification, the permittee may transfer the nationwide permit verification to the new owner by submitting a letter to the	131. Activities Affecting Structures or Works Built by the United States. If an NWP activity also requires review by, or permission from, the Corps pursuant to 33 U.S.C. 408 because it will alter or temporarily or permanently occupy or use a U.S. Army Corps of Engineers (USACE) federally authorized Civil Works project (a "USACE project"), the prospective permittee must submit a pre-construction notification. See paragraph (b)(10) of general condition 32. An activity that requires section 408 permission and/or review is not authorized by an NWP until the appropriate Corps office issues the section 408 permission or completes its review to alter, occupy, or use the USACE project, and the district engineer issues a written NWP verification.
appropriate Corps district office to validate the transfer. A copy of the nationwide permit verification must be attached to the	☐ 32. Pre-Construction Notification .
letter, and the letter must contain the following statement and signature: "When the structures or work a uthorized by this nationwide permit are still in existence at the time the property is transferred, the terms and conditions of this nationwide permit, including any special conditions, will continue to be binding on the new owner(s) of the property. To validate the transfer of this nationwide permit and the associated lia bilities associated with compliance with its terms and conditions, have the transferee sign and date below."	☐ (a) <u>Timing</u> . Where required by the terms of the NWP, the prospective permittee must notify the district engineer by submitting a pre-construction notification (PCN) as early as possible. The district engineer must determine if the PCN is complete within 30 calendar days of the date of receipt and, if the PCN is determined to be incomplete, notify the prospective permittee within that 30 day period to request the additional information necessary to make the PCN complete. The request must specify the information needed to make the PCN complete. As a general rule, district engineers will request additional information necessary to make the PCN complete only once. However, if the prospective
(Transferee)	permittee does not provide all of the requested information, then the district engineer will notify the
(Date) 30. Compliance Certification. Each permittee who receives an NWP verification letter from the Corps must provide a signed certification documenting completion of the authorized activity and implementation of any required compensatory.	prospective permittee that the PCN is still incomplete and the PCN review process will not commence until all of the requested information has been received by the district engineer. The prospective permittee shall not begin the activity until either:
activity and implementation of any required compensatory mitigation. The success of any required permittee-responsible mitigation, including the achievement of ecological performance standards, will be addressed separately by the district engineer.	☐ (1) He or she is notified in writing by the district engineer that the activity may proceed under the NWP with any special conditions imposed by the district or division engineer; or

species or critical habitat might be affected or are in ☐ (ii) For linear projects where one or more the vicinity of the activity, or to notify the Corps single and complete crossings require prepursuant to general condition 20 that the activity construction notification, the PCN must include the quantity of anticipated losses of wetlands, other might have the potential to cause effects to historic properties, the permittee cannot begin the activity special a quatic sites, and other waters for each single until receiving written notification from the Corps and complete crossing of those wetlands, other that there is "no effect" on listed species or "no special aquatic sites, and other waters (including potential to cause effects" on historic properties, or those single and complete crossings authorized by an that any consultation required under Section 7 of the NWP but do not require PCNs). This information Endangered Species Act (see 33 CFR 330.4(f)) will be used by the district engineer to evaluate the cumulative adverse environmental effects of the and/or section 106 of the National Historic Preservation Act (see 33 CFR 330.4(g)) has been proposed linear project, and does not change those non-PCN NWP activities into NWP PCNs. completed. If the proposed activity requires a written waiver to exceed specified limits of an NWP, the (iii) Sketches should be provided when permittee may not begin the activity until the district necessary to show that the activity complies with the engineer issues the waiver. If the district or division terms of the NWP. (Sketches usually clarify the engineer notifies the permittee in writing that an activity and when provided results in a quicker individual permit is required within 45 calendar days decision. Sketches should contain sufficient detail to of receipt of a complete PCN, the permittee cannot provide an illustrative description of the proposed begin the activity until an individual permit has been activity (e.g., a conceptual plan), but do not need to obtained. Subsequently, the permittee's right to be detailed engineering plans); proceed under the NWP may be modified, suspended, or revoked only in accordance with the procedure set \Box (5) The PCN must include a delineation of forth in 33 CFR 330.5(d)(2). wetlands, other special a quatic sites, and other waters, such as lakes and ponds, and perennial and □ (b) Contents of Pre-Construction Notification: The intermittent streams, on the project site. Wetland PCN must be in writing and include the following delineations must be prepared in accordance with the information: current method required by the Corps. The permittee ☐ (1) Name, address and telephone numbers of may ask the Corps to delineate the special a quatic sites and other waters on the project site, but there the prospective permittee; may be a delay if the Corps does the delineation, \square (2) Location of the proposed activity; especially if the project site is large or contains many \Box (3) Identify the specific NWP or NWP(s) the wetlands, other special a quatic sites, and other waters. Furthermore, the 45-day period will not start prospective permittee wants to use to authorize the until the delineation has been submitted to or proposed activity; completed by the Corps, as appropriate; \square (4) (6) If the proposed activity will result in the (i) A description of the proposed activity: loss of greater than 1/10-acre of wetlands or 3/100the activity's purpose: direct and indirect a dverse acre of stream bed and a PCN is required, the environmental effects the activity would cause, prospective permittee must submit a statement including the anticipated amount of loss of wetlands, describing how the mitigation requirement will be other special a quatic sites, and other waters expected satisfied, or explaining why the adverse to result from the NWP activity, in a cres, linear feet, environmental effects are no more than minimal and or other appropriate unit of measure; a description of why compensatory mitigation should not be required. any proposed mitigation measures intended to reduce As an alternative, the prospective permittee may the adverse environmental effects caused by the submit a conceptual or detailed mitigation plan. proposed activity; and any other NWP(s), regional □ (7) For non-federal permittees, if any listed general permit(s), or individual permit(s) used or species (or species proposed for listing) or designated intended to be used to authorize any part of the critical habitat (or critical habitat proposed for such proposed project or any related activity, including other separate and distant crossings for linear projects designation) might be affected or is in the vicinity of the activity, or if the activity is located in designated that require Department of the Army authorization critical habitat (or critical habitat proposed for such but do not require pre-construction notification. The designation), the PCN must include the name(s) of description of the proposed activity and any proposed those endangered or threatened species (or species mitigation measures should be sufficiently detailed to allow the district engineer to determine that the proposed for listing) that might be affected by the proposed activity or utilize the designated critical adverse environmental effects of the activity will be no more than minimal and to determine the need for habitat (or critical habitat proposed for such designation) that might be affected by the proposed compensatory mitigation or other mitigation activity. For NWP activities that require premeasures. construction notification, Federal permittees must

provide documentation demonstrating compliance with the Endangered Species Act;	more than 30 feet from the mean low water line in tidal waters or the ordinary high water mark in the
(8) For non-federal permittees, if the NWP	Great Lakes.
□ (8) For non-federal permittees, if the NWP activity might have the potential to cause effects to a historic property listed on, determined to be eligible for listing on, or potentially eligible for listing on, the National Register of Historic Places, the PCN must state which historic property might have the potential to be affected by the proposed activity or include a vicinity map indicating the location of the historic property. For NWP activities that require preconstruction notification, Federal permittees must provide documentation demonstrating compliance with section 106 of the National Historic Preservation Act; □ (9) For an activity that will occur in a component of the National Wild and Scenic River System, or in a river officially designated by Congress as a "study river" for possible inclusion in the system while the river is in an official study status, the PCN must identify the Wild and Scenic River or the "study river" (see general condition 16); and	Great Lakes. (3) When a gency coordination is required, the district engineer will immediately provide (e.g., via e-mail, facsimile transmission, overnight mail, or other expeditious manner) a copy of the complete PCN to the appropriate Federal or state offices (FWS, state natural resource or water quality agency, EPA, and, if appropriate, the NMFS). With the exception of NWP 37, these a gencies will have 10 calendar days from the date the material is transmitted to notify the district engineer via telephone, facsimile transmission, or e-mail that they intend to provide substantive, site-specific comments. The comments must explain why the agency believes the adverse environmental effects will be more than minimal. If so contacted by an agency, the district engineer will wait an additional 15 calendar days before making a decision on the pre-construction notification. The district engineer will fully consider a gency comments received within the specified time frame concerning the proposed activity's compliance with the terms and conditions of the NWPs, including the need for
☐ (10) For an NWP activity that requires permission from, or review by, the Corps pursuant to 33 U.S.C. 408 because it will alter or temporarily or permanently occupy or use a U.S. Army Corps of Engineers federally authorized civil works project, the pre-construction notification must include a statement confirming that the project proponent has submitted a written request for section 408 permission from, or review by, the Corps office having jurisdiction over that USACE project. ☐ (c) Form of Pre-Construction Notification: The nationwide permit pre-construction notification form (Form ENG 6082) should be used for NWP PCNs. A letter containing the required information may also be used. Applicants may provide electronic files of PCNs	mitigation to ensure that the net adverse environmental effects of the proposed activity are no more than minimal. The district engineer will provide no response to the resource a gency, except as provided below. The district engineer will indicate in the administrative record associated with each preconstruction notification that the resource a gencies' concerns were considered. For NWP 37, the emergency watershed protection and rehabilitation activity may proceed immediately in cases where there is an unacceptable hazard to life or a significant loss of property or economic hardship will occur. The district engineer will consider any comments received to decide whether the NWP 37 authorization should be modified, suspended, or revoked in accordance with the procedures at 33 CFR 330.5.
and supporting materials if the district engineer has established tools and procedures for electronic submittals. (d) Agency Coordination: (1) The district engineer will consider any comments from Federal and state agencies concerning the proposed activity's compliance with the terms and conditions of the NWPs and the need for mitigation to reduce the activity's adverse environmental effects so that they are no more than minimal.	☐ (4) In cases of where the prospective permittee is not a Federal agency, the district engineer will provide a response to NMFS within 30 calendar days of receipt of any Essential Fish Habitat conservation recommendations, as required by section 305(b)(4)(B) of the Magnuson-Stevens Fishery Conservation and Management Act. ☐ (5) Applicants are encouraged to provide the Corps with either electronic files or multiple copies of pre-construction notifications to expedite agency
(2) Agency coordination is required for: (i) all	coordination.
NWP activities that require pre-construction notification and result in the loss of greater than 1/2-	C. District Engineer's Decision
acre of waters of the United States; (ii) NWP 13 activities in excess of 500 linear feet, fills greater than one cubic yard per running foot, or involve discharges of dredged or fill material into special aquatic sites; and (iii) NWP 54 activities in excess of 500 linear feet, or that extend into the waterbody	☐ 1. In reviewing the PCN for the proposed activity, the district engineer will determine whether the activity authorized by the NWP will result in more than minimal individual or cumulative adverse environmental effects or may be contrary to the public interest. If a project proponent requests authorization by a specific NWP, the district engineer should issue the NWP

verification for that activity if it meets the terms and conditions of that NWP, unless he or she determines, after considering mitigation, that the proposed activity will result in more than minimal individual and cumulative adverse effects on the a quatic environment and other a spects of the public interest and exercises discretionary authority to require an individual permit for the proposed activity. For a linear project, this determination will include an evaluation of the single and complete crossings of waters of the United States that require PCNs to determine whether they individually satisfy the terms and conditions of the NWP(s), as well as the cumulative effects caused by all of the crossings of waters of the United States authorized by an NWP. If an applicant requests a waiver of an applicable limit, as provided for in NWPs 13, 36, or 54, the district engineer will only grant the waiver upon a written determination that the NWP activity will result in only minimal individual and cumulative adverse en vironmental effects.

2. When making minimal adverse environmental effects determinations the district engineer will consider the direct and indirect effects caused by the NWP activity. He or she will also consider the cumulative adverse environmental effects caused by activities authorized by an NWP and whether those cumulative adverse environmental effects are no more than minimal. The district engineer will also consider site specific factors, such as the environmental setting in the vicinity of the NWP activity, the type of resource that will be affected by the NWP activity, the functions provided by the aquatic resources that will be affected by the NWP activity, the degree or magnitude to which the a quatic resources perform those functions, the extent that aquatic resource functions will be lost as a result of the NWP activity (e.g., partial or complete loss), the duration of the adverse effects (temporary or permanent), the importance of the aquatic resource functions to the region (e.g., watershed or ecoregion), and mitigation required by the district engineer. If an appropriate functional or condition assessment method is a vailable and practicable to use, that assessment method may be used by the district engineer to a ssist in the minimal adverse environmental effects determination. The district engineer may add casespecific special conditions to the NWP authorization to address site-specific environmental concerns.

3. If the proposed activity requires a PCN and will result in a loss of greater than 1/10-acre of wetlands or 3/100-acre of stream bed, the prospective permittee should submit a mitigation proposal with the PCN. Applicants may also propose compensatory mitigation for NWP activities with smaller impacts, or for impacts to other types of waters. The district engineer will consider any proposed compensatory mitigation or other mitigation measures the applicant has included in the proposal in determining whether the net adverse environmental effects of the proposed activity are no more than minimal. The compensatory mitigation proposal may be either conceptual or detailed. If the district engineer determines that the activity complies with the terms and conditions of the NWP and that the a dverse en vironmental effects are no more than minimal, after considering mitigation, the district engineer will notify the permittee and include any activity-specific conditions in the NWP verification the district engineer deems necessary. Conditions for compensatory mitigation requirements must comply with the appropriate provisions at 33 CFR 332.3(k). The district engineer must approve the final mitigation plan before

the permittee commences work in waters of the United States. unless the district engineer determines that prior approval of the final mitigation plan is not practicable or not necessary to ensure timely completion of the required compensatory mitigation. If the prospective permittee elects to submit a compensatory mitigation plan with the PCN, the district engineer will expeditiously review the proposed compensatory mitigation plan. The district engineer must review the proposed compensatory mitigation plan within 45 calendar days of receiving a complete PCN and determine whether the proposed mitigation would ensure that the NWP activity results in no more than minimal adverse environmental effects. If the net adverse environmental effects of the NWP activity (after consideration of the mitigation proposal) are determined by the district engineer to be no more than minimal, the district engineer will provide a timely written response to the applicant. The response will state that the NWP activity can proceed under the terms and conditions of the NWP, including any activityspecific conditions added to the NWP authorization by the district engineer.

☐ 4. If the district engineer determines that the adverse environmental effects of the proposed activity are more than minimal, then the district engineer will notify the applicant either: (a) that the activity does not qualify for authorization under the NWP and instruct the applicant on the procedures to seek authorization under an individual permit; (b) that the activity is authorized under the NWP subject to the applicant's submission of a mitigation plan that would reduce the adverse environmental effects so that they are no more than minimal; or (c) that the activity is authorized under the NWP with specific modifications or conditions. Where the district engineer determines that mitigation is required to ensure no more than minimal adverse environmental effects, the activity will be authorized within the 45-day PCN period (unless additional time is required to comply with general conditions 18, 20, and/or 31), with activity-specific conditions that state the mitigation requirements. The authorization will include the necessary conceptual or detailed mitigation plan or a requirement that the applicant submit a mitigation plan that would reduce the adverse environmental effects so that they are no more than minimal. When compensatory mitigation is required, no work in waters of the United States may occur until the district engineer has approved a specific mitigation plan or has determined that prior approval of a final mitigation plan is not practicable or not necessary to ensure timely completion of the required compensatory mitigation.

D. Further Information

- 1. District engineers have authority to determine if an activity complies with the terms and conditions of an NWP.
- 2. NWPs do not obviate the need to obtain other federal, state, or local permits, approvals, or authorizations required by law.
- 3. NWPs do not grant any property rights or exclusive privileges.
- 4. NWPs do not authorize any injury to the property or rights of others.
- 5. NWPs do not authorize interference with any existing or proposed Federal project (see general condition 31).

E. Nationwide Permit Definitions

Best management practices (BMPs): Policies, practices, procedures, or structures implemented to mitigate the adverse environmental effects on surface water quality resulting from development. BMPs are categorized as structural or non-structural.

Compensatory mitigation: The restoration (re-establishment or rehabilitation), establishment (creation), enhancement, and/or in certain circumstances preservation of a quatic resources for the purposes of offsetting unavoidable a dverse impacts which remain after all appropriate and practicable a voidance and minimization has been achieved.

Currently serviceable: Useable as is or with some maintenance, but not so degraded as to essentially require reconstruction.

Direct effects: Effects that are caused by the activity and occur at the same time and place.

Discharge: The term "discharge" means any discharge of dredged or fill material into waters of the United States.

Ecological reference: A model used to plan and design an a quatic habitat and riparian area restoration, enhancement, or establishment activity under NWP 27. An ecological reference may be based on the structure, functions, and dynamics of an a quatic habitat type or a riparian area type that currently exists in the region where the proposed NWP 27 activity is located. Alternatively, a necological reference may be based on a conceptual model for the aquatic habitat type or riparian area type to be restored, enhanced, or established as a result of the proposed NWP 27 activity. An ecological reference takes into account the range of variation of the aquatic habitat type or riparian area type in the region.

Enhancement: The manipulation of the physical, chemical, or biological characteristics of an aquatic resource to heighten, intensify, or improve a specific aquatic resource function(s). Enhancement results in the gain of selected aquatic resource function(s) but may also lead to a decline in other aquatic resource function(s). Enhancement does not result in a gain in aquatic resource area.

Establishment (creation): The manipulation of the physical, chemical, or biological characteristics present to develop an aquatic resource that did not previously exist at an upland site. Establishment results in a gain in a quatic resource area.

High Tide Line: The line of intersection of the land with the water's surface at the maximum height reached by a rising tide. The high tide line may be determined, in the absence of actual data, by a line of oil or scum along shore objects, a more or less continuous deposit of fine shell or debris on the foreshore or berm, other physical markings or characteristics, vegetation lines, tidal gages, or other suitable means that delineate the general height reached by a rising tide. The line encompasses spring high tides and other high tides that occur with periodic frequency but does not include storm surges in which there is a departure from the normal or predicted reach of the tide due to the piling up of water a gainst a coast by strong winds such as those accompanying a hurricane or other intense storm.

Historic Property: Any prehistoric or historic district, site (including archaeological site), building, structure, or other

object included in, or eligible for inclusion in, the National Register of Historic Places maintained by the Secretary of the Interior. This term includes artifacts, records, and remains that are related to and located within such properties. The term includes properties of traditional religious and cultural importance to an Indian tribe or Native Hawaiian organization and that meet the National Register criteria (36 CFR part 60).

Independent utility: A test to determine what constitutes a single and complete non-linear project in the Corps Regulatory Program. A project is considered to have independent utility if it would be constructed absent the construction of other projects in the project area. Portions of a multi-phase project that depend upon other phases of the project do not have independent utility. Phases of a project that would be constructed even if the other phases were not built can be considered as separate single and complete projects with independent utility.

Indirect effects: Effects that are caused by the activity and are later in time or farther removed in distance but are still reasonably foreseeable.

Loss of waters of the United States: Waters of the United States that are permanently adversely affected by filling, flooding, excavation, or drainage because of the regulated activity. The loss of stream bed includes the acres of stream bed that are permanently adversely affected by filling or excavation because of the regulated activity. Permanent adverse effects include permanent discharges of dredged or fill material that change an a quatic area to dry land, increase the bottom elevation of a waterbody, or change the use of a waterbody. The acreage of loss of waters of the United States is a threshold measurement of the impact to jurisdictional waters or wetlands for determining whether a project may qualify for an NWP; it is not a net threshold that is calculated after considering compensatory mitigation that may be used to offset losses of aquatic functions and services. Waters of the United States temporarily filled, flooded, excavated, or drained, but restored to pre-construction contours and elevations after construction, are not included in the measurement of loss of waters of the United States. Impacts resulting from activities that do not require Department of the Army authorization, such as activities eligible for exemptions under section 404(f) of the Clean Water Act, are not considered when calculating the loss of waters of the United States.

Navigable waters: Waters subject to section 10 of the Rivers and Harbors Act of 1899. These waters are defined at 33 CFR part 329.

Non-tidal wetland: A non-tidal wetland is a wetland that is not subject to the ebb and flow of tidal waters. Non-tidal wetlands contiguous to tidal waters are located landward of the high tide line (i.e., spring high tide line).

Open water: For purposes of the NWPs, an open water is any area that in a year with normal patterns of precipitation has water flowing or standing a bove ground to the extent that an ordinary high-water mark can be determined. Aquatic vegetation within the area of flowing or standing water is either non-emergent, sparse, or a bsent. Vegetated shallows are considered to be open waters. Examples of "open waters" include rivers, streams, lakes, and ponds.

Ordinary High Water Mark: The term ordinary high water mark means that line on the shore established by the fluctuations of water and indicated by physical characteristics such as a clear, natural line impressed on the bank, shelving, changes in the character of soil, destruction of terrestrial vegetation, the presence of litter and debris, or other appropriate means that consider the characteristics of the surrounding areas.

Perennial stream: A perennial stream has surface water flowing continuously year-round during a typical year.

Practicable: Available and capable of being done after taking into consideration cost, existing technology, and logistics in light of overall project purposes.

Pre-construction notification: A request submitted by the project proponent to the Corps for confirmation that a particular activity is authorized by nationwide permit. The request may be a permit application, letter, or similar document that includes information a bout the proposed work and its anticipated environmental effects. Pre-construction notification may be required by the terms and conditions of a nationwide permit, or by regional conditions. A pre-construction notification may be voluntarily submitted in cases where pre-construction notification is not required, and the project proponent wants confirmation that the activity is authorized by nationwide permit.

Preservation: The removal of a threat to, or preventing the decline of, a quatic resources by a naction in or near those a quatic resources. This term includes activities commonly associated with the protection and maintenance of a quatic resources through the implementation of appropriate legal and physical mechanisms. Preservation does not result in a gain of a quatic resource area or functions.

Re-establishment: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of returning natural/historic functions to a former aquatic resource. Reestablishment results in rebuilding a former aquatic resource and results in a gain in aquatic resource area and functions.

Rehabilitation: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of repairing natural/historic functions to a degraded aquatic resource. Rehabilitation results in a gain in a quatic resource function but does not result in a gain in a quatic resource area.

Restoration: The manipulation of the physical, chemical, or biological characteristics of a site with the goal of returning natural/historic functions to a former or degraded aquatic resource. For the purpose of tracking net gains in a quatic resource area, restoration is divided into two categories: reesta blishment and rehabilitation.

Riffle and pool complex: Riffle and pool complexes are special aquatic sites under the 404(b)(1) Guidelines. Riffle and pool complexes sometimes characterize steep gradient sections of streams. Such stream sections are recognizable by their hydraulic characteristics. The rapid movement of water over a course substrate in riffles results in a rough flow, a turbulent surface, and high dissolved oxygen levels in the water. Pools are deeper areas associated with riffles. A slower stream velocity, a streaming flow, a smooth surface, and a finer substrate characterize pools.

Riparian areas: Riparian a reas are lands next to streams, lakes, and estuarine-marine shorelines. Riparian a reas are transitional between terrestrial and a quatic ecosystems, through which surface and subsurface hydrology connects riverine, lacustrine, estuarine, and marine waters with their adjacent wetlands, nonwetland waters, or uplands. Riparian areas provide a variety of ecological functions and services and help improve or maintain local water quality. (See general condition 23).

Shellfish seeding: The placement of shellfish seed and/or suitable substrate to increase shellfish production. Shellfish seed consists of immature individual shellfish or individual shellfish attached to shells or shell fragments (i.e., spat on shell). Suitable substrate may consist of shellfish shells, shell fragments, or other a ppropriate materials placed into waters for shellfish habitat.

Single and complete linear project: A linear project is a project constructed for the purpose of getting people, goods, or services from a point of origin to a terminal point, which often involves multiple crossings of one or more waterbodies at separate and distant locations. The term "single and complete project" is defined as that portion of the total linear project proposed or a ccomplished by one owner/developer or partnership or other association of owners/developers that includes all crossings of a single water of the United States (i.e., a single waterbody) at a specific location. For linear projects crossing a single or multiple waterbodies several times at separate and distant locations, each crossing is considered a single and complete project for purposes of NWP authorization. However, individual channels in a braided stream or river, or individual arms of a large, irregularly shaped wetland or lake, etc., are not separate waterbodies, and crossings of such features cannot be considered separately.

Single and complete non-linear project: For non-linear projects, the term "single and complete project" is defined at 33 CFR 330.2(i) as the total project proposed or accomplished by one owner/developer or partnership or other association of owners/developers. A single and complete non-linear project must have independent utility (see definition of "independent utility"). Single and complete non-linear projects may not be "piecemealed" to avoid the limits in an NWP authorization.

Stormwater management: Stormwater management is the mechanism for controlling stormwater runoff for the purposes of reducing downstream erosion, water quality degradation, and flooding and mitigating the adverse effects of changes in land use on the aquatic environment.

Stormwater management facilities: Stormwater management facilities are those facilities, including but not limited to, stormwater retention and detention ponds and best management practices, which retain water for a period of time to control runoff and/or improve the quality (i.e., by reducing the concentration of nutrients, sediments, hazardous substances and other pollutants) of stormwater runoff.

Stream bed: The substrate of the stream channel between the ordinary high-water marks. The substrate may be bedrock or inorganic particles that range in size from clay to boulders. Wetlands contiguous to the stream bed, but outside of the ordinary high-water marks, are not considered part of the stream bed.

Stream channelization: The manipulation of a stream's course, condition, capacity, or location that causes more than minimal interruption of normal stream processes. A channelized jurisdictional stream remains a water of the United States.

Structure: An object that is arranged in a definite pattern of organization. Examples of structures include, without limitation, any pier, boat dock, boat ramp, wharf, dolphin, weir, boom, breakwater, bulkhead, revetment, riprap, jetty, artificial island, artificial reef, permanent mooring structure, power transmission line, permanently moored floating vessel, piling, aid to navigation, or any other manmade obstacle or obstruction.

Tidal wetland: A tidal wetland is a jurisdictional wetland that is inundated by tidal waters. Tidal waters rise and fall in a predictable and measurable rhythmor cycle due to the gravitational pulls of the moon and sun. Tidal waters end where the rise and fall of the water surface canno longer be practically measured in a predictable rhythm due to masking by other waters, wind, or other effects. Tidal wetlands are located channel ward of the high tide line.

Tribal lands: Any lands title to which is either: 1) held in trust by the United States for the benefit of any Indian tribe or individual; or 2) held by any Indian tribe or individual subject to restrictions by the United States against a lienation.

Tribal rights: Those rights legally accruing to a tribe or tribes by virtue of inherent sovereign authority, unextinguished aboriginal title, treaty, statute, judicial decisions, executive order or a greement, and that give rise to legally enforceable remedies.

Vegetated shallows: Vegetated shallows are special aquatic sites under the 404(b)(1) Guidelines. They are a reas that are permanently inundated and under normal circumstances have rooted aquatic vegetation, such as seagrasses in marine and estuarine systems and a variety of vascular rooted plants in freshwater systems.

Waterbody: For purposes of the NWPs, a waterbody is a "water of the United States." If a wetland is a djacent to a waterbody determined to be a water of the United States, that waterbody and any adjacent wetlands are considered together as a single aquatic unit (see 33 CFR 328.4(c)(2)).