

**Return of Organization Exempt From Income Tax**  
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Department of the Treasury  
Internal Revenue Service

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

**A For the 2008 calendar year, or tax year beginning** OCT 1, 2008 **and ending** SEP 30, 2009

<b>B</b> Check if applicable:  <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Termination <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	Please use IRS label or print or type.  See Specific Instructions.	<b>C Name of organization</b>		<b>D Employer identification number</b>	
		Smithsonian Institution		53-0206027	
		Doing Business As			
		Number and street (or P.O. box if mail is not delivered to street address) Room/suite		<b>E Telephone number</b>	
1000 Jefferson Drive, S. W.		202 633-1000			
City or town, state or country, and ZIP + 4		<b>G Gross receipts \$</b> 1,610,360,432.			
Washington, DC 20560		<b>H(a) Is this a group return for affiliates?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>F Name and address of principal officer:</b> Dr. G. Wayne Clough		<b>H(b) Are all affiliates included?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No			
1000 Jefferson Dr, SW, Washington, DC 20560		If "No," attach a list. (see instructions)			
<b>I Tax-exempt status:</b> <input checked="" type="checkbox"/> 501(c) (3) (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		<b>H(c) Group exemption number</b> ▶			
<b>J Website:</b> ▶ www.Smithsonian.org					
<b>K Type of organization:</b> <input type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input checked="" type="checkbox"/> Other ▶ Sch O		<b>L Year of formation:</b> 1846			
		<b>M State of legal domicile:</b>			

**Part I Summary**

<b>Activities &amp; Governance</b>	1	Briefly describe the organization's mission or most significant activities: <u>The increase and diffusion of knowledge.</u>		
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	17
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	17
	5	Total number of employees (Part V, line 2a)	5	5697
	6	Total number of volunteers (estimate if necessary)	6	6000
	7a	Total gross unrelated business revenue from Part VIII, line 12, column (C)	7a	16,804,697.
b	Net unrelated business taxable income from Form 990-T, line 34	7b	909,609.	
<b>Revenue</b>			<b>Prior Year</b>	<b>Current Year</b>
	8	Contributions and grants (Part VIII, line 1h)	248,370,335.	987,555,559.
	9	Program service revenue (Part VIII, line 2g)	123,657,070.	96,168,217.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	57,932,340.	-33,895,776.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	63,522,171.	65,033,695.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	493,481,916.	1,114,861,695.
<b>Expenses</b>	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	5,363,132.	14,155,899.
	14	Benefits paid to or for members (Part IX, column (A), line 4)		
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	160,447,791.	560,540,681.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	308,216.	3,708,702.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 21,578,695.		
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	240,417,082.	502,589,801.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	406,536,221.	1,080,995,083.
19	Revenue less expenses. Subtract line 18 from line 12	86,945,695.	33,866,612.	
<b>Net Assets or Fund Balances</b>			<b>Beginning of Year</b>	<b>End of Year</b>
	20	Total assets (Part X, line 16)	1,873,395,543.	3,208,128,190.
	21	Total liabilities (Part X, line 26)	274,885,915.	692,121,205.
22	Net assets or fund balances. Subtract line 21 from line 20	1,598,509,628.	2,516,006,985.	

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>		Date	8/13/10
	Alice C. Maroni, CFO	Type or print name and title	

<b>Paid Preparer's Use Only</b>	Preparer's signature ▶	Date	Check if self-employed <input type="checkbox"/>	Preparer's identifying number (see instructions)
	Firm's name (or yours if self-employed), address, and ZIP + 4 ▶	EIN ▶		Phone no. ▶

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

**Part III Statement of Program Service Accomplishments** (see instructions)

**1** Briefly describe the organization's mission:  
The increase and diffusion of knowledge.

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  Yes  No  
If "Yes", describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes  No  
If "Yes", describe these changes on Schedule O.

**4** Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

See Schedule O for Continuation(s)

**4a** (Code: ) (Expenses \$ 327,108,226. including grants of \$ 14,155,899. ) (Revenue \$ 37,145,229. )  
Research and Collections - The Smithsonian collection of nearly 137 million objects (art, artifacts and scientific specimens) are the heart of the Institution. Care of collections involves the work of registrars, conservators, museum specialists, designers, curators and editors. About 126 million objects are part of the natural history collections and primarily used for research purposes, by both Smithsonian scientists and researchers from around the world. In some cases, the museum has the definitive, irreplaceable collection of a certain species, which is essential for comparative studies. The collections represent our rich national heritage and the immense diversity of the natural and cultural worlds. The collections are fundamental to our work and to that of countless scholars and many

**4b** (Code: ) (Expenses \$ 244,560,748. including grants of \$ ) (Revenue \$ 59,380,285. )  
Education, Public Programs and Exhibitions - The Smithsonian opened 90 new exhibits this year; 52 exhibits traveled to venues in all 50 states. One of many examples related to this activity was the reopening of the Smithsonian's National Museum of American History in November 2008 following a two year renovation. The public was invited to see the Star Spangled Banner, one of the icons of the Institution's collection, in an entirely new way with a full exhibition of the history of this flag and an interactive station to allow visitors to create their own personalized tours of the flag. In addition, many other exhibitions were updated for the reopening including military history, presidential history and American popular culture.

The National Air and Space Museum celebrated the 40th anniversary of  
**4c** (Code: ) (Expenses \$ 55,356,151. including grants of \$ ) (Revenue \$ 62,806,836. )  
Associate Membership - The Smithsonian Associates provides educational and cultural programs that highlight and complement the work of the Smithsonian Institution through a wide variety of formats including performances, lectures, courses, workshops and special events on the National Mall and across the country. During its more than 40 year history, The Smithsonian Associates has become the nation's largest museum-based continuing education program. Each year The Smithsonian Associates creates and presents nearly 1,000 educational and cultural events. www.SmithsonianAssociates.org.

**4d** Other program services. (Describe in Schedule O.)  
(Expenses \$ including grants of \$ ) (Revenue \$ )

**4e** Total program service expenses \$ 627,025,125. (Must equal Part IX, Line 25, column (B).)

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?		X
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities? <i>If "Yes," complete Schedule C, Part II</i>	X	
5 <b>Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.</b> Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? <i>If "Yes," complete Schedule C, Part III</i>	N/A	
6 Did the organization maintain any donor advised funds or any accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	X	
9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11 Did the organization report an amount in Part X, lines 10, 12, 13, 15, or 25? <i>If "Yes," complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable</i>	X	
12 Did the organization receive an audited financial statement for the year for which it is completing this return that was prepared in accordance with GAAP? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII</i>	X	
13 Is the organization a school as described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the U.S.?	X	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the U.S.? <i>If "Yes," complete Schedule F, Part I</i>	X	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Part II</i>	X	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Part III</i>	X	
17 Did the organization report more than \$15,000 on Part IX, column (A), line 11e? <i>If "Yes," complete Schedule G, Part I</i>	X	
18 Did the organization report more than \$15,000 total on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20 Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i>		X
21 Did the organization report more than \$5,000 on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	X	
22 Did the organization report more than \$5,000 on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	X	
23 Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5? <i>If "Yes," complete Schedule J</i>	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b-24d and complete Schedule K. If "No," go to question 25</i>	X	
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	X	
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	X	
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	X	
25a <b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
b Did the organization become aware that it had engaged in an excess benefit transaction with a disqualified person from a prior year? <i>If "Yes," complete Schedule L, Part I</i>		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, or substantial contributor, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>		X

**Part IV Checklist of Required Schedules** (continued)

		Yes	No
<b>28</b>	During the tax year, did any person who is a current or former officer, director, trustee, or key employee:		
<b>a</b>	Have a direct business relationship with the organization (other than as an officer, director, trustee, or employee), or an indirect business relationship through ownership of more than 35% in another entity (individually or collectively with other person(s) listed in Part VII, Section A)? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
<b>b</b>	Have a family member who had a direct or indirect business relationship with the organization? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
<b>c</b>	Serve as an officer, director, trustee, key employee, partner, or member of an entity (or a shareholder of a professional corporation) doing business with the organization? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
<b>29</b>	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> .....	X	
<b>30</b>	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> .....	X	
<b>31</b>	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> .....		X
<b>32</b>	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> .....		X
<b>33</b>	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> .....		X
<b>34</b>	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i> .....		X
<b>35</b>	Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....		X
<b>36</b>	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....		X
<b>37</b>	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> .....		X

Form 990 (2008)

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

		Yes	No
<b>1a</b>	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S. Information Returns. Enter -0- if not applicable		
	3214		
<b>1b</b>	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
	0		
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	
<b>2a</b>	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
	5697		
<b>b</b>	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to <i>e-file</i> this return. (see instructions)	X	
<b>3a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	X	
<b>b</b>	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	X	
<b>4a</b>	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	X	
<b>b</b>	If "Yes," enter the name of the foreign country: <u>See Schedule O</u> See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
<b>5a</b>	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
<b>b</b>	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
<b>c</b>	If "Yes," to question 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?		
<b>6a</b>	Did the organization solicit any contributions that were not tax deductible?		X
<b>b</b>	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
<b>7</b>	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
<b>a</b>	Did the organization provide goods or services in exchange for any quid pro quo contribution of more than \$75?	X	
<b>b</b>	If "Yes," did the organization notify the donor of the value of the goods or services provided?	X	
<b>c</b>	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
<b>d</b>	If "Yes," indicate the number of Forms 8282 filed during the year		
	7d		
<b>e</b>	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
<b>f</b>	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
<b>g</b>	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?		
<b>h</b>	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?		
<b>8</b>	<b>Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a fund maintained by a sponsoring organization, have excess business holdings at any time during the year? N/A		
<b>9</b>	<b>Section 501(c)(3) and other sponsoring organizations maintaining donor advised funds.</b>		
<b>a</b>	Did the organization make any taxable distributions under section 4966? N/A		
<b>b</b>	Did the organization make a distribution to a donor, donor advisor, or related person? N/A		
<b>10</b>	<b>Section 501(c)(7) organizations.</b> Enter: N/A		
<b>a</b>	Initiation fees and capital contributions included on Part VIII, line 12		
	10a		
<b>b</b>	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
	10b		
<b>11</b>	<b>Section 501(c)(12) organizations.</b> Enter: N/A		
<b>a</b>	Gross income from members or shareholders		
	11a		
<b>b</b>	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)		
	11b		
<b>12a</b>	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?		
<b>b</b>	If "Yes," enter the amount of tax-exempt interest received or accrued during the year N/A		
	12b		

Part VI Governance, Management, and Disclosure (Sections A, B, and C request information about policies not required by the Internal Revenue Code.)

Section A. Governing Body and Management

For each "Yes" response to lines 2-7b below, and for a "No" response to lines 8 or 9b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Table with 11 rows and 3 columns (Line, Yes, No). Contains questions 1a through 11 regarding governing body members, relationships, and documentation.

Section B. Policies

Table with 12 rows and 3 columns (Line, Yes, No). Contains questions 12a through 16b regarding conflict of interest, whistleblower, document retention, and joint ventures.

Section C. Disclosure

Table with 4 rows and 2 columns (Line, Description). Contains questions 17 through 20 regarding Form 990 availability, public inspection, and contact information.

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Use Schedule J-2 if additional space is needed.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if the organization did not compensate any officer, director, trustee, or key employee.

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Honorable John Roberts, Regent		X					0.	0.	0.	
Honorable Joseph Biden Regent		X					0.	0.	0.	
Honorable Richard Cheney Regent		X					0.	0.	0.	
Honorable Xavier Becerra Regent		X					0.	0.	0.	
Honorable Thad Cochran Regent		X					0.	0.	0.	
Honorable Chris Dodd Regent		X					0.	0.	0.	
Honorable Sam Johnson Regent		X					0.	0.	0.	
Honorable Patrick Leahy Regent		X					0.	0.	0.	
Honorable Doris Matsui Regent		X					0.	0.	0.	
Mr. Eli Broad Regent		X					0.	0.	0.	
Ms. France A. Cordova Regent		X					0.	0.	0.	
Dr. Philip Frost Regent		X					0.	0.	0.	
Ms. Shirley Ann Jackson Regent		X					0.	0.	0.	
Mr. Robert P. Kogod Regent		X					0.	0.	0.	
Mr. John McCarter Regent		X					0.	0.	0.	
Mr. David Rubenstein Regent		X					0.	0.	0.	
Mr. Roger Sant Regent		X					0.	0.	0.	

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Mr. Alan G. Spoon Regent		X						0.	0.	0.
Ms. Patricia Stonesifer Regent		X						0.	0.	0.
G. Wayne Clough Secretary	50.00			X				245,106.	0.	15,224.
Charles R. Alcock Acting Under Sec-Science	50.00			X				143,189.	0.	20,631.
Virginia Clark Director-External Affair	50.00			X				345,053.	0.	53,146.
Richard Kurin Under Sec. - OUSHC	50.00			X				259,799.	0.	39,643.
John Lapiana Chief of Staff-Regents	50.00			X				176,056.	0.	29,782.
Judith Leonard General Counsel	50.00			X				0.	0.	0.
Evelyn Lieberman Director-OPA	50.00			X				264,289.	0.	35,240.
Alice Maroni Chief Financial Officer	50.00			X				316,186.	0.	51,054.
<b>1b Total</b>								8,661,751.	0.	1,169,315.

2 Total number of individuals (including those in 1a) who received more than \$100,000 in reportable compensation from the organization 498

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual	X	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? If "Yes," complete Schedule J for such person		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation
Turner Construction Company 3865 Wilson Blvd., Arlington, VA 22203	Construction	34,807,971.
Design and Productions 7110 Rainwater Place, Lorton, VA 22079	Exhibition Design	11,786,838.
G-W Management Services, LLC 11600 Nebel St., Rockville, MD 20852	Construction	7,980,487.
Hensel Phelps Construction Company, 4437 Brookfield Corp. Drive, Chantilly, VA	Construction	7,017,008.
Associated Builders, Inc. 4903 Baltimore Ave, Hyattsville, MD 20781	Construction	6,603,359.

2 Total number of independent contractors (including those in 1) who received more than \$100,000 in compensation from the organization 90

See Schedule J-2 for Part VII, Section A Continuation



<b>Part VIII Statement of Revenue</b>			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
<b>Contributions, gifts, grants and other similar amounts</b>	<b>1 a</b> Federated campaigns	<b>1a</b>	62,894.				
	<b>b</b> Membership dues	<b>1b</b>	11,382,405.				
	<b>c</b> Fundraising events	<b>1c</b>	165,085.				
	<b>d</b> Related organizations	<b>1d</b>					
	<b>e</b> Government grants (contributions)	<b>1e</b>	856,366,239.				
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b>	119,578,936.				
	<b>g</b> Noncash contributions included in lines 1a-1f: \$		3,132,309.				
	<b>h Total.</b> Add lines 1a-1f		987,555,559.				
<b>Program Service Revenue</b>	<b>2 a</b> Subscriptions-magazine	Business Code	511120	38,679,968.	38,679,968.		
	<b>b</b> Visitors/Members/Emplo		900099	33,292,990.		33,292,990.	
	<b>c</b> Theater Income		711110	11,506,363.	10,739,532.	766,831.	
	<b>d</b> Tours/Classes		900099	9,282,525.	9,282,525.		
	<b>e</b> Traveling Exhibitions		900099	1,791,945.	1,791,945.		
	<b>f</b> All other program service revenue		900099	1,614,426.	1,614,426.		
	<b>g Total.</b> Add lines 2a-2f			96,168,217.			
	<b>3</b> Investment income (including dividends, interest, and other similar amounts)			8,378,896.		8,378,896.	
<b>4</b> Income from investment of tax-exempt bond proceeds							
<b>5</b> Royalties			5,442,865.		5,442,865.		
<b>Other Revenue</b>	<b>6 a</b> Gross Rents	(i) Real					
		(ii) Personal					
	<b>b</b> Less: rental expenses						
	<b>c</b> Rental income or (loss)						
	<b>d</b> Net rental income or (loss)						
	<b>7 a</b> Gross amount from sales of assets other than inventory	(i) Securities		425,136,191.			
		(ii) Other		360,549.			
	<b>b</b> Less: cost or other basis and sales expenses		467,765,415.	5,997.			
	<b>c</b> Gain or (loss)		-42,629,224.	354,552.			
	<b>d</b> Net gain or (loss)			-42,274,672.		-42,274,672.	
	<b>8 a</b> Gross income from fundraising events (not including \$ 165,085. of contributions reported on line 1c). See Part IV, line 18	<b>a</b>		50,685.			
		<b>b</b> Less: direct expenses		126,301.			
	<b>c</b> Net income or (loss) from fundraising events			-75,616.		-75,616.	
	<b>9 a</b> Gross income from gaming activities. See Part IV, line 19	<b>a</b>					
		<b>b</b> Less: direct expenses					
	<b>c</b> Net income or (loss) from gaming activities						
	<b>10 a</b> Gross sales of inventory, less returns and allowances	<b>a</b>		72,423,127.			
		<b>b</b> Less: cost of goods sold		27,601,024.			
<b>c</b> Net income or (loss) from sales of inventory			44,822,103.	43,628,580.	1,193,523.		
<b>Miscellaneous Revenue</b>		<b>Business Code</b>					
<b>11 a</b> Magazine & Online Adve		541800	14,844,343.		14,844,343.		
	<b>b</b>						
<b>c</b>							
<b>d</b> All other revenue							
<b>e Total.</b> Add lines 11a-11d			14,844,343.				
<b>12 Total Revenue.</b> Add lines 1h, 2g, 3, 4, 5, 6d, 7d, 8c, 9c, 10c, and 11e			1,114,861,695.	105,736,976.	16,804,697.	4,764,463.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	59,902.	59,902.		
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22	9,170,343.	9,170,343.		
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16	4,925,654.	4,925,654.		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	8,497,275.	1,682,771.	6,432,389.	382,115.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	2,458,539.	1,959,640.	498,899.	
7 Other salaries and wages	430,406,618.	234,448,223.	184,827,054.	11,131,341.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	46,768,918.	25,475,653.	20,083,709.	1,209,556.
9 Other employee benefits	42,096,753.	22,930,663.	18,077,368.	1,088,722.
10 Payroll taxes	30,312,578.	16,511,665.	13,016,957.	783,956.
11 Fees for services (non-employees):				
a Management				
b Legal	435,730.	227,671.	208,059.	
c Accounting	816,556.		816,556.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17	3,708,702.			3,708,702.
f Investment management fees	759,593.		759,593.	
g Other				
12 Advertising and promotion	507,840.	193,612.	235,323.	78,905.
13 Office expenses	45,711,829.	23,505,813.	21,375,586.	830,430.
14 Information technology	18,345,337.		18,345,337.	
15 Royalties	302,855.	302,855.		
16 Occupancy	92,395,951.	13,385,663.	79,010,288.	
17 Travel	10,377,653.	8,549,358.	1,507,169.	321,126.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	2,594,412.	2,137,339.	376,792.	80,281.
20 Interest	2,268,802.		2,268,802.	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	105,853,076.	105,853,076.		
23 Insurance	1,037,535.	463,805.	573,730.	
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a Other Services	120,701,481.	37,097,392.	82,050,756.	1,553,333.
b Program Production	94,513,700.	93,200,632.	927,155.	385,913.
c Collections, Equipment,	5,967,451.	6,028,461.	-85,325.	24,315.
d Cost Allocation	0.	18,914,934.	-18,914,934.	
e				
f All other expenses				
25 Total functional expenses. Add lines 1 through 24f	1,080,995,083.	627,025,125.	432,391,263.	21,578,695.
26 Joint Costs. Check here <input checked="" type="checkbox"/> if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation	10,010,981.	4,667,924.		5,343,058.

**Part X Balance Sheet**

		(A) Beginning of year		(B) End of year	
Assets	1	Cash - non-interest-bearing	61,234,965.	1	397,201,310.
	2	Savings and temporary cash investments	54,916,624.	2	103,354,586.
	3	Pledges and grants receivable, net	194,029,524.	3	169,952,149.
	4	Accounts receivable, net	38,601,292.	4	35,895,499.
	5	Receivables from current and former officers, directors, trustees, key employees, or other related parties. Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use	13,154,485.	8	9,734,886.
	9	Prepaid expenses and deferred charges	16,389,718.	9	14,167,770.
	10a	Land, buildings, and equipment: cost basis	2,782,811,247.		
	10b	Less: accumulated depreciation. Complete Part VI of Schedule D	1,246,918,498.	10c	1,535,892,749.
	11	Investments - publicly traded securities	263,644,085.	11	327,139,323.
	12	Investments - other securities. See Part IV, line 11	742,227,456.	12	599,984,073.
	13	Investments - program-related. See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets. See Part IV, line 11	13,945,777.	15	14,805,845.
16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34)	1,873,395,543.	16	3,208,128,190.	
Liabilities	17	Accounts payable and accrued expenses	106,388,346.	17	275,782,898.
	18	Grants payable		18	
	19	Deferred revenue	56,574,292.	19	305,457,623.
	20	Tax-exempt bond liabilities	111,923,277.	20	110,880,684.
	21	Escrow account liability. Complete Part IV of Schedule D		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable		24	
	25	Other liabilities. Complete Part X of Schedule D		25	
	26	<b>Total liabilities.</b> Add lines 17 through 25	274,885,915.	26	692,121,205.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
	27	Unrestricted net assets	924,996,643.	27	1,848,235,518.
	28	Temporarily restricted net assets	439,268,952.	28	430,298,484.
	29	Permanently restricted net assets	234,244,033.	29	237,472,983.
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	<b>Total net assets or fund balances</b>	1,598,509,628.	33	2,516,006,985.
	34	<b>Total liabilities and net assets/fund balances</b>	1,873,395,543.	34	3,208,128,190.

**Part XI Financial Statements and Reporting**

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		X
2b	Were the organization's financial statements audited by an independent accountant?	X	
2c	If "Yes" to lines 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	X	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	X	
3b	If "Yes," did the organization undergo the required audit or audits?	X	

**SCHEDULE A  
(Form 990 or 990-EZ)**

**Public Charity Status and Public Support**

OMB No. 1545-0047

To be completed by all section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts.

**2008**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

<b>Name of the organization</b> Smithsonian Institution	<b>Employer identification number</b> 53-0206027
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**Part I Reason for Public Charity Status** (All organizations must complete this part.) (see instructions)

The organization is not a private foundation because it is: (Please check only **one** organization.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**. (Attach Schedule H.)
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete the Part III.)
- 10  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**. (see instructions)
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.  
 a  Type I      b  Type II      c  Type III - Functionally integrated      d  Type III - Other
- e  By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?  
 (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? 

	Yes	No
<b>11g(i)</b>		
<b>11g(ii)</b>		
<b>11g(iii)</b>		

 (ii) A family member of a person described in (i) above? 

	Yes	No
<b>11g(ii)</b>		
<b>11g(iii)</b>		

 (iii) A 35% controlled entity of a person described in (i) or (ii) above? 

	Yes	No
<b>11g(iii)</b>		
- h Provide the following information about the organizations the organization supports.

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
<b>Total</b>									

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule A (Form 990 or 990-EZ) 2008

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	334,858,674.	296,261,869.	319,342,958.	292,705,489.	998,937,964.	2242106954.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 - 3	334,858,674.	296,261,869.	319,342,958.	292,705,489.	998,937,964.	2242106954.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public Support. Subtract line 5 from line 4.						2242106954.

**Section B. Total Support**

Calendar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
7 Amounts from line 4	334,858,674.	296,261,869.	319,342,958.	292,705,489.	998,937,964.	2242106954.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	24,389,505.	25,580,470.	26,450,773.	15,896,019.	13,846,365.	106,163,132.
9 Net income from unrelated business activities, whether or not the business is regularly carried on	548,251.	634,660.	879,612.	291,563.	910,609.	3,264,695.
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						2351534781.
12 Gross receipts from related activities, etc. (see instructions)					12	688,182,081.
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2008 (line 6, column (f) divided by line 11, column (f))	14	95.35 %
15 Public support percentage from 2007 Schedule A, Part IV-A, line 26f	15	91.37 %
16a 33 1/3% support test - 2008. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input checked="" type="checkbox"/>
b 33 1/3% support test - 2007. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
17a 10% -facts-and-circumstances test - 2008. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
b 10% -facts-and-circumstances test - 2007. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		<input type="checkbox"/>

**Part III Support Schedule for Organizations Described in Section 509(a)(2)** (Complete only if you checked the box on line 9 of Part I.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6 Total.</b> Add lines 1 - 5						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the total of lines 9, 10c, 11, and 12 for the year or \$5,000						
<b>c</b> Add lines 7a and 7b						
<b>8 Public support</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in)	(a) 2004	(b) 2005	(c) 2006	(d) 2007	(e) 2008	(f) Total
<b>9</b> Amounts from line 6						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
<b>c</b> Add lines 10a and 10b						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
<b>13 Total support</b> (Add lines 9, 10c, 11, and 12.)						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2008 (line 8, column (f) divided by line 13, column (f))	<b>15</b>	%
<b>16</b> Public support percentage from 2007 Schedule A, Part IV-A, line 27g	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2008 (line 10c, column (f) divided by line 13, column (f))	<b>17</b>	%
<b>18</b> Investment income percentage from 2007 Schedule A, Part IV-A, line 27h	<b>18</b>	%

**19a 33 1/3% support tests - 2008.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

**b 33 1/3% support tests - 2007.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

**Political Campaign and Lobbying Activities**  
**For Organizations Exempt From Income Tax Under section 501(c) and section 527**

OMB No. 1545-0047

**2008**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

▶ **To be completed by organizations described below.**  
▶ **Attach to Form 990 or Form 990-EZ.**

**If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization <p align="center">Smithsonian Institution</p>	Employer identification number <p align="center">53-0206027</p>
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**Part I-A To be completed by all organizations exempt under section 501(c) and section 527 organizations.**

See the instructions for Schedule C for details.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures ..... ▶ \$ 0.
- 3 Volunteer hours .....

**Part I-B To be completed by all organizations exempt under section 501(c)(3).**

See the instructions for Schedule C for details.

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ..... ▶ \$ 0.
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ..... ▶ \$ 0.
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? .....  Yes  No
- 4a Was a correction made? .....  Yes  No
- b If "Yes," describe in Part IV.

**Part I-C To be completed by all organizations exempt under section 501(c), except section 501(c)(3).**

See the instructions for Schedule C for details.

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_
- 3 Total of direct and indirect exempt function expenditures. Add lines 1 and 2 and enter here and on Form 1120-POL, line 17b ..... ▶ \$ \_\_\_\_\_
- 4 Did the filing organization file **Form 1120-POL** for this year? .....  Yes  No
- 5 State the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made. Enter the amount paid and indicate if the amount was paid from the filing organization's funds or were political contributions received and promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.



**Part II-A To be completed by organizations exempt under section 501(c)(3) that filed Form 5768 (election under section 501(h)).** See the instructions for Schedule C for details.

- A** Check  if the filing organization belongs to an affiliated group.  
**B** Check  if the filing organization checked box A and "limited control" provisions apply.

<b>Limits on Lobbying Expenditures</b> (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals
<b>1a</b> Total lobbying expenditures to influence public opinion (grassroots lobbying) .....			
<b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying) .....			
<b>c</b> Total lobbying expenditures (add lines 1a and 1b) .....			
<b>d</b> Other exempt purpose expenditures .....			
<b>e</b> Total exempt purpose expenditures (add lines 1c and 1d) .....			
<b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.			
<b>If the amount on line 1e, column (a) or (b) is:</b>	<b>The lobbying nontaxable amount is:</b>		
Not over \$500,000	20% of the amount on line 1e.		
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.		
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.		
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.		
Over \$17,000,000	\$1,000,000.		
<b>g</b> Grassroots nontaxable amount (enter 25% of line 1f) .....			
<b>h</b> Subtract line 1g from line 1a. Enter -0- if line g is more than line a .....			
<b>i</b> Subtract line 1f from line 1c. Enter -0- if line f is more than line c .....			
<b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? .....		<input type="checkbox"/> Yes	<input type="checkbox"/> No

**4-Year Averaging Period Under Section 501(h)**  
 (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f of the instructions.)

<b>Lobbying Expenditures During 4-Year Averaging Period</b>					
Calendar year (or fiscal year beginning in)	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) Total
<b>2a</b> Lobbying non-taxable amount					
<b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))					
<b>c</b> Total lobbying expenditures					
<b>d</b> Grassroots non-taxable amount					
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					
<b>f</b> Grassroots lobbying expenditures					



**Part II-B To be completed by organizations exempt under section 501(c)(3) that have NOT filed Form 5768 (election under section 501(h)).** See the instructions for Schedule C for details.

	(a)		(b)
	Yes	No	Amount
<b>1</b> During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
<b>a</b> Volunteers?		X	
<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	X		
<b>c</b> Media advertisements?		X	
<b>d</b> Mailings to members, legislators, or the public?		X	
<b>e</b> Publications, or published or broadcast statements?		X	
<b>f</b> Grants to other organizations for lobbying purposes?		X	
<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body?	X		16,224.
<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means?		X	
<b>i</b> Other activities? If "Yes," describe in Part IV		X	
<b>j</b> Total lines 1c through 1i			16,224.
<b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912			
<b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
<b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

**Part III-A To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).** See the instructions for Schedule C for details.

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members?	1	
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
<b>3</b> Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

**Part III-B To be completed by all organizations exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, questions 1 and 2 are answered "No" OR if Part III-A, question 3 is answered "Yes."** See Schedule C instructions for details.

<b>1</b> Dues, assessments and similar amounts from members	1	
<b>2</b> Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
<b>a</b> Current year	2a	
<b>b</b> Carryover from last year	2b	
<b>c</b> Total	2c	
<b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
<b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
<b>5</b> Taxable amount of lobbying and political expenditures (line 2c total minus 3 and 4)	5	

**Part IV Supplemental Information**

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; and Part II-B, line 1i. Also, complete this part for any additional information.

Part II-B, Line 1(i), Other Lobbying Activities:

The Smithsonian Institution solicited support for capital improvements

and educational programs for the Cooper-Hewitt National Design Museum

and the George Gustave Heye Center of the National Museum of the

American Indian (both in New York City) from New York City and the

State of New York. Smithsonian staff met with public officials on a

**Part IV** Supplemental Information *(continued)*

limited number of occasions, and the value of staff time spent in

connection with these meetings was \$16,224.

Multiple horizontal lines for supplemental information.

**Schedule D**  
(Form 990)

Department of the Treasury  
Internal Revenue Service

**Supplemental Financial Statements**

▶ **Attach to Form 990. To be completed by organizations that answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.**

OMB No. 1545-0047

**2008**

**Open to Public Inspection**

Name of the organization

Smithsonian Institution

Employer identification number

53-0206027

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year .....		
2 Aggregate contributions to (during year) .....		
3 Aggregate grants from (during year) .....		
4 Aggregate value at end of year .....		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <input type="checkbox"/> Yes <input type="checkbox"/> No		
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor or other impermissible private benefit? <input type="checkbox"/> Yes <input type="checkbox"/> No		

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

Preservation of land for public use (e.g., recreation or pleasure)  Preservation of an historically important land area

Protection of natural habitat  Preservation of certified historic structure

Preservation of open space

2 Complete lines 2a-2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Year
a Total number of conservation easements .....	2a
b Total acreage restricted by conservation easements .....	2b
c Number of conservation easements on a certified historic structure included in (a) .....	2c
d Number of conservation easements included in (c) acquired after 8/17/06 .....	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ \_\_\_\_\_

4 Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_

5 Does the organization have a written policy regarding the periodic monitoring, inspection, violations, and enforcement of the conservation easements it holds?  Yes  No

6 Staff or volunteer hours devoted to monitoring, inspecting, and enforcing easements during the year ▶ \_\_\_\_\_

7 Amount of expenses incurred in monitoring, inspecting, and enforcing easements during the year ▶ \$ \_\_\_\_\_

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?  Yes  No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

- 1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.
- b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
- (i) Revenues included in Form 990, Part VIII, line 1 ..... ▶ \$ \_\_\_\_\_
- (ii) Assets included in Form 990, Part X ..... ▶ \$ \_\_\_\_\_
- 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items:
- a Revenues included in Form 990, Part VIII, line 1 ..... ▶ \$ \_\_\_\_\_
- b Assets included in Form 990, Part X ..... ▶ \$ \_\_\_\_\_

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

- 3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a  Public exhibition
  - b  Scholarly research
  - c  Preservation for future generations
  - d  Loan or exchange programs
  - e  Other
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Trust, Escrow and Custodial Arrangements.** Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No
- b If "Yes," explain the arrangement in Part XIV and complete the following table:
- |                                 | Amount |
|---------------------------------|--------|
| c Beginning balance             | 1c     |
| d Additions during the year     | 1d     |
| e Distributions during the year | 1e     |
| f Ending balance                | 1f     |
- 2a Did the organization include an amount on Form 990, Part X, line 21?  Yes  No
- b If "Yes," explain the arrangement in Part XIV.

**Part V Endowment Funds.** Complete if organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	906,268,000.				
b Contributions	26,942,000.				
c Investment earnings or losses	-405,000.				
d Grants or scholarships					
e Other expenditures for facilities and programs	-48,536,000.				
f Administrative expenses	-760,000.				
g End of year balance	883,509,000.				

- 2 Provide the estimated percentage of the year end balance held as:
- a Board designated or quasi-endowment  54.00 %
  - b Permanent endowment  46.00 %
  - c Term endowment  .00 %
- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- |                             | Yes                      | No                                  |
|-----------------------------|--------------------------|-------------------------------------|
| (i) unrelated organizations | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| (ii) related organizations  | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
- b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?  Yes  No
- 4 Describe in Part XIV the intended uses of the organization's endowment funds.

**Part VI Investments - Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Depreciation	(d) Book value
1a Land		12,530,301.		12,530,301.
b Buildings		2,146,738,140.	1,059,836,696.	1,086,901,444.
c Leasehold improvements		109,575,396.	18,296,754.	91,278,642.
d Equipment		228,176,000.	168,785,048.	59,390,952.
e Other		285,791,410.		285,791,410.
<b>Total.</b> Add lines 1a-1e. (Column (d) should equal Form 990, Part X, column (B), line 10(c).)				1,535,892,749.

**Part VII Investments - Other Securities.** See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
Financial derivatives and other financial products .....		
Closely-held equity interests .....		
Other		
Global & emerging markets	201,677,695.	End-of-Year Market Value
Absolute return	335,766,235.	End-of-Year Market Value
Private equity & venture capital	42,185,399.	End-of-Year Market Value
Real estate & commodities	20,354,744.	End-of-Year Market Value
<b>Total.</b> (Col (b) should equal Form 990, Part X, col (B) line 12.) ▶	599,984,073.	

**Part VIII Investments - Program Related.** See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
<b>Total.</b> (Col (b) should equal Form 990, Part X, col (B) line 13.) ▶		

**Part IX Other Assets.** See Form 990, Part X, line 15.

(a) Description	(b) Book value
Interest in Net Assets of Friends of the National Zoo	12,836,246.
Contributed non-collection items held for trade	1,969,599.
<b>Total.</b> (Column (b) should equal Form 990, Part X, col (B) line 15.) ▶	14,805,845.

**Part X Other Liabilities.** See Form 990, Part X, line 25.

(a) Description of liability	(b) Amount
Federal income taxes	
<b>Total.</b> (Column (b) should equal Form 990, Part X, col (B) line 25.) ▶	

In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48.

**Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements**

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	1,114,861,695.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	1,080,995,083.
3	Excess or (deficit) for the year. Subtract line 2 from line 1	3	33,866,612.
4	Net unrealized gains (losses) on investments	4	39,956,009.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	4,768,655.
9	Total adjustments (net). Add lines 4-8	9	44,724,664.
10	Excess or (deficit) for the year per financial statements. Combine lines 3 and 9	10	78,591,276.

**Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

1	Total revenue, gains, and other support per audited financial statements	1	1,193,665,752.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	39,956,009.
b	Donated services and use of facilities	2b	7,111,662.
c	Recoveries of prior year grants	2c	0.
d	Other (Describe in Part XIV)	2d	860,068.
e	Add lines 2a through 2d	2e	47,927,739.
3	Subtract line 2e from line 1	3	1,145,738,013.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	759,593.
b	Other (Describe in Part XIV)	4b	-31,635,911.
c	Add lines 4a and 4b	4c	-30,876,318.
5	Total revenue. Add lines 3 and 4c. (This should equal Form 990, Part I, line 12.)	5	1,114,861,695.

**Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

1	Total expenses and losses per audited financial statements	1	1,115,074,477.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	7,111,662.
b	Prior year adjustments	2b	0.
c	Losses reported on Form 990, Part IX, line 25	2c	0.
d	Other (Describe in Part XIV)	2d	27,727,325.
e	Add lines 2a through 2d	2e	34,838,987.
3	Subtract line 2e from line 1	3	1,080,235,490.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	759,593.
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	759,593.
5	Total expenses. Add lines 3 and 4c. (This should equal Form 990, Part I, line 18.)	5	1,080,995,083.

**Part XIV Supplemental information**

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b.

Part III, line 1a: In conformity with the practice generally followed by

museums, no value is assigned to the collections in the statement of

financial position. Purchases of collection items are recognized as

reductions in unrestricted net assets in the period of acquisition.

Proceeds from deaccessions or insurance recoveries for lost or destroyed

collection items are recognized as increases in the appropriate net asset

class and are generally designated for future collection acquisitions.

**Part XIV Supplemental Information** (continued)

Part III, line 4: The acquisition, preservation, management, and study of collections are fundamental to the Smithsonian's mission and have been the foundation upon which its reputation rests. Smithsonian collections are a national and global resource accessed each year by millions of visitors and researchers who use traditional methods and cutting-edge technologies to explore subjects from aeronautics to zoology. Through its collections, the Smithsonian presents the astonishing record of American and international artistic, historical, cultural, and scientific achievement, with a scope and depth no other institution in the world can match.

Assembled over more than 160 years, the collections are central to the core activities and to the vitality and significance of the Smithsonian. Smithsonian collections contribute to population recovery of endangered species, advances in reproductive biology, genome resource banking, medical research, forensic analysis, bio-security, and conservation policy worldwide.

Part V, line 4: The Endowment includes approximately 500 individual endowment funds. The Endowment provides stable financial support for scholarship, research activities, other programs, acquisitions for collections and other Institutional activities. It plays a critical role in enabling the Institution to achieve its mission - "the increase and diffusion of knowledge". The Endowment includes both Donor-restricted endowment funds and funds designated by the Board of Regents to function as endowments. Classification and reporting of net assets associated with the Endowment reflect Donor-imposed restrictions.

Part XI, Line 8 - Other Adjustments:

**Part XIV Supplemental Information** (continued)

Change in interest in net assets-Friends of Nat'l Zoo &

non-collection items

Deferred gain on building

Part XII, Line 2d - Other Adjustments:

Change in interest in net assets of Friends of the National Zoo

(FONZ) and

Non-collection items for resale.

Part XII, Line 4b - Other Adjustments:

Direct expenses other than fundraising and cost of goods sold

expense

Victor Bldg deferred revenue recognized

Part XIII, Line 2d - Other Adjustments:

Direct expenses other than fundraising and cost of goods sold

expense

FIN 48 footnote, as presented in the Smithsonian's audited financial

statements for the 2008 fiscal year:

On October 1, 2007, the Smithsonian adopted the provisions of FASB

Interpretation No. 48, Accounting for Uncertainty in Income Taxes (FIN

48). FIN 48 requires that a tax position be recognized or derecognized

based on a more-likely-than-not threshold. This applies to positions taken

or expected to be taken in a tax return. The implementation of FIN 48 had

no impact on the Smithsonian's financial statements. The Smithsonian does

not believe its financial statements include any uncertain tax positions.



**Schedule F  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Statement of Activities Outside the United States**

▶ **Attach to Form 990. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, line 15, or line 16.**

OMB No. 1545-0047

**2008**

**Open to Public Inspection**

<b>Name of the organization</b>  Smithsonian Institution	<b>Employer identification number</b>  53-0206027
--	---

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? .....  **Yes**  **No**

**2 For grantmakers.** Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States.

**3 Activities per Region.** (Use Schedule F-1 (Form 990) if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures in region
Central America and the Caribbean	0	0	Grants to Recipients Located in the Region		588,930.
Central America and the Caribbean	7	382	Program Services	Research facilities.	23,354,898.
East Asia & Pacific	0	0	Grants to Recipients Located in the Region		984,804.
Europe	0	0	Grants to Recipients Located in the Region		2,055,228.
Middle East & North Africa	0	0	Grants to Recipients Located in the Region		27,583.
North America	0	0	Grants to Recipients Located in the Region		260,982.
Russia & Newly Independent States	0	0	Grants to Recipients Located in the Region		102,069.
South America	0	0	Grants to Recipients Located in the Region		805,915.
<b>Totals</b> .....	7	382			28,602,984.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2008

**Part II Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000

Use Schedule F-1 (Form 990) if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	Academic appointment stipend	30,000.00	EFT	0.		

2 Enter total number of organizations that are recognized as charities by the foreign country or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter

3 Enter total number of other organizations or entities

**Part III Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.

Use Schedule F-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
Academic Appointment Stipends	Central America and the Caribbean	91	588,930	Direct Deposits & Checks	0.		
Academic Appointment Stipends	East Asia and the Pacific	56	984,804	Direct Deposits & Checks	0.		
Academic Appointment Stipends	Europe	98	2,055,228	Direct Deposits & Checks	0.		
Academic Appointment Stipends	Middle East and North Africa	5	27,583	Direct Deposits & Checks	0.		
Academic Appointment Stipends	North America	26	230,982	Direct Deposits & Checks	0.		
Academic Appointment Stipends	Russia and the Newly Independent States	14	102,069	Direct Deposits & Checks	0.		
Academic Appointment Stipends	South America	94	805,915	Direct Deposits & Checks	0.		
Academic Appointment Stipends	South Asia	2	16,388	Direct Deposits & Checks	0.		
Academic Appointment Stipends	Sub-Saharan Africa	5	83,755	Direct Deposits & Checks	0.		

**Part IV Supplemental Information**

Complete this part to provide the information required by Part I, line 2, and any other additional information.

Schedule F, Part I, Line 2: The Office of Fellowships has central management and administrative responsibility for the Smithsonian programs of research fellowships and other academic appointments for undergraduate, graduate students, postdoctoral and senior scholars. Eighty percent of these appointments will undergo a competitive review process. An official letter /agreement is provided to each award recipient identifying the award title, dates of tenure, stipend allowances, and required responsibilities for holding this position. All recipients either will identify a financial institution for receipt of their monetary award or they will receive US Treasury checks. Depending on the tenure of the appointment, payments can range from one lump sum, biweekly payments or monthly payments. Most fellowship appointments are awarded for one to two years. On occasion, we have visiting scholars or fellows who are conducting research in another country. Payments are usually submitted as stated above, however, on occasion, there may be one or two who request that their payments be sent to the country of their research. At the end of tenure, a final report of their research accomplishments is provided for our records. Smithsonian research staff who serve as advisors to these fellows, students and scholars are usually in the field during their tenure. The individual Smithsonian research centers monitor the progress of their fellows especially those in other countries, and the administrative management of funds is managed through the Institution's central administration for accountability.

Per Form 990 instructions, stipends are reported on Schedule F if the person receiving the stipend is living or residing outside the United States at the time the stipend is paid or distributed. However, many of these stipend recipients later travelled to the Smithsonian in the U.S.

**Part IV** Supplemental Information

Complete this part to provide the information required by Part I, line 2, and any other additional information.

to perform their research.

Lined area for supplemental information.

**SCHEDULE F-1**  
**(Form 990)**

**Continuation Sheet for Schedule F (Form 990)**

OMB No. 1545-0047

**2008**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

▶ **Attach to Form 990 to list additional information for Part I, line 3; Part II, line 1; or Part III.**

**Name of the organization** Smithsonian Institution **Employer identification number** 53-0206027

**Part I** **Continuation of Activities per Region.** (Schedule F (Form 990), Part I, line 3)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures in region
South Asia	0	0	Grants to Recipients Located in the Region		16,388.
Sub-Saharan Africa	0	0	Grants to Recipients Located in the Region		83,755.
Europe	0	0	Program Services	Research	130,673.
South America	0	0	Program Services	Research	86,759.
East Asia & Pacific	0	0	Program Services	Research	105,000.
<b>Totals</b> ▶					422,575.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F-1 (Form 990) 2008

**SCHEDULE G**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information Regarding  
Fundraising or Gaming Activities**

▶ Attach to Form 990 or Form 990-EZ. Must be completed by organizations that answer "Yes" to Form 990, Part IV, lines 17, 18, or 19, and by organizations that enter more than \$15,000 on Form 990-EZ, line 6a.

OMB No. 1545-0047

**2008**

**Open To Public  
Inspection**

Name of the organization

Smithsonian Institution

Employer identification number

53-0206027

**Part I Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a  Mail solicitations
- b  Email solicitations
- c  Phone solicitations
- d  In-person solicitations
- e  Solicitation of non-government grants
- f  Solicitation of government grants
- g  Special fundraising events

2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  Yes  No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. Form 990-EZ filers are not required to complete this table.

(i) Name of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Marts & Lundy	Capital campaign advising		X	0.	323,556.	0.
Epsilon Data	Direct mail		X	0.	2,204,009.	0.
Sanky Communications	Online fundraising		X	0.	139,280.	0.
J. Richard Taft Organization	Marketing & planned giving		X	0.	120,716.	0.
Avalon Consulting Group	Telemarketing & capital campaign		X	0.	356,937.	0.
Direct Advantage Marketing	Telemarketing & capital campaign		X	0.	315,853.	0.
Daniller & Company	Fundraising strategy		X	0.	165,286.	0.
Brakely Briscoe	Fundraising strategy		X	0.	83,065.	0.
<b>Total</b>					3,708,702.	

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing.

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**Part II Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other Events	(d) Total Events (Add col. (a) through col. (c))		
		Shriver Event (event type)	Young Benefactors Gala (event type)	6 (total number)			
Revenue	1	Gross receipts	51,050.	81,043.	83,677.	215,770.	
	2	Less: Charitable contributions	51,050.	43,544.	70,491.	165,085.	
	3	Gross revenue (line 1 minus line 2)		37,499.	13,186.	50,685.	
Direct Expenses	4	Cash prizes	0.	0.	0.		
	5	Non-cash prizes	0.	0.	0.		
	6	Rent/facility costs	0.	0.	0.		
	7	Other direct expenses	18,837.	53,919.	53,545.	126,301.	
	8	Direct expense summary. Add lines 4 through 7 in column (d)					( 126,301 )
	9	Net income summary. Combine lines 3 and 8 in column (d)					-75,616.

**Part III Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (Add col. (a) through col. (c))	
Revenue	1	Gross revenue				
Direct Expenses	2	Cash prizes				
	3	Non-cash prizes				
	4	Rent/facility costs				
	5	Other direct expenses				
	6	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No _____ %	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No _____ %	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No _____ %		
	7	Direct expense summary. Add lines 2 through 5 in column (d)				
	8	Net gaming income summary. Combine lines 1 and 7 in column (d)				

9 Enter the state(s) in which the organization operates gaming activities: \_\_\_\_\_

a Is the organization licensed to operate gaming activities in each of these states? \_\_\_\_\_

b If "No," Explain: \_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? \_\_\_\_\_

b If "Yes," Explain: \_\_\_\_\_

11 Does the organization operate gaming activities with nonmembers? \_\_\_\_\_

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? \_\_\_\_\_

	Yes	No
9a		
10a		
11		
12		



**13** Indicate the percentage of gaming activity operated in:

**a** The organization's facility ..... **13a** %

**b** An outside facility ..... **13b** %

**14** Provide the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

**15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ..... **15a**

**b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_ .

**c** If "Yes," enter name and address:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

**16** Gaming manager information:

Name ▶ \_\_\_\_\_

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

Director/officer       Employee       Independent contractor

**17** Mandatory distributions:

**a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ..... **17a**

**b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

	Yes	No
<b>13a</b>		
<b>13b</b>		
<b>14</b>		
<b>15a</b>		
<b>16</b>		
<b>17a</b>		
<b>17b</b>		

**SCHEDULE I  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the U.S.**

▶ **Complete if the organization answered "Yes," on Form 990, Part IV, lines 21 or 22.  
▶ Attach to Form 990.**

OMB No. 1545-0047

2008

**Open to Public  
Inspection**

Name of the organization **Smithsonian Institution** Employer identification number **53-0206027**

**Part I General Information on Grants and Assistance**

**1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  **Yes**  **No**

**2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Governments and Organizations in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed. ▶

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Michigan 412 Maynard St Ann Arbor, MI 48109			15,000.	0.			Academic Appointment Stipend
Florida Institute of Technology 150 West University Blvd Melbourne, FL 32901	59-6046500		7,535.	0.			Academic Appointment Stipend
Harvard College 24 Quincy St. Cambridge, MA 02138	04-2103580		23,304.	0.			Academic Appointment Stipend
University of CA-Santa Barbara Payroll Office-saasb 3201 Santa Barbara, CA 93106			8,000.	0.			Academic Appointment Stipend
University of Washington Box 352900 Seattle, WA 98195	91-6001537		6,063.	0.			Academic Appointment Stipend

**2** Enter total number of section 501(c)(3) and government organizations ..... ▶ **5.**

**3** Enter total number of other organizations ..... ▶

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990. **Schedule I (Form 990) 2008**

**Part III** **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
Academic Appointment Stipends	824	9,170,343.	0.		

**Part IV** **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Schedule I, Part I, Line 2: The Office of Fellowships has central management and administrative responsibility for the Smithsonian programs of research fellowships and other academic appointments for undergraduate, graduate students, postdoctoral and senior scholars. Eighty percent of these appointments will undergo a competitive review process. An official letter/agreement is provided to each award recipient identifying the award title, dates of tenure, stipend allowances, and required responsibilities for holding this position. All recipients either will identify a financial institution for receipt of their monetary award or they will receive US

**Part IV Supplemental Information**

Treasury checks. Depending on the tenure of the appointment, payments can range from one lump sum, biweekly payments or monthly payments. Most fellowship appointments are awarded for one to two years. At the end of tenure, a final report of their research accomplishments is provided for our records. Smithsonian research staff who serve as advisors to these fellows, students and scholars are usually in the field during their tenure. The individual Smithsonian research centers monitor the progress of their fellows, and the administrative management of funds is managed through the Institution's central administration for accountability.

**SCHEDULE J  
(Form 990)**

**Compensation Information**

OMB No. 1545-0047

**2008**

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 23.

Department of the Treasury  
Internal Revenue Service

Name of the organization

Smithsonian Institution

Employer identification number

53-0206027

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions                                | <input type="checkbox"/> Payments for business use of personal residence |
| <input checked="" type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees   |
| <input type="checkbox"/> Discretionary spending account                       | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

**b** If line 1a is checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain .....

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a? .....

**3** Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply.

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee              | <input type="checkbox"/> Written employment contract                                |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input type="checkbox"/> Form 990 of other organizations                | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed in Form 990, Part VII, Section A, line 1a:

- a** Receive a severance payment or change of control payment? .....
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan? .....
- c** Participate in, or receive payment from, an equity-based compensation arrangement? .....
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only 501(c)(3) and 501(c)(4) organizations must complete lines 5-8.**

**5** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization? .....
- b** Any related organization? .....
- If "Yes," to line 5a or 5b, describe in Part III.

**6** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization? .....
- b** Any related organization? .....
- If "Yes" to line 6a or 6b, describe in Part III.

**7** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III .....

**8** Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs. section 53.4958-4(a)(3)? If "Yes," describe in Part III .....

	Yes	No
<b>1b</b>	X	
<b>2</b>	X	
<b>4a</b>		X
<b>4b</b>		X
<b>4c</b>		X
<b>5a</b>		X
<b>5b</b>		X
<b>6a</b>	X	
<b>6b</b>		X
<b>7</b>		X
<b>8</b>		X

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use Schedule J-1 if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
G. Wayne Clough	(i)	235,446.	0.	9,660.	13,709.	1,515.	260,330.
	(ii)	0.	0.	0.	0.	0.	0.
Charles R. Alcock	(i)	139,730.	3,000.	459.	18,620.	2,011.	163,820.
	(ii)	0.	0.	0.	0.	0.	0.
Virginia Clark	(i)	326,590.	0.	18,463.	34,000.	19,146.	398,199.
	(ii)	0.	0.	0.	0.	0.	0.
Richard Kurin	(i)	226,937.	10,000.	22,862.	32,544.	7,099.	299,442.
	(ii)	0.	0.	0.	0.	0.	0.
John Lapiana	(i)	165,847.	10,000.	209.	23,278.	6,504.	205,838.
	(ii)	0.	0.	0.	0.	0.	0.
Evelyn Lieberman	(i)	236,292.	10,000.	17,997.	34,000.	1,240.	299,529.
	(ii)	0.	0.	0.	0.	0.	0.
Alice Maroni	(i)	297,902.	0.	18,284.	34,000.	17,054.	367,240.
	(ii)	0.	0.	0.	0.	0.	0.
Era Marshall	(i)	158,808.	0.	8,188.	0.	13,821.	180,817.
	(ii)	0.	0.	0.	0.	0.	0.
Alison McNally	(i)	162,084.	10,000.	20,500.	0.	6,510.	199,094.
	(ii)	0.	0.	0.	0.	0.	0.
Scott Miller	(i)	139,748.	8,000.	7,245.	7,245.	18,212.	180,450.
	(ii)	0.	0.	0.	0.	0.	0.
Thomas Ott	(i)	350,000.	191,431.	12,154.	33,804.	23,628.	611,017.
	(ii)	0.	0.	0.	0.	0.	0.
Anne Ryan	(i)	153,268.	0.	13,168.	23,172.	22,518.	212,126.
	(ii)	0.	0.	0.	0.	0.	0.
Marsha Shaines	(i)	162,313.	10,000.	20,500.	0.	1,765.	194,578.
	(ii)	0.	0.	0.	0.	0.	0.
Sudeep Anand	(i)	223,931.	5,000.	21,403.	31,006.	12,131.	293,471.
	(ii)	0.	0.	0.	0.	0.	0.
Gregory Bokman	(i)	197,102.	56,471.	15,752.	27,269.	18,787.	315,381.
	(ii)	0.	0.	0.	0.	0.	0.
William Brubaker	(i)	253,939.	0.	15,808.	34,000.	3,691.	307,438.
	(ii)	0.	0.	0.	0.	0.	0.

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Part I, Line 1a: Charter travel:

Dr. G. Wayne Clough and Dr. Scott Miller traveled to Mpala, Kenya on official business in June 2009. Drs. Clough and Miller traveled on commercial flights between Washington, DC and Nairobi, Kenya; however, there were no commercial flights between Nairobi and this area on the relevant dates, and travel via the lengthy overland route was deemed unsafe. Therefore, use of charter flights was approved in advance by General Counsel and the Under Secretary for Finance and Administration, consistent with Smithsonian policy. As this was an ordinary and necessary business expense, it was not treated as taxable compensation.

Tax indemnification and gross-up payments:

The Smithsonian reimbursed Ira Rubinoff for the payment of federal income taxes associated with expense and allowance reimbursements on which the Smithsonian erroneously had not withheld taxes. The reimbursement was treated as taxable compensation to Dr. Rubinoff.

Part I, Line 6: Certain employees of Smithsonian Enterprises (SE) are

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

eligible to participate in the Smithsonian Enterprises Management Incentive

Plan. This eligibility is determined on an annual basis. Even if eligible

to participate, a performance review rating of "Fully Successful" must be

received. The Plan includes both financial and individual (operational)

goals, and these goals must be met in order to receive an incentive

payment. There are different performance levels, with each successive

level giving a higher incentive payment. In addition, the eligible person

must be employed by the organization on the last day of the fiscal year in

order to receive payment.

Part II:

The difference for some individuals between this year's total reported

compensation and the compensation reported in the prior Form 990 is due to

the addition this year in the compensation totals of certain payments that

are now are clearly required by the instructions to be included. In

previous years, the Smithsonian interpreted the instructions as not

requiring the inclusion of certain payments in total compensation, such as

the employer's retirement plan contributions and other non-taxable

benefits. In addition, Dr. G. Wayne Clough started as Smithsonian



**Part III** Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Secretary in July 2008, so only 6 months of compensation for the 2008

calendar year is reported in Part VII and Schedule J. Judith Leonard,

General Counsel, started in June, 2009, as so is reported on Part VII and

Schedule J as an officer during the 2009 fiscal year; however, since she

was not employed during the 2008 calendar year, no compensation is reported

for her.

**Name of the organization**

Smithsonian Institution

**Employer identification number**

53-0206027

**Part I** **Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (Schedule J, Part II)**

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation				(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	(iii) Other reportable compensation				
Amy Chen	(i)	254,284.	100,000.	21,063.	30,500.	3,347.	409,194.	287,830.
	(ii)	0.	0.	0.	0.	0.	0.	0.
Bruce Dauer	(i)	254,565.	0.	18,592.	34,000.	3,446.	310,603.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
James Douglas	(i)	221,279.	0.	20,497.	31,415.	4,671.	277,862.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
Robert Fraga	(i)	155,041.	0.	13,959.	0.	19,763.	188,763.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
Clair Gill	(i)	222,956.	0.	22,308.	31,253.	3,668.	280,185.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
Mary Payne	(i)	230,819.	0.	20,579.	30,925.	7,798.	290,121.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
Ann Speyer	(i)	262,867.	0.	14,375.	31,625.	1,264.	310,131.	194,357.
	(ii)	0.	0.	0.	0.	0.	0.	0.
Andrew Zino	(i)	158,166.	10,000.	15,500.	7,945.	18,397.	210,008.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
Kerry Bianchi	(i)	205,769.	80,000.	262.	32,733.	19,919.	338,683.	269,374.
	(ii)	0.	0.	0.	0.	0.	0.	0.
Alan Chu	(i)	200,424.	62,430.	15,756.	31,751.	19,528.	329,889.	246,799.
	(ii)	0.	0.	0.	0.	0.	0.	0.
John Dailey	(i)	289,614.	5,400.	22,808.	34,000.	2,757.	354,579.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
Lisa Dunham	(i)	183,297.	55,197.	15,734.	28,602.	14,315.	297,145.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
Carey Winfrey	(i)	275,887.	71,240.	20,851.	34,225.	21,786.	423,989.	326,317.
	(ii)	0.	0.	0.	0.	0.	0.	0.
John Huerta	(i)	195,157.	0.	17,712.	31,020.	9,315.	253,204.	183,621.
	(ii)	0.	0.	0.	0.	0.	0.	0.
Ira Rubinoff	(i)	196,523.	17,000.	23,941.	27,724.	1,112.	266,300.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
Cristian Samper	(i)	300,972.	60,000.	308.	34,000.	15,605.	410,885.	285,400.
	(ii)	0.	0.	0.	0.	0.	0.	0.
Scott Rockman	(i)	167,788.	73,049.	121,291.	16,375.	12,252.	390,755.	319,562.
	(ii)	0.	0.	0.	0.	0.	0.	0.

**SCHEDULE J-2**  
**(Form 990)**

**Continuation Sheet for Form 990**

OMB No. 1545-0047

**2008**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

▶ **Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.**

Name of the Organization

Smithsonian Institution

Employer Identification number

53-0206027

**Part I Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Era Marshall Director-OEEMA	50.00			X			166,996.	0.	13,821.	
Alison McNally Under Sec.-Fin.& Admin	50.00			X			192,584.	0.	6,510.	
Scott Miller Acting Under Sec-Science	50.00			X			154,993.	0.	25,457.	
Thomas Ott President, SE	50.00			X			553,585.	0.	57,432.	
Anne Ryan Inspector General	50.00			X			166,436.	0.	45,690.	
Marsha Shaines Deputy General Counsel	50.00			X			192,813.	0.	1,765.	
Sudeep Anand Treasurer	50.00				X		250,334.	0.	43,137.	
Gregory Bokman CFO-SE	50.00				X		269,325.	0.	46,056.	
William Brubaker Director-OFEO	50.00				X		269,747.	0.	37,691.	
Amy Chen Chief Investment Officer	50.00				X		375,347.	0.	33,847.	
Bruce Dauer Director-OPMB	50.00				X		273,157.	0.	37,446.	
James Douglas Director-Human Resources	50.00				X		241,776.	0.	36,086.	
Robert Fraga Director-OCon	50.00				X		169,000.	0.	19,763.	
Clair Gill Deputy Director, OFEO	50.00				X		245,264.	0.	34,921.	
Mary Payne Director-OGR	50.00				X		251,398.	0.	38,723.	
Ann Speyer Chief Information Office	50.00				X		277,242.	0.	32,889.	
Andrew Zino Comptroller	50.00				X		183,666.	0.	26,342.	
Kerry Bianchi Publisher Magazine Group	50.00					X	286,031.	0.	52,652.	
Alan Chu General Mgr-SE Magazine	50.00					X	278,610.	0.	51,279.	
John Dailey Director-NASM	50.00					X	317,822.	0.	36,757.	

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J-2 (Form 990) 2008

**SCHEDULE J-2  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Form 990**

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.

OMB No. 1545-0047

**2008**

**Open to Public  
Inspection**

Name of the Organization

Smithsonian Institution

Employer Identification number

53-0206027

**Part I Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Lisa Dunham Circulation Director	50.00				X			254,228.	0.	42,917.
Carey Winfrey Editor	50.00				X			367,978.	0.	56,011.
John Huerta General Counsel	50.00						X	212,869.	0.	40,335.
Ira Rubinoff Under Sec.-Science	50.00						X	237,464.	0.	28,836.
Cristian Samper Acting Secretary	50.00						X	361,280.	0.	49,605.
Scott Rockman Exec VP-Member Svcs	50.00						X	362,128.	0.	28,627.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

**SCHEDULE K  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information on Tax-Exempt Bonds**

▶ Attach to Form 990. To be completed by organizations that answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information on Schedule O (Form 990).

OMB No. 1545-0047

2008

Open to Public  
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Name of the organization

Smithsonian Institution

Employer identification number

53-0206027

**Part I Bond Issues (Required for 2008)**

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer	
						Yes	No	Yes	No
Fairfax County Economic Development Authority	54-0787833	30382EC75	12/03/03	77,545,000.	To construct a museum.		X		X
B									
C									
D									
E									

**Part II Proceeds (Optional for 2008)**

	A		B		C		D		E	
1 Total proceeds of issue		77,545,000.								
2 Gross proceeds in reserve funds										
3 Proceeds in refunding or defeasance escrows										
4 Other unspent proceeds										
5 Issuance costs from proceeds		530,475.								
6 Working capital expenditures from proceeds										
7 Capital expenditures from proceeds		77,014,525.								
8 Year of substantial completion		2003								

9 Were the bonds issued as part of a current refunding issue?										
10 Were the bonds issued as part of an advance refunding issue?		X								
11 Has the final allocation of proceeds been made?										
12 Does the organization maintain adequate books and records to support the final allocation of proceeds?	X									

**Part III Private Business Use (Optional for 2008)**

	A		B		C		D		E	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X								
2 Are there any lease arrangements with respect to the financed property which may result in private business use?		X								

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LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule K (Form 990) 2008

**Part III Private Business Use (Continued)**

	A		B		C		D		E	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts with respect to the financed property which may result in private business use?		X								
<b>b</b> Are there any research agreements with respect to the financed property which may result in private business use?		X								
<b>c</b> Does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts or research agreements relating to the financed property?		X								
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		.00	%				%			%
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		.00	%				%			%
<b>6</b> Total of lines 4 and 5		.00	%				%			%
<b>7</b> Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X									

**Part IV Arbitrage (Optional for 2008)**

	A		B		C		D		E	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?	X									
<b>2</b> Is the bond issue a variable rate issue?		X								
<b>3a</b> Has the organization or the governmental issuer identified a hedge with respect to the bond issue on its books and records?		X								
<b>b</b> Name of provider										
<b>c</b> Term of hedge										
<b>4a</b> Were gross proceeds invested in a GIC?		X								
<b>b</b> Name of provider										
<b>c</b> Term of GIC										
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?		X								
<b>5</b> Were any gross proceeds invested beyond an available temporary period?		X								
<b>6</b> Did the bond issue qualify for an exception to rebate?		X								

**SCHEDULE M  
(Form 990)**

**NonCash Contributions**

OMB No. 1545-0047

**2008**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ To be completed by organizations that answered "Yes" on Form 990, Part IV, lines 29 or 30.

▶ Attach to Form 990.

Name of the organization Smithsonian Institution Employer identification number 53-0206027

**Part I Types of Property**

	(a) Check if applicable	(b) Number of contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1 Art - Works of art .....	X	4,523	0.	
2 Art - Historical treasures .....				
3 Art - Fractional interests .....	X	15	0.	
4 Books and publications .....				
5 Clothing and household goods .....				
6 Cars and other vehicles .....				
7 Boats and planes .....				
8 Intellectual property .....				
9 Securities - Publicly traded .....	X	90	8,194,073.	Cost or selling price.
10 Securities - Closely held stock .....				
11 Securities - Partnership, LLC, or trust interests .....				
12 Securities - Miscellaneous .....				
13 Qualified conservation contribution (historic structures) .....				
14 Qualified conservation contribution (other) .....				
15 Real estate - Residential .....				
16 Real estate - Commercial .....				
17 Real estate - Other .....				
18 Collectibles .....	X	14,380	0.	
19 Food inventory .....				
20 Drugs and medical supplies .....				
21 Taxidermy .....				
22 Historical artifacts .....	X	3,234	0.	
23 Scientific specimens .....	X	202,227	0.	
24 Archeological artifacts .....	X	1,540	0.	
25 Other ▶ ( Archival Reco ) .....	X	26,291	0.	
26 Other ▶ ( Archival Reco ) .....	X	1,133	0.	
27 Other ▶ ( ) .....				
28 Other ▶ ( ) .....				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgment ..... **29** 45

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? .....

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions? .....

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? .....

b If "Yes," describe in Part II.

33 If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II.

	Yes	No
30a		X
31	X	
32a		X

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) 2008

**Part II** **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33.  
Also complete this part for any additional information.

Schedule M, Line 33: In accordance with professional practice, as

allowed by SFAS 116, the Smithsonian does not place a monetary value on

collections acquired by donation.



**SCHEDULE O**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990**

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

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Employer identification number

53-0206027

Form 990, Part III, Line 4a, Program Service Accomplishments:

federal agencies; it is our responsibility to preserve them for future generations. To ensure they remain available, we improved collection storage and continued to develop our plan to digitize the collections so that they are accessible to the public and researchers around the world.

Form 990, Part III, Line 4b, Program Service Accomplishments:

the Apollo 11 moon landing with an exhibit featuring space-related objects from its collection. Two of the highlights of this exhibit included the Arago collection and public programs with the Apollo 11 astronauts.

The Smithsonian also celebrated the inauguration of President Obama with special exhibits and programs in January 2009. These special exhibits and programs included "The American Presidency: A Glorious Burden", "Presidents in Waiting" and "First Ladies at the Smithsonian".

In total, the Smithsonian museums served approximately 30 million visitors in FY2009.

Form 990, Part V, Line 4b, List of Foreign Countries:

Argentina, Australia, Austria, Bahrain,

Belgium, Botswana, Brazil, Canada,

Chile, China, Colombia, Czech Republic,

Denmark, Egypt, Finland, France,

Germany, Ghana, Greece, Hong Kong,

Hungary, India, Indonesia, Ireland,

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule O (Form 990) 2008

**SCHEDULE O**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990**

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Israel, Italy, Japan, Jordan,

Kazakhstan, Kenya, South Korea, Kuwait,

Lebanon, Malaysia, Mauritius, Mexico,

Morocco, Namibia, Netherlands, New Zealand,

Nigeria, Norway, Oman, Pakistan,

Panama, Peru, Philippines, Poland,

Portugal, Qatar, Russia,

Saudi Arabia, Senegal, Singapore, South Africa,

Spain, Sweden, Switzerland, Taiwan,

Thailand, Tunisia, Turkmenistan, Turkey,

Ukraine, United Arab Emirates, United Kingdom, Vietnam,

Zambia, Zimbabwe

Form 990, Part VI, Section A, line 7a: Pursuant to federal statute, the

Board of Regents consists of the Vice President of the United States, the

Chief Justice of the United States, three members of the U.S. Senate, three

members of the U.S. House of Representatives, and nine additional Regents.

The three Senators are appointed by the President Pro Tempore of the U.S.

Senate, and the three members of the House of Representatives are appointed

by the Speaker of the House of Representatives. Their appointment terms

coincide with the terms for which they are elected, and they may be

reappointed if re-elected. The citizen Regents are nominated by the Board

of Regents, and appointed by a Joint Resolution of Congress which is signed

by the President of the United States.

Form 990, Part VI, Section A, line 10: The Form 990 (return), Return of

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

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12-18-08

Schedule O (Form 990) 2008

**SCHEDULE O**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990**

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Organization Exempt from Income Tax, is prepared by the Office of the  
Comptroller of the Smithsonian Institution (Institution). Prior to filing,  
the return is reviewed by the Smithsonian's Office of General Counsel and  
the Institution's outside auditors, if deemed necessary. Once these reviews  
are completed, and before filing the return, the Comptroller performs a  
comprehensive review of the return and all related documents with a  
sub-committee of the Audit and Review Committee of the Board of Regents  
(the Institution's governing board). The full Audit and Review Committee  
then holds a special meeting, the sole purpose of which is to review the  
return in detail, and the Secretary of the Smithsonian also reviews the  
return. The return then is provided to the full Board of Regents via the  
Regent's password protected internal website before it is filed with the  
Internal Revenue Service. The Board is offered the opportunity to contact  
the Comptroller with any questions before the return is filed.

Form 990, Part VI, Section B, Line 12c: All key employees and officers are  
required to complete and file annually a Confidential Financial Disclosure  
Report. These Reports--which require disclosure of certain assets, earned  
income, liabilities, outside positions, outside contracts and agreements,  
gifts, hospitality, reimbursements, and other interests related to the  
employee's Smithsonian position--are reviewed by the Institution's Office  
of General Counsel for compliance with applicable conflict of interest  
policies and laws. In addition to this required reporting, all key  
employees are required to comply with the Institution's Standards of  
Conduct, which, among other things, requires that employees not engage in  
private or personal activities that might conflict or appear to conflict

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule O (Form 990) 2008

**SCHEDULE O**  
**(Form 990)**

Department of the Treasury  
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with Smithsonian interests, requires that employees obtain Office of General Counsel approval before engaging in a related outside activity for compensation, and requires employees to consult with the Office of General Counsel whenever a doubt exists as to whether an activity or planned activity violates the Standards. All members of the Smithsonian's governing body are required to complete and file annually the Board of Regents Annual Disclosure Statement. These statements - which require reporting by members of outside positions and substantial shareholding in for-profit business entities, outside positions in non-profit entities, and interests and affiliations of the member or the member's immediate family that have or sought to have a relationship to the Smithsonian-are reviewed by the Institution's General Counsel for compliance with applicable conflict of interest policies and laws. In addition to this required reporting, all members of the Smithsonian's governing body are required to comply with the Board of Regents Ethics Guidelines, which, among other things, define conflicts of interest and establish procedures for disclosing and reporting of conflicts and recusal from decision-making.

Form 990, Part VI, Section B, Line 15: The Smithsonian has both Federal and Trust executive employees. Below are summaries of how compensation for each type is determined.

Trust:

When setting compensation levels, the Smithsonian ensures that the compensation paid is both reasonable and comparable to that offered by comparable organizations to executives with similar duties and responsibilities. In determining comparability, the Smithsonian relies

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule O (Form 990) 2008

**SCHEDULE O**  
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upon verifiable information provided by highly regarded, independent compensation consultants. The Board of Regents hired PricewaterhouseCoopers as consultants.

The Committee on Compensation and Human Resources prepares for its annual compensation review from information learned during its meetings with the Secretary and senior Smithsonian staff, which are conducted at least three times a year, in addition to four regularly scheduled meetings of the full Board. In preparing for their annual analysis of compensation, the Committee is well informed on the Institution's progress toward achieving its annual financial and budgetary goals.

For those positions subject to a market-based compensation approach, the Committee's review is aided by a comparative survey performed by a highly regarded, independent outside consultant. Currently, this survey is performed every two years. During the "off" year, the data is traditionally aged using an aging factor provided by the expert consultant.

To determine compensation comparables, the Compensation and Human Resources Committee, upon considering the recommendations of the compensation consultant, and in keeping with accepted practices, determines the types of organizations that are similarly situated, and requires the compensation consultant to compare the duties and responsibilities of the specific Smithsonian positions with those in similarly situated organizations to ensure that the positions are functionally comparable. Those decisions are documented as part of the final compensation materials.

Federal:

Unlike with its Trust executives, the Smithsonian does not rely upon

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Schedule O (Form 990) 2008

**SCHEDULE O**  
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comparative data to set salaries for its Federal executives. Instead,  
Federal salaries are governed by SL ("senior level") pay scale, which has  
an aggregate pay cap. Congress passes annual legislation establishing the  
annual pay increase, and the overall pay scales are then created annually.  
Previously, SL pay was composed of base pay plus locality pay; in April  
2009, legislation eliminated the locality pay, moving it all into the base  
pay category for existing SL employees.  
Federal senior level salary increases are determined by an executive's  
performance rating, with clear guidelines set by the Institution  
delineating a range of potential salary increases for each level of  
performance rating. Unit Directors make their recommendations for salary  
increases and performance awards through their respective Under Secretaries  
to the Secretary.

Form 990, Part VI, Section C, Line 19: The Smithsonian makes these  
documents available on its public website ([www.smithsonian.org](http://www.smithsonian.org)). The  
documents can also be viewed at the Comptroller's office or mailed.

Schedule G, Part I, Line 2b, Column (v): As the Smithsonian has multiple  
fundraisers, some of whom work on the same campaign, it is not possible  
to accurately report the exact amount of revenue associated with each  
fundraiser. Please note that none of the fundraisers had control of any  
contributions; all were paid under the terms of negotiated contracts.

Form 990, Page 1, Line K

Type of organization (other)

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule O (Form 990) 2008

**SCHEDULE O**  
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Department of the Treasury  
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The Smithsonian Institution is a trust instrumentality of the United States, created by Congress, and organized pursuant to 20 U.S.C. sec. 41 et seq.

Form 990, Page 1, Line M

As a trust instrumentality of the United States, the Smithsonian Institution is a federal entity that is not domiciled in any state.

Form 990, Part I, Line 8

Income and expense variance between years:

The significant variance in income and expenses between the Smithsonian's FY2008 (tax year 2007) and FY2009 (tax year 2008) Form 990 reporting is the result of including, for the first time, the Smithsonian's federally appropriated funds income and expenditures in its FY2009 return. The Smithsonian determined in the past that federally appropriated funds were not required to be included in its Form 990. While the public has extensive access to information regarding the Smithsonian's appropriated fund income and expenditures, in the interest of providing the public with a more comprehensive view of the Smithsonian's activities and greater transparency about its total revenues and expenses, the Smithsonian has elected to include those federally appropriated funds in this and future Form 990s.

Schedule G, Part I, line 3

The Smithsonian is a trust instrumentality of the United States and as such is exempt from state regulations pursuant to the Supremacy Clause

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Schedule O (Form 990) 2008

**SCHEDULE O**  
**(Form 990)**

Department of the Treasury  
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**Supplemental Information to Form 990**

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of the U.S. Constitution. All states that have inquired about

Smithsonian fundraising solicitation registration have acknowledged

this exemption.

Form 990, Schedule L

Schedule L not required to be filed

This schedule will not be filed as the Smithsonian is aware of no

reportable transactions with interested persons as defined by the

Internal Revenue Code. The Smithsonian performed the following due

diligence to determine that Schedule L was not required for this tax

year.

All current and former Regents, Officers and Key Employees were sent a

990 Questionnaire covering the organization's fiscal year

(10/1/2008-9/30/2009). This questionnaire asked each of these persons

five questions regarding business and personal relationships with the

Smithsonian Institution, current and former Regents, Officers and Key

Employees. To assist in answering questions accurately, each person

was provided with the IRS definition of relevant terminology, along

with a listing of all persons determined to be current and former

Regents, Officers and Key Employees. Per review of the returned

questionnaires, it was determined that no transactions occurred between

the organization and/or any interested persons.

Form 990, Part VII and Schedule J-2

Smithsonian Institution abbreviations used in return

The following are Smithsonian Institution abbreviations found in the

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule O (Form 990) 2008



**SCHEDULE O**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990**

▶ Attach to Form 990. To be completed by organizations to provide additional information for responses to specific questions for the Form 990 or to provide any additional information.

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body of this return:

NASM - National Air and Space Museum

OCon - Office of Contracting

OEEMA - Office of Equal Employment and Minority Affairs

OFEO - Office of Facilities, Engineering and Operations

OGR - Office of Government Relations

OPA - Office of Public Affairs

OPMB - Office of Planning, Management and Budget

OUSHC - Office of the Under Secretary of History and Culture

SE - Smithsonian Enterprises