

Deep dive into the Eclipse OpenJ9 GC technologies

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About me



- Senior Software Developer on the IBM Runtime Technologies Team
- Project Lead on Eclipse OMR and a committer on Eclipse OpenJ9
- Has worked on Garbage Collection Technology for over 10 years with a focus on scalability



OpenJ9 GC technologies

- Open J9 Project
- Garbage collection overview
- Open J9 GC technologies
- Questions



Eclipse OpenJ9 Created Sept 2017

http://www.eclipse.org/openj9
https://github.com/eclipse/openj9

Dual License: Eclipse Public License v2.0 Apache 2.0

Users and contributors very welcome

https://github.com/eclipse/openj9/blob/master/CONTRIBUTING.md





Prebuilt OpenJDK Binaries

Java[™] is the world's leading programming language and platform. The code for Java is open source and available at OpenJDK[™]. AdoptOpenJDK provides prebuilt OpenJDK binaries from a fully open source set of build scripts and infrastructure.

Looking for docker images? Pull them from our repository on dockerhub



The place to get OpenJDK builds

For both:

- OpenJDK with Hotspot
- OpenJDK with Eclipse OpenJ9

https://adoptopenjdk.net/releases.html?va
riant=openjdk9-openj9

Get involved **⊙**

Installation

O



Eclipse OMR Created March 2016

http://www.eclipse.org/omr
https://github.com/eclipse/omr
https://developer.ibm.com/open/omr/

Dual License: Eclipse Public License v2.0 Apache 2.0

Users and contributors very welcome

https://github.com/eclipse/omr/blob/master/CONTRIBUTING.md



Garbage Collection

"Garbage Collection (GC) is form automatic memory management. The garbage collector attempts to reclaim memory occupied by objects that are no longer is use by the program."



Garbage Collection

Positives:

- 1. Automatic memory management
- 2. Help reduce certain categories of bugs

Negatives:

- 1. Requires additional resources
- 2. Can cause application pauses
- 3. May introduce runtime costs
- 4. Application has little control of when memory is reclaimed



OpenJ9 GC goals

- 1. Implement re-usable technology
- 2. Provide highly scalable GC technology
- 3. Favor smaller memory consumption



- -Xgcpolicy:
 - 1. optthruput stop the world parallel collector



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 - 2. optavgpause concurrent collector



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 - 4. balanced region based generational collector



-Xgcpolicy:

- 1. optthruput stop the world parallel collector
- 2. optavgpause concurrent collector
- 3. gencon generational copying collector
- 4. balanced region based generational collector
- 5. metronome incremental soft realtime collector



- Parallel global collector
 - GC operations are completed in Stop the world (STW) pauses
 - Mark, sweep and optional compaction collector
 - All GC operations are completed in parallel by multiple helper threads
- GC native memory overhead for mark map and work packets



- Flat heap
 - 1 continuous block of memory

Heap



- Flat heap
 - 1 continuous block of memory
- Divided into 2 logical memory areas for allocation
 - SOA small object area
 - LOA large object area

SOA

Heap



- Allocation is a first fit algorithm
 - OpenJ9 uses TLHs to improve allocation performance*





- Allocation is a first fit algorithm
 - OpenJ9 uses TLHs to improve allocation performance*





- Allocation is a first fit algorithm
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- Allocation is a first fit algorithm
 - OpenJ9 uses TLHs to improve allocation performance*
- Allocate until SOA is completely full

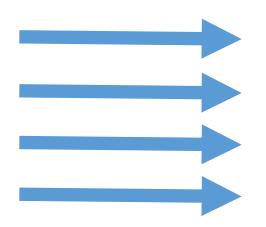




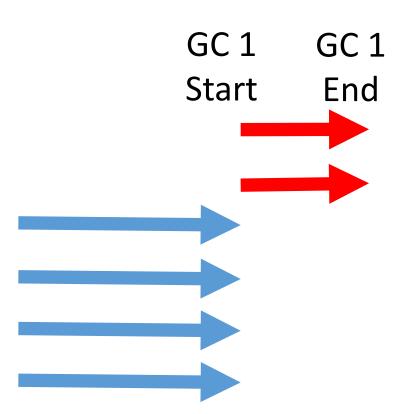
- Allocation is a first fit algorithm
 - OpenJ9 uses TLHs to improve allocation performance*
- Allocate until SOA is completely full
 - Now perform a GC



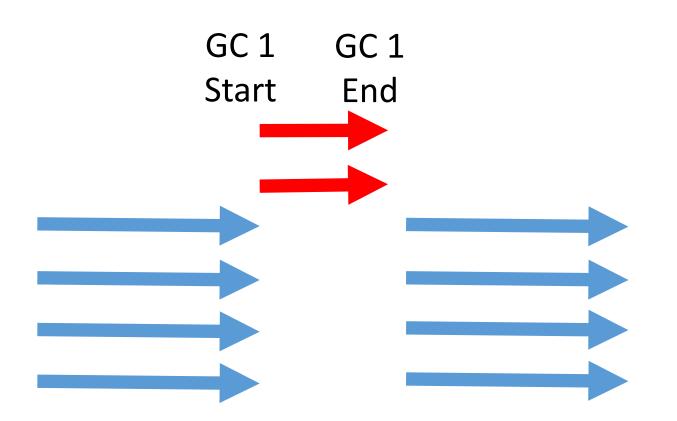




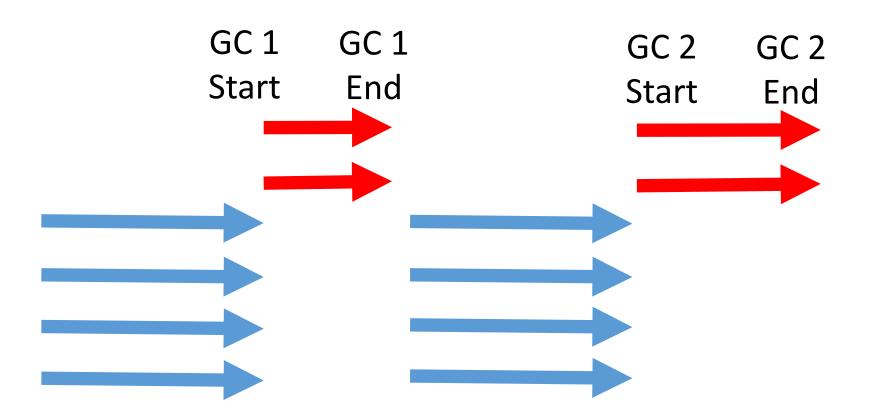




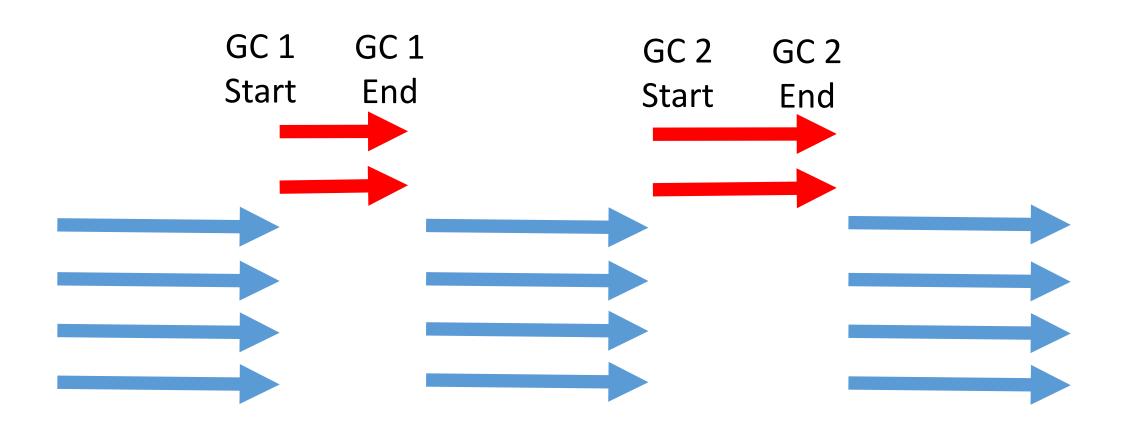














Each GC is divided into 3 phases:

- 1. Marking finds all of the live objects
- 2. Sweeping reclaims memory for dead objects
- 3. Compaction (optional) defragment the heap







Root Set







Root Set

Α

D

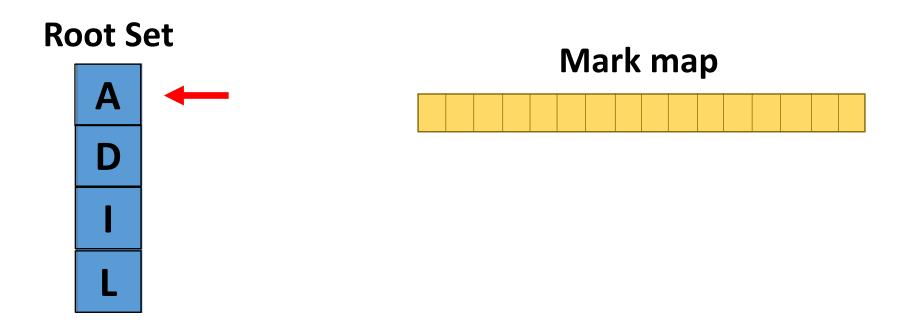
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Mark map



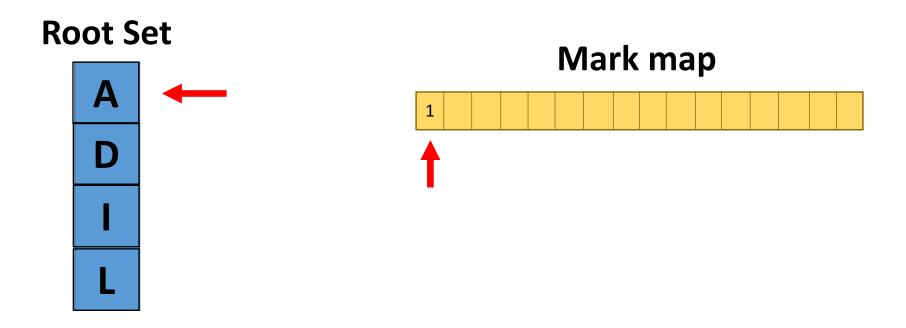
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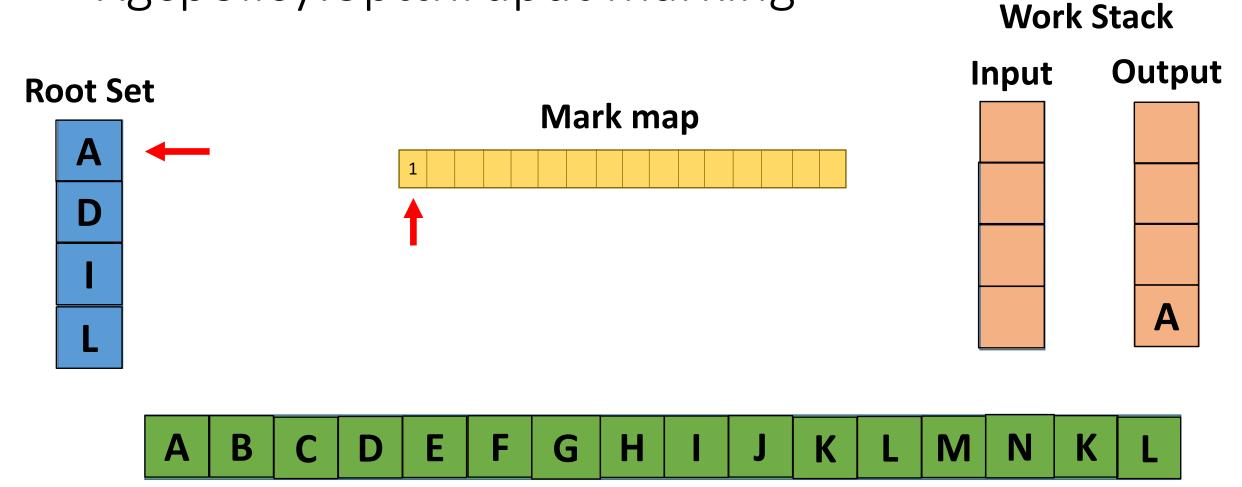






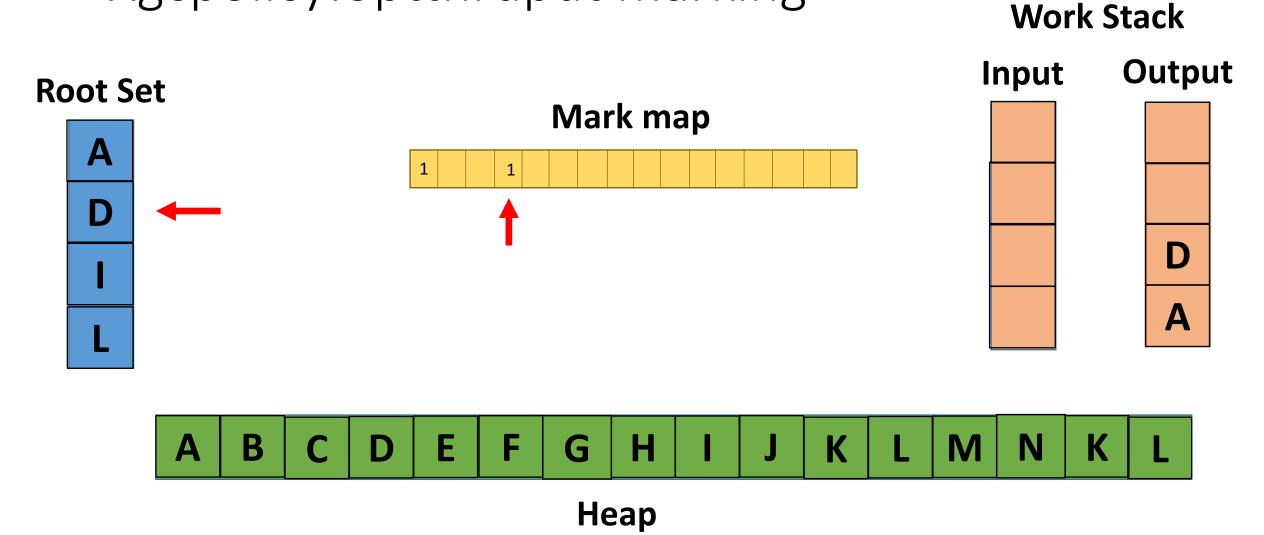




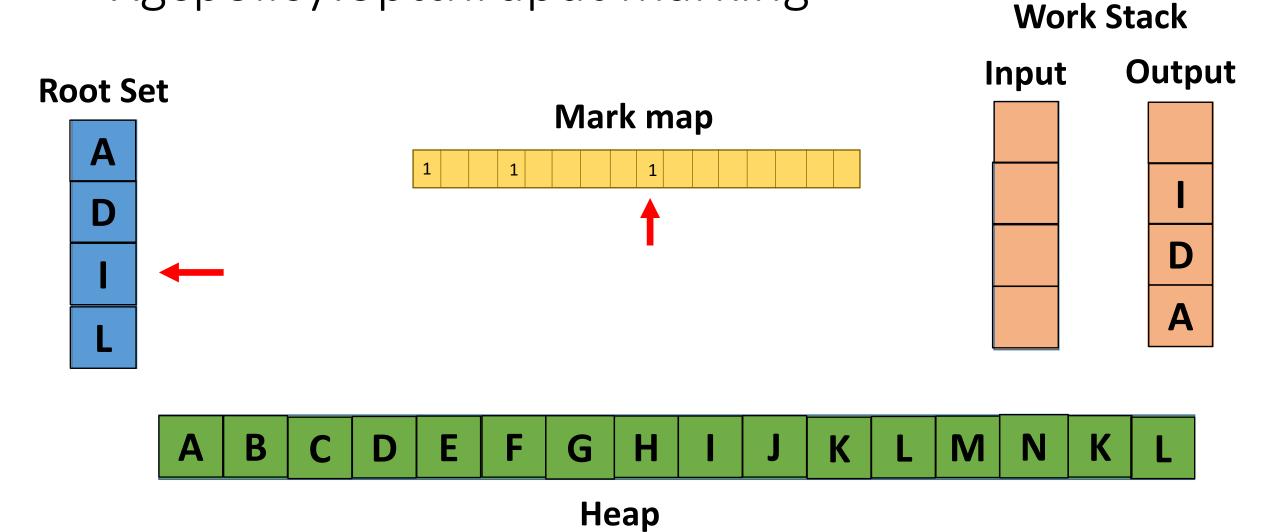


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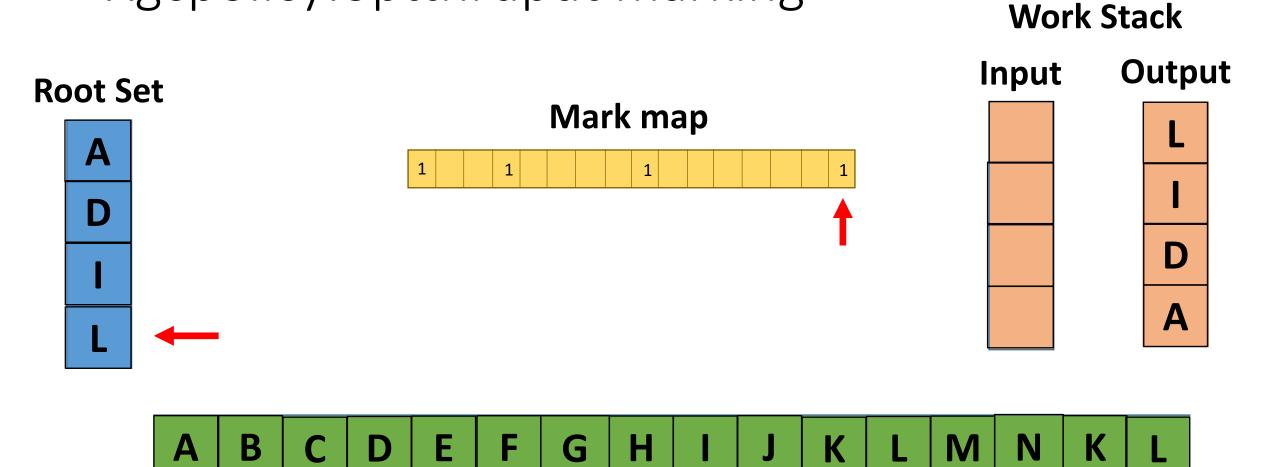






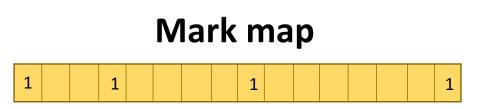


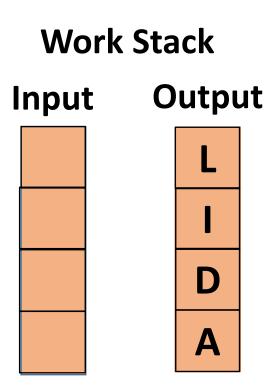




Heap





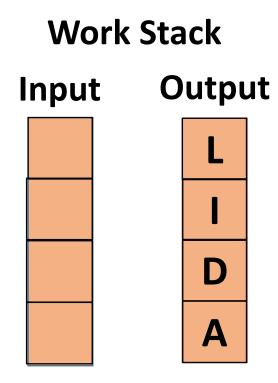






Work List

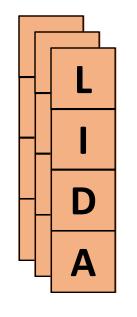






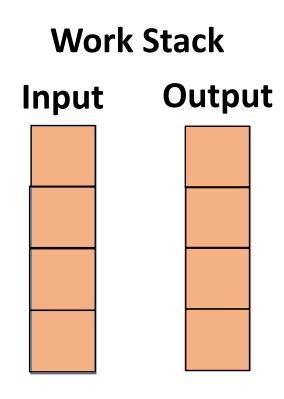


Work List



Mark map

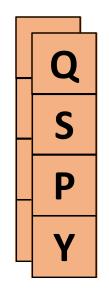
1		1			1				1





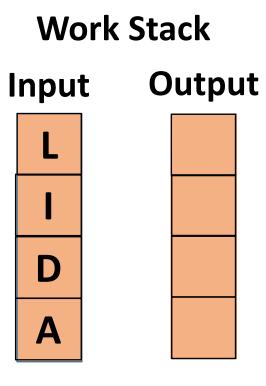


Work List



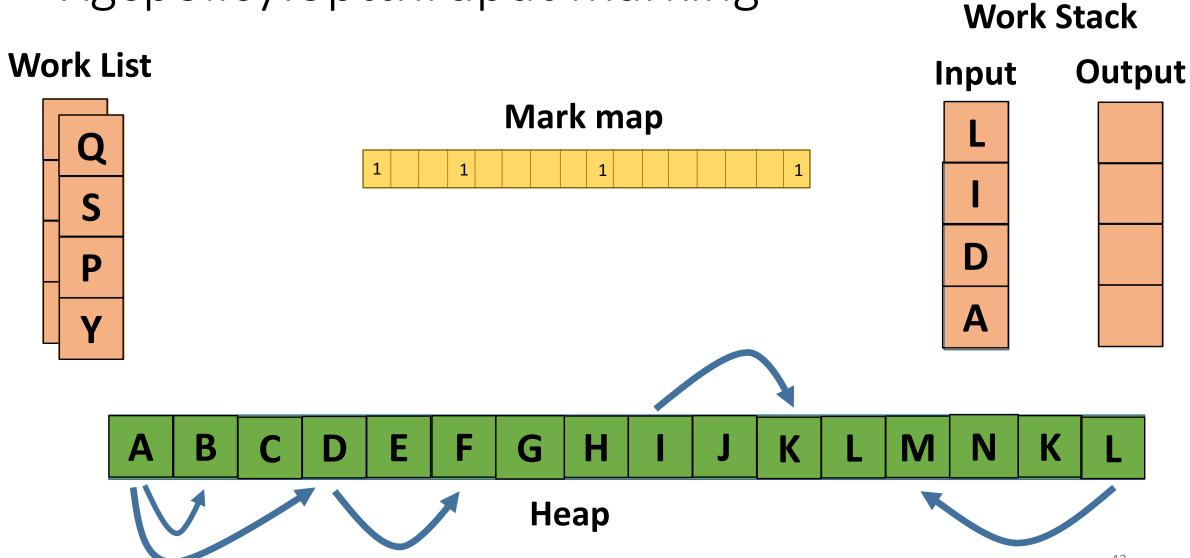
Mark map

1		1			1				1

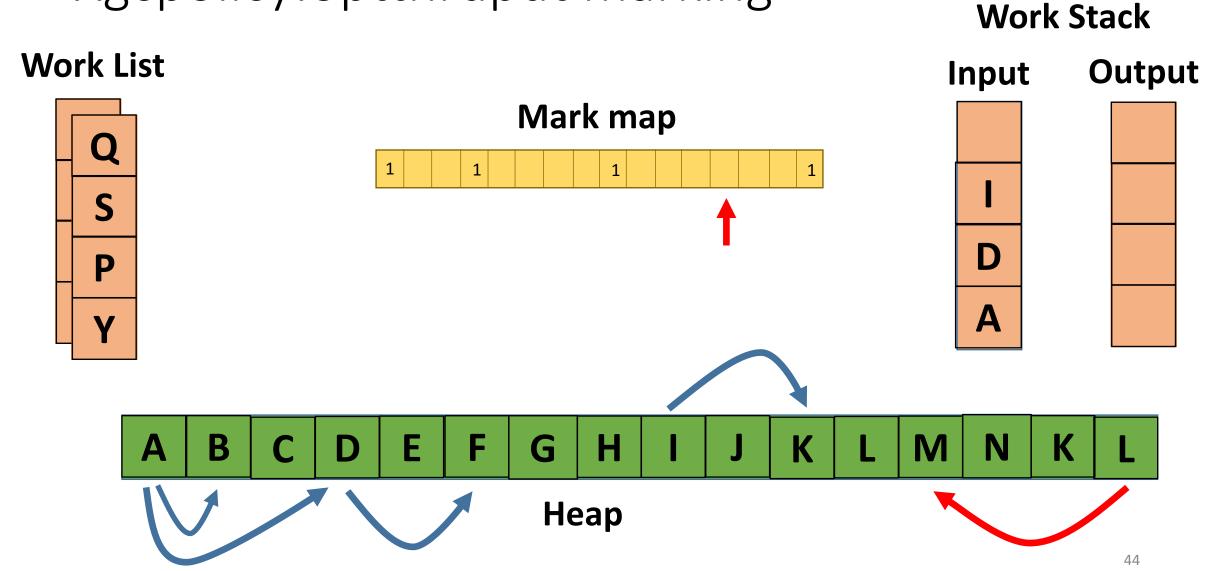




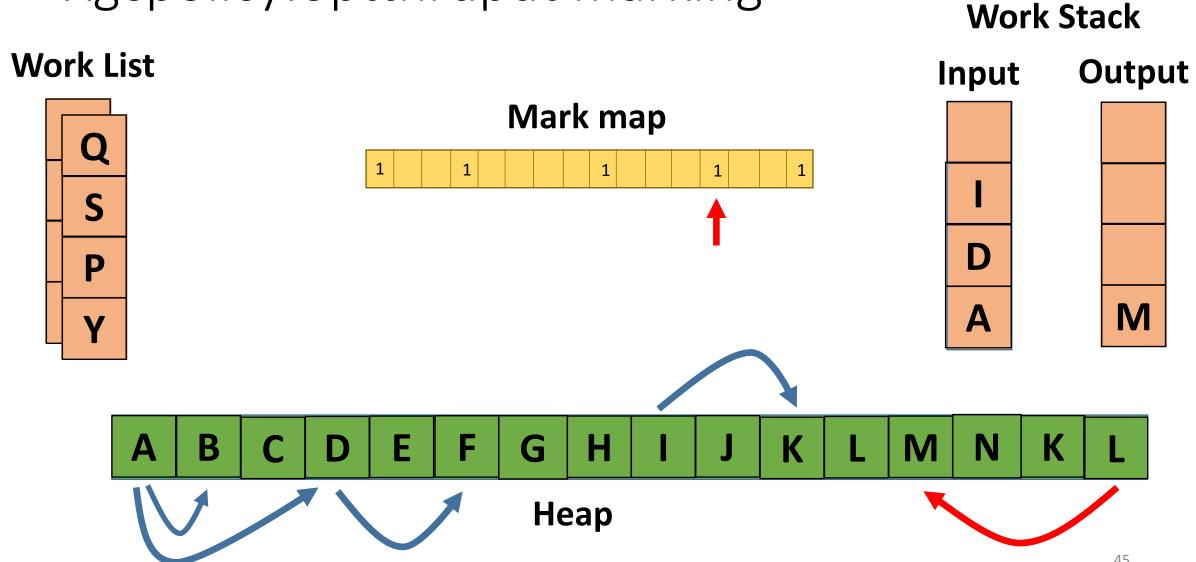




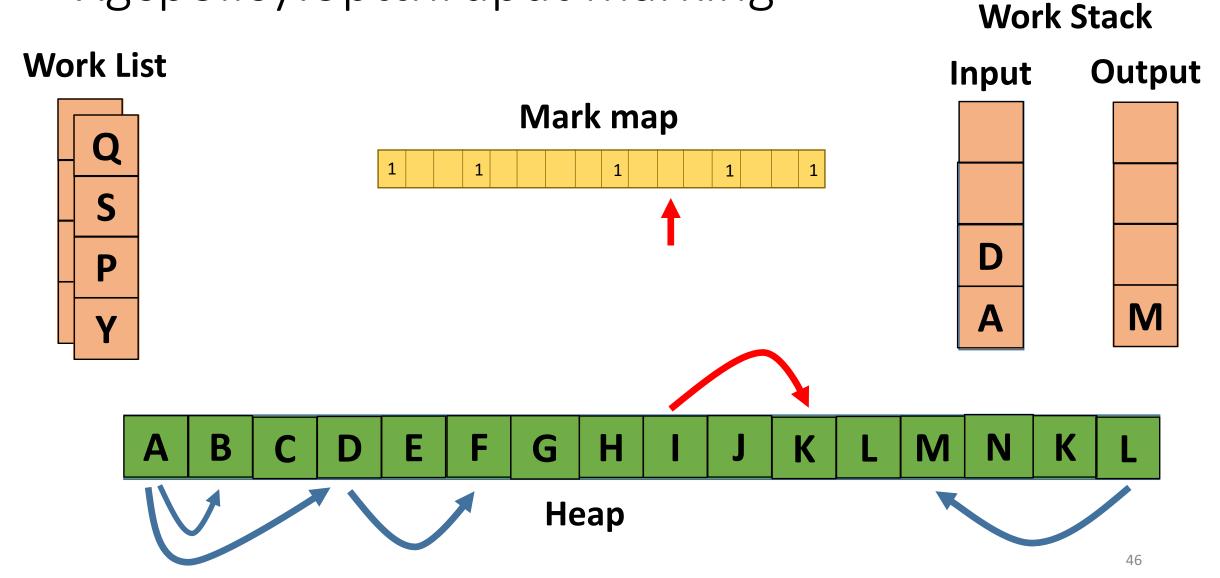




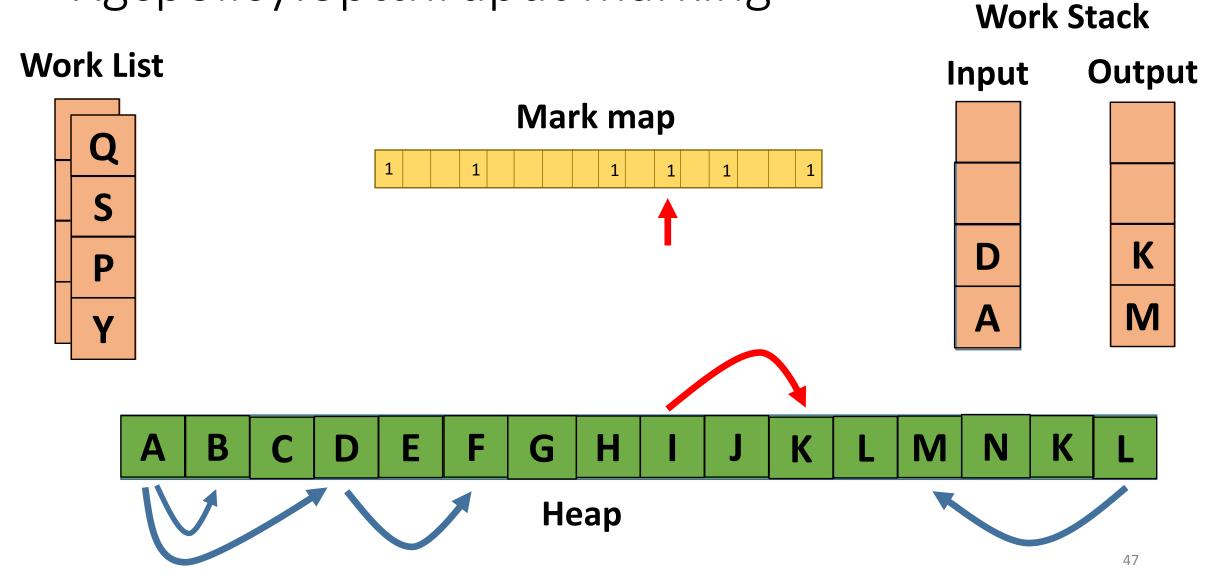




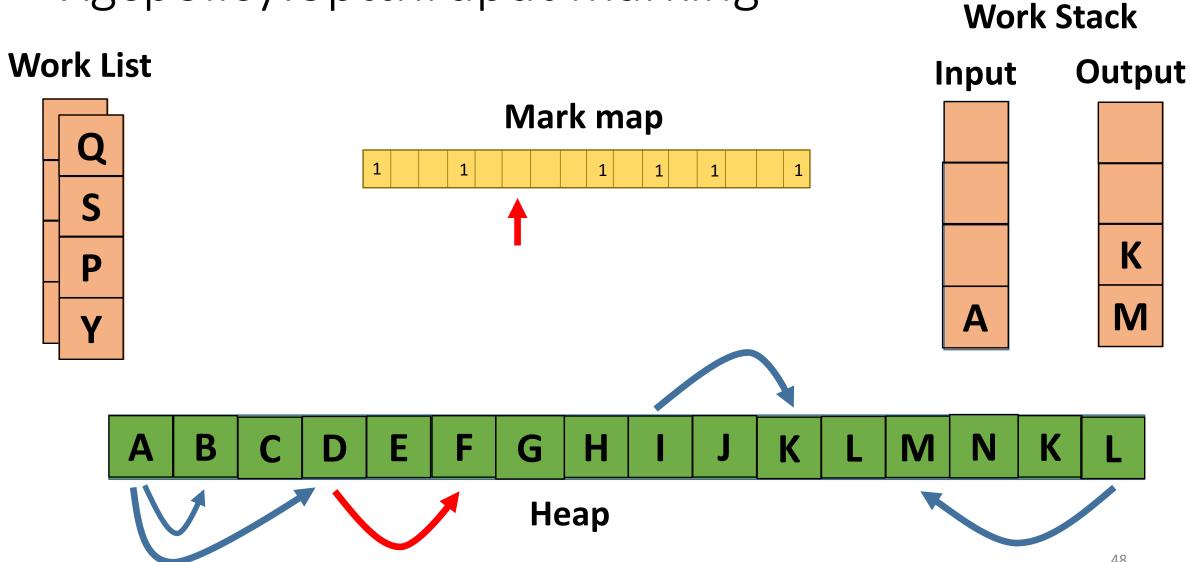




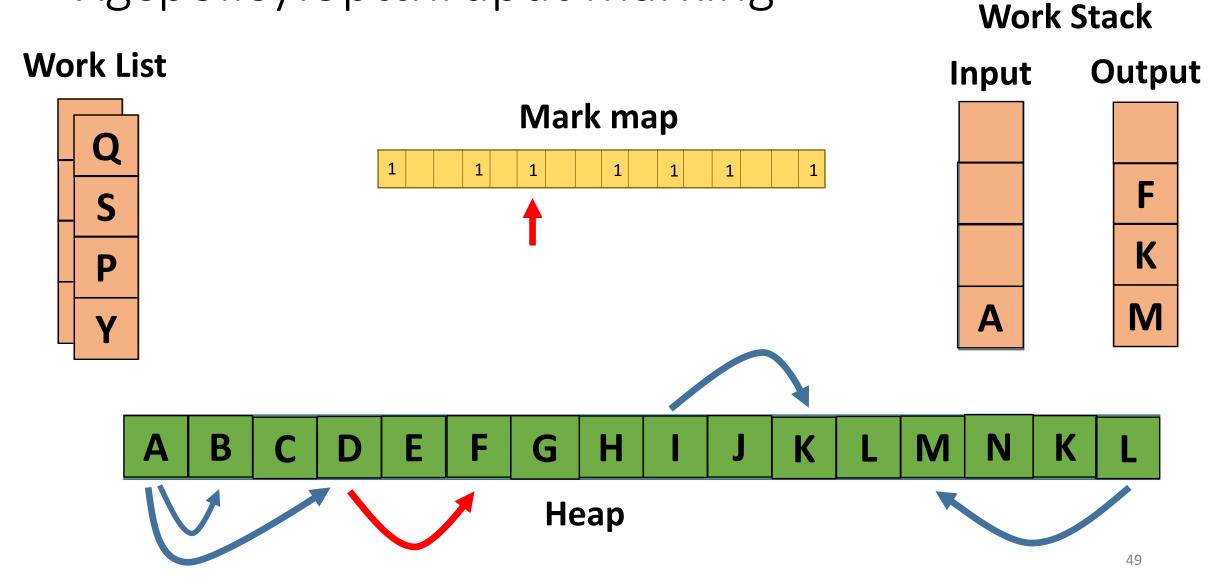




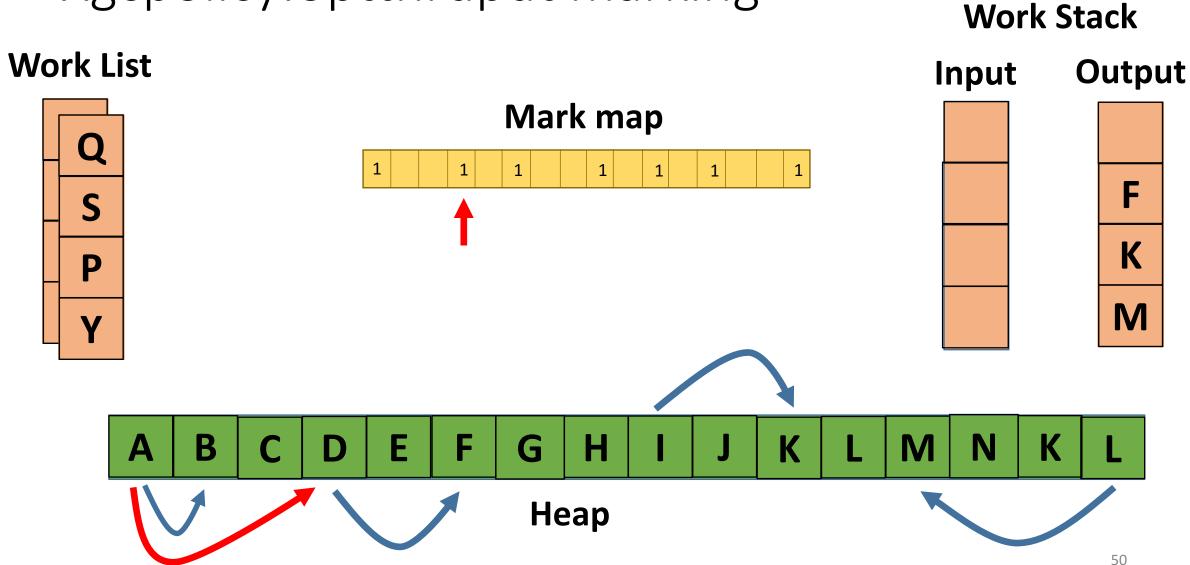




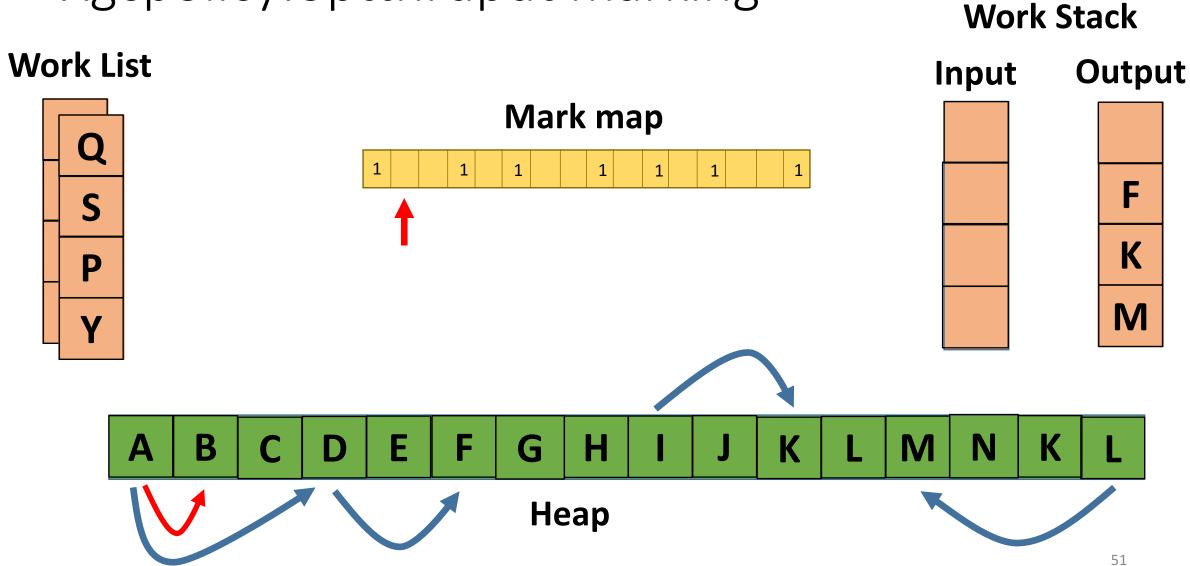




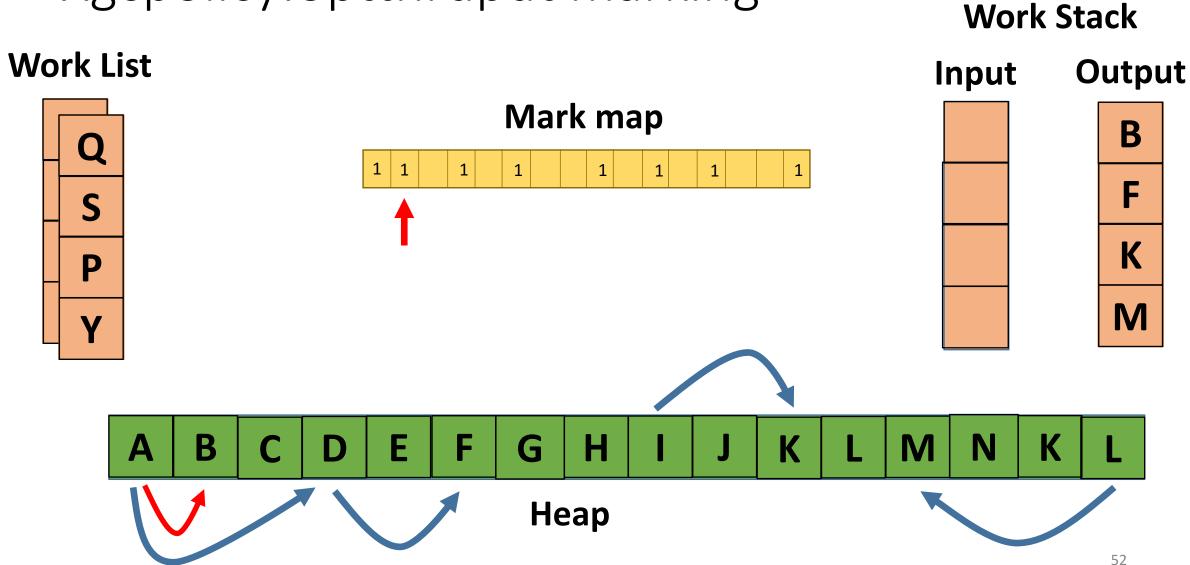






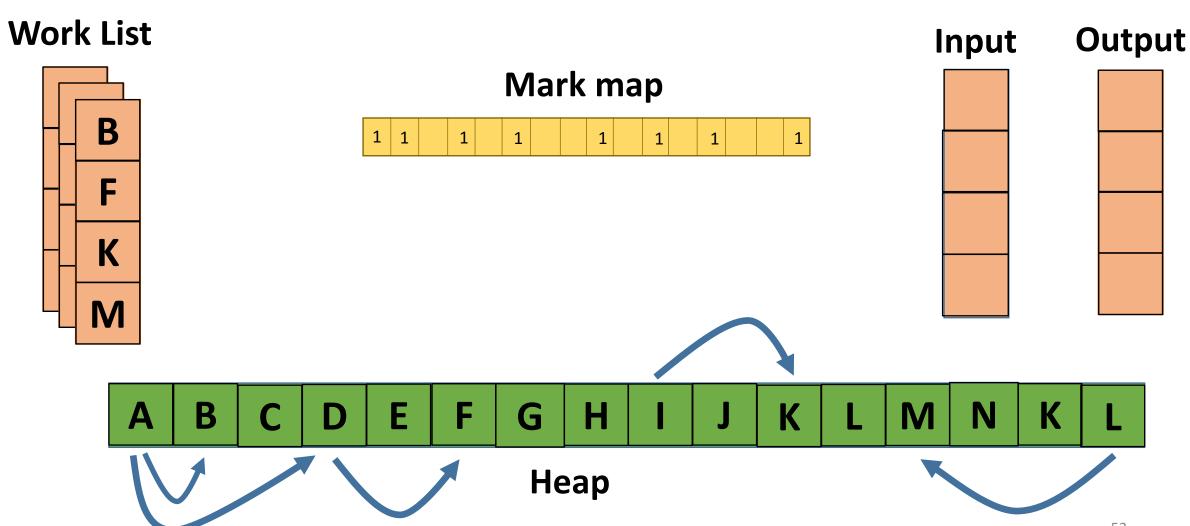








Work Stack

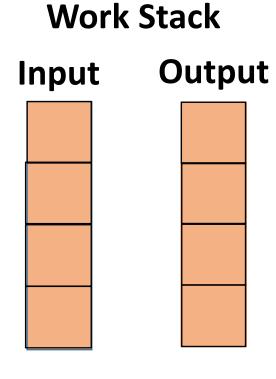


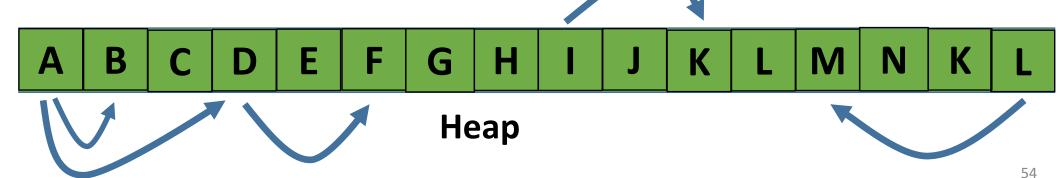


Work List

Mark map

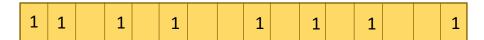
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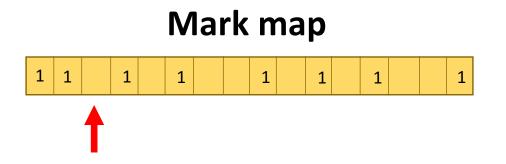
Mark map



_freelist ----



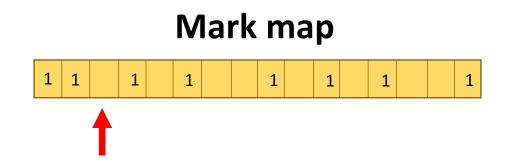


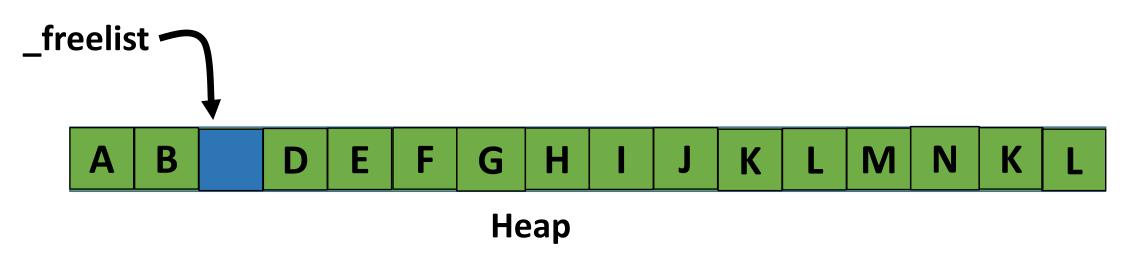


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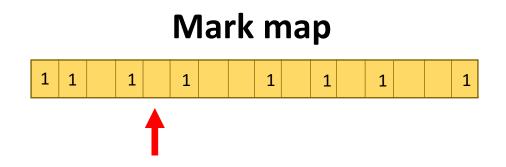


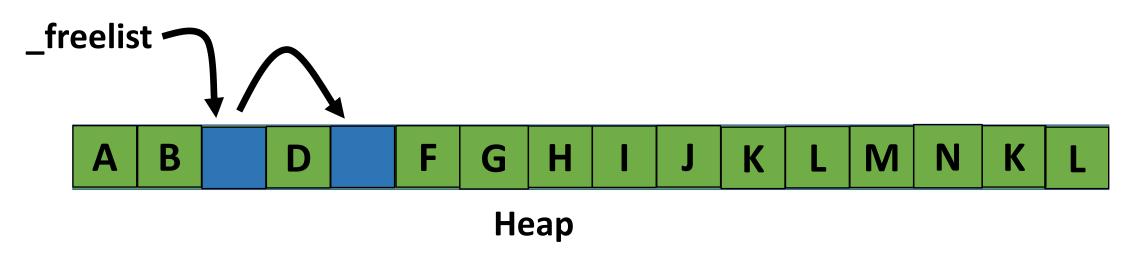




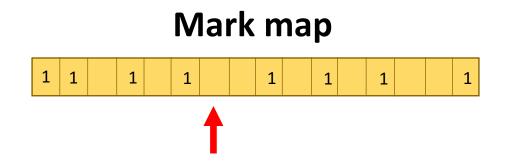


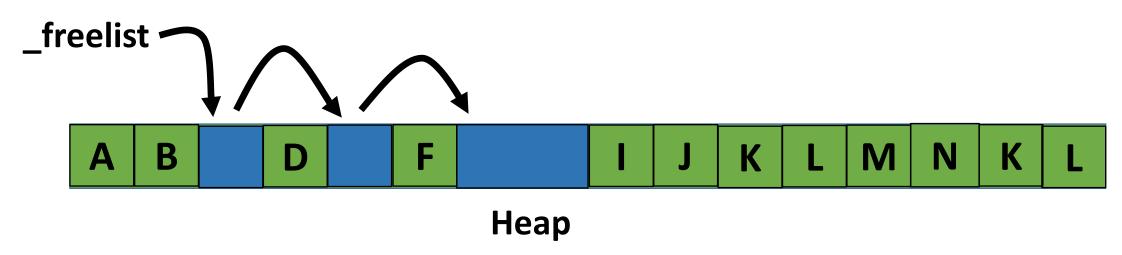




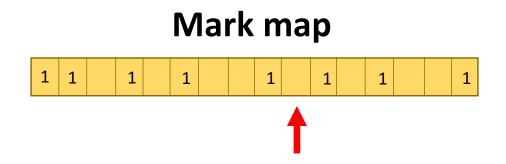


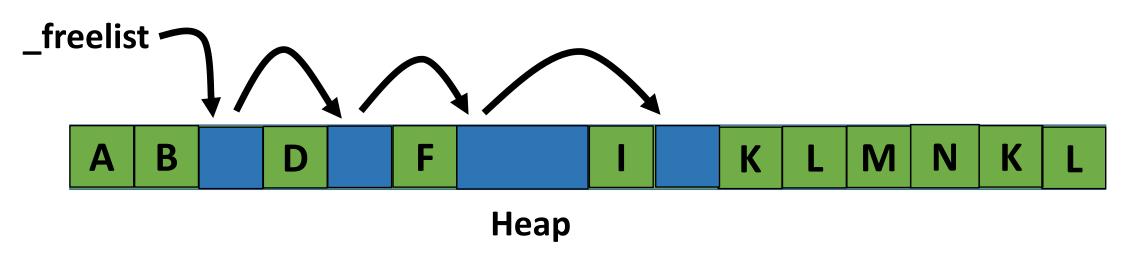






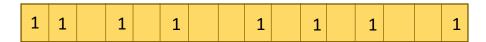


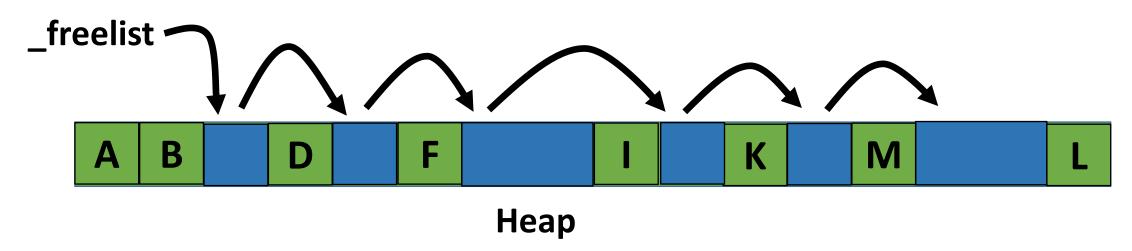






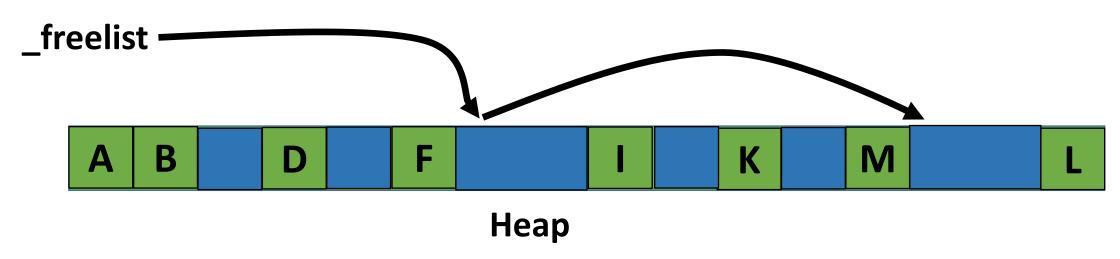
Mark map



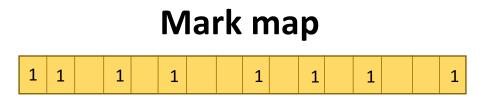


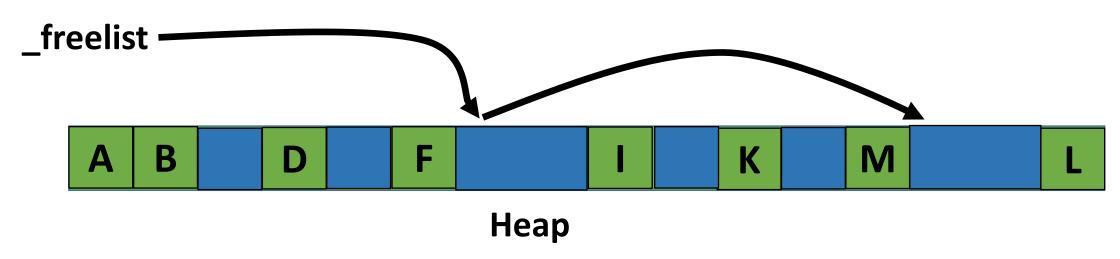






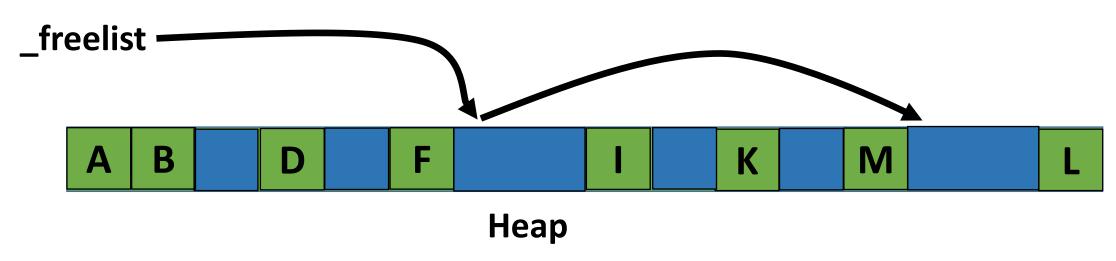






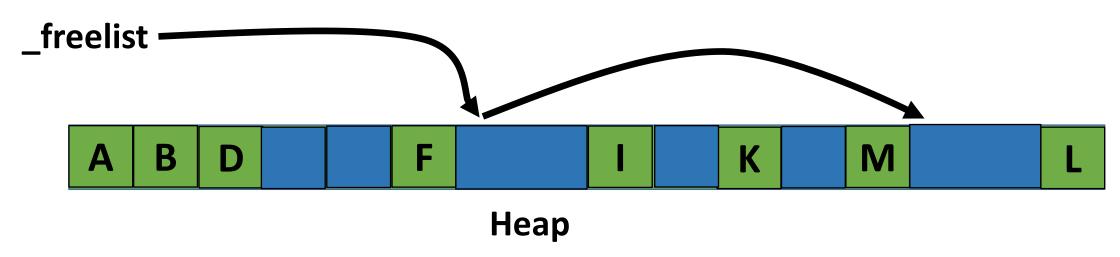




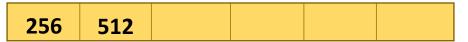


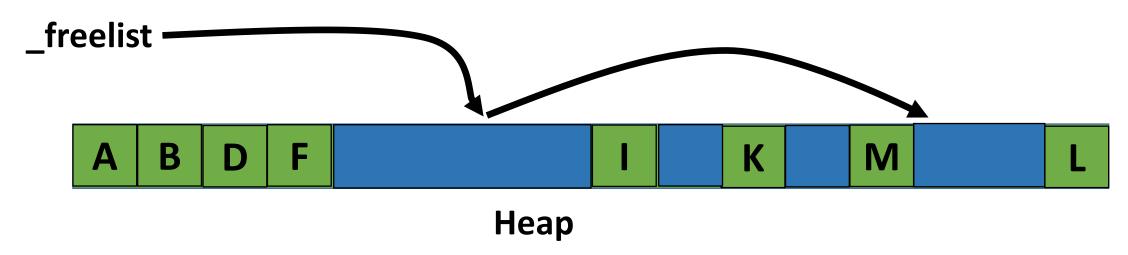






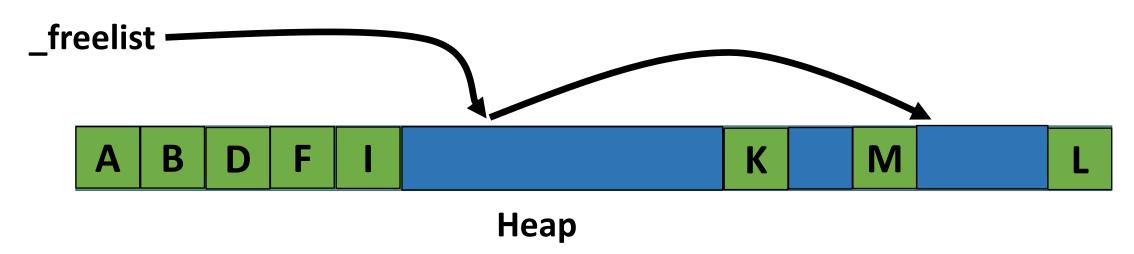






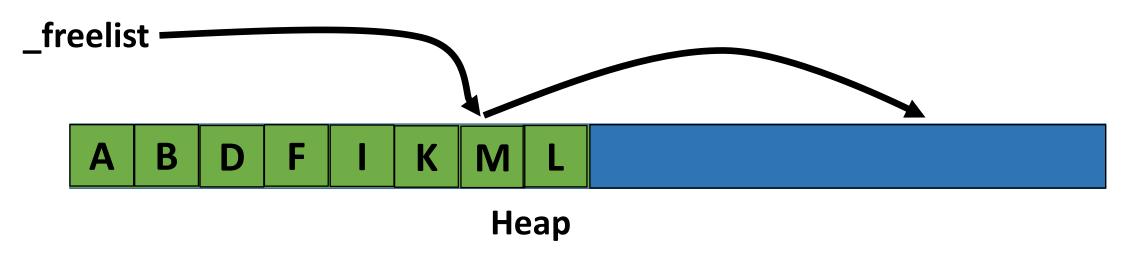


256	512	1024		



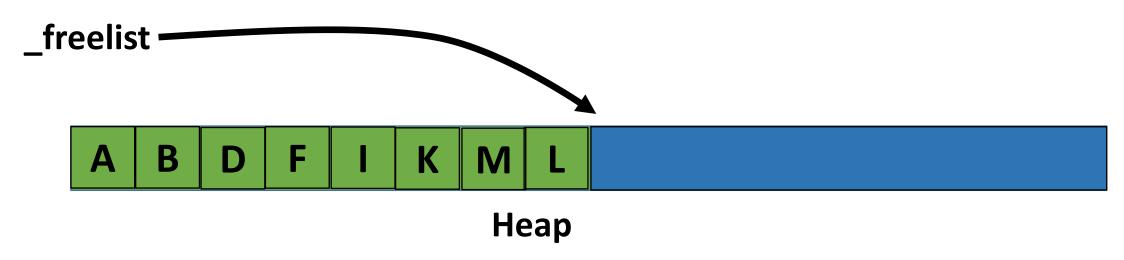


256 512 1024 1280 1536 204
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256	512	1024	1280	1536	2048

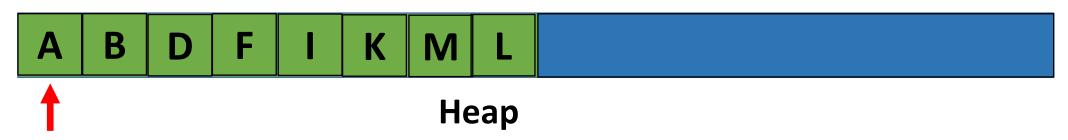




Compact table

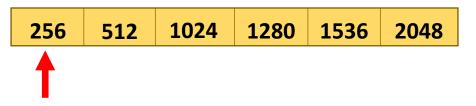
256 512 1024 1280 1536 2048

A.field1 = D

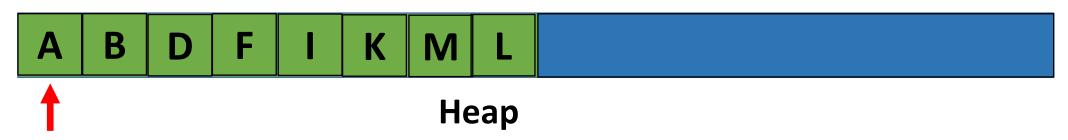




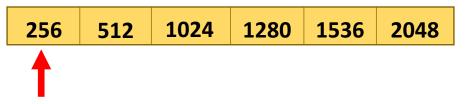
Compact table



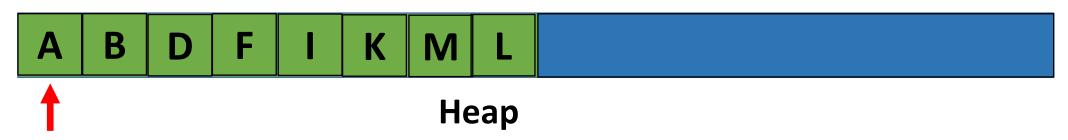
A.field1 = (D - compactTable[0])







A.field1 =
$$(D - 256)$$





- Concurrent global collector
 - Mark and sweep phases are completed concurrently with the application. If required, compaction is completed STW
 - Utilizes a low priority background to complete concurrent work
 - Application threads also perform concurrent GC work!
- Improves STW pause times and application responsiveness
- Introduces the requirement for an object write barrier
- GC native memory overhead for mark map, work packets and card table



Heap layout is the same as optthruput

SOA

Heap

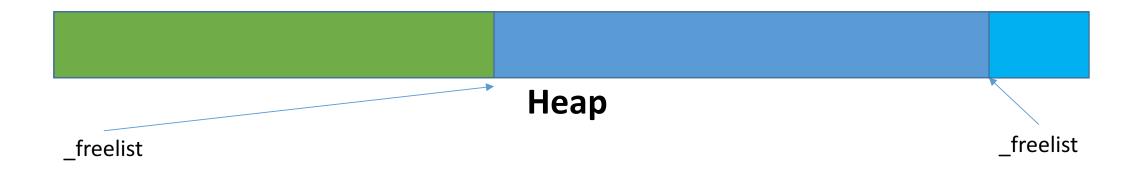










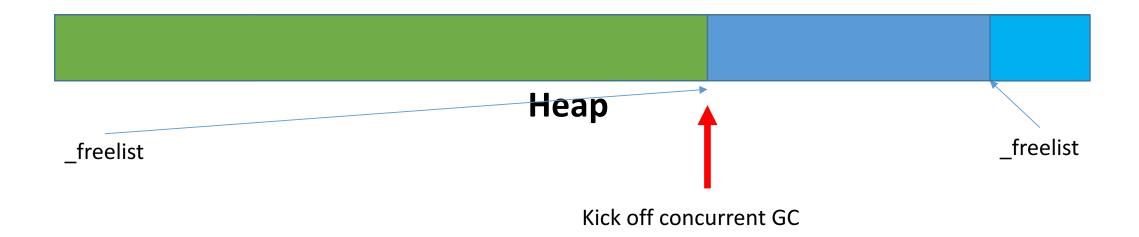






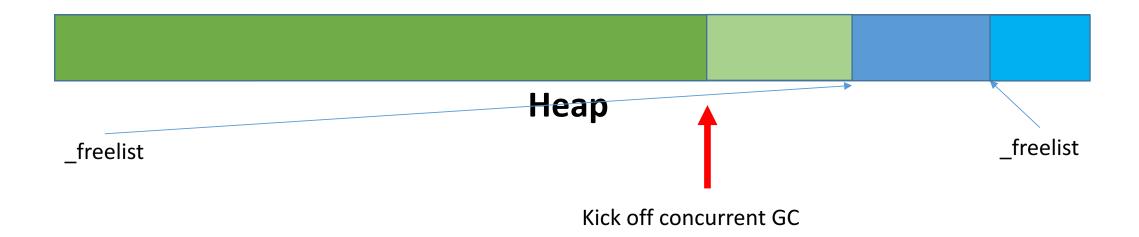


- Allocation is the same as with optthruput
- Start a concurrent GC before the heap memory is exhausted



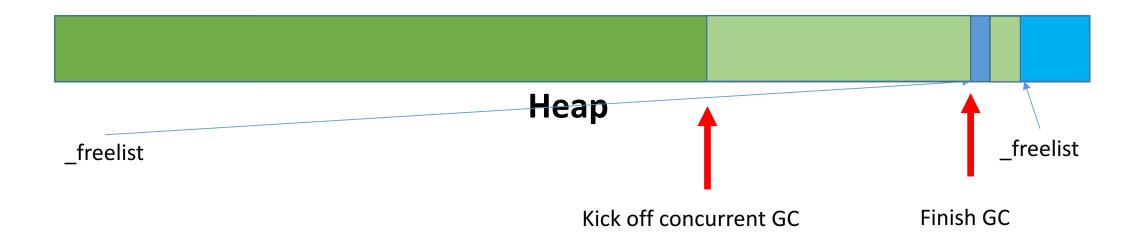


- Allocation is the same as with optthruput
- Start a concurrent GC before the heap memory is exhausted
- Application runs during the GC so allocations continue

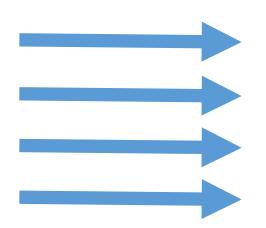




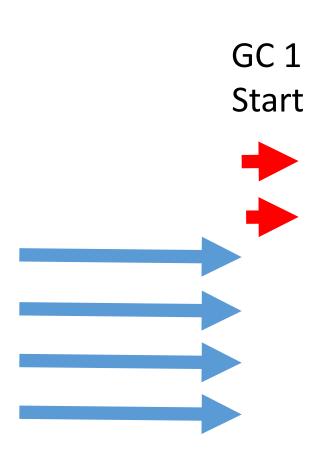
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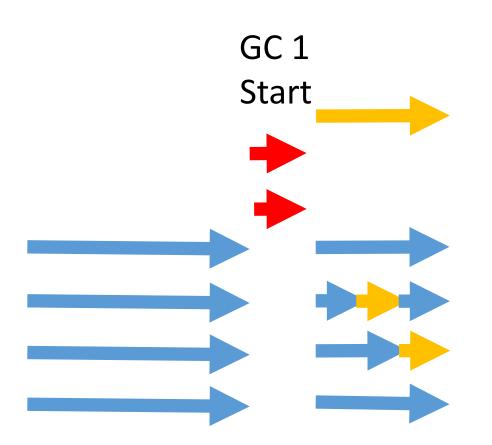




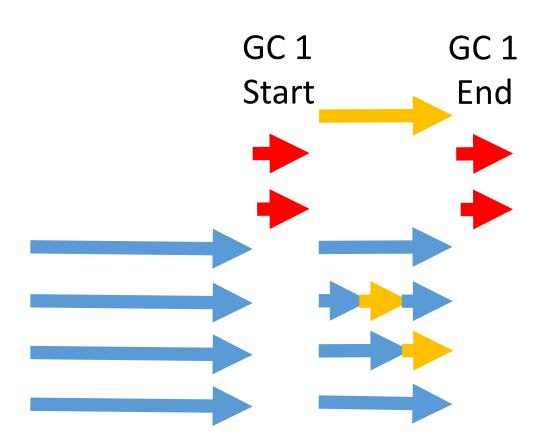




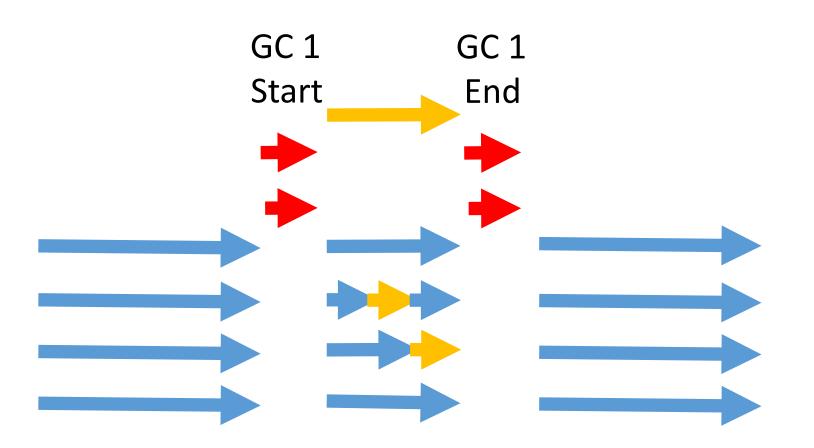




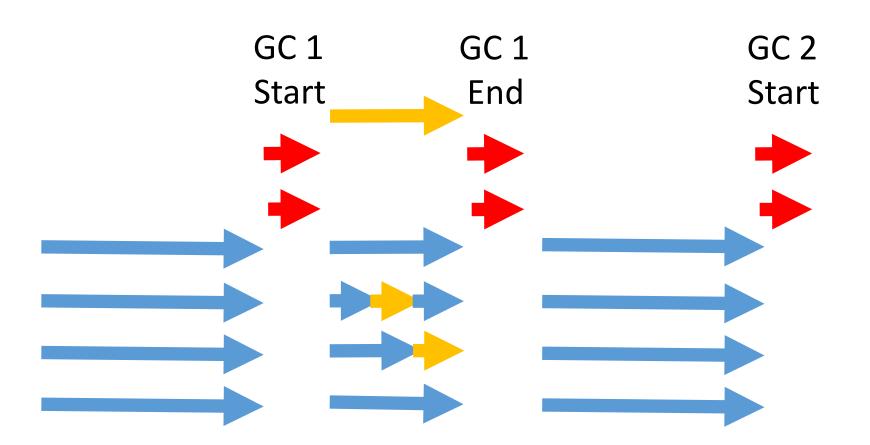




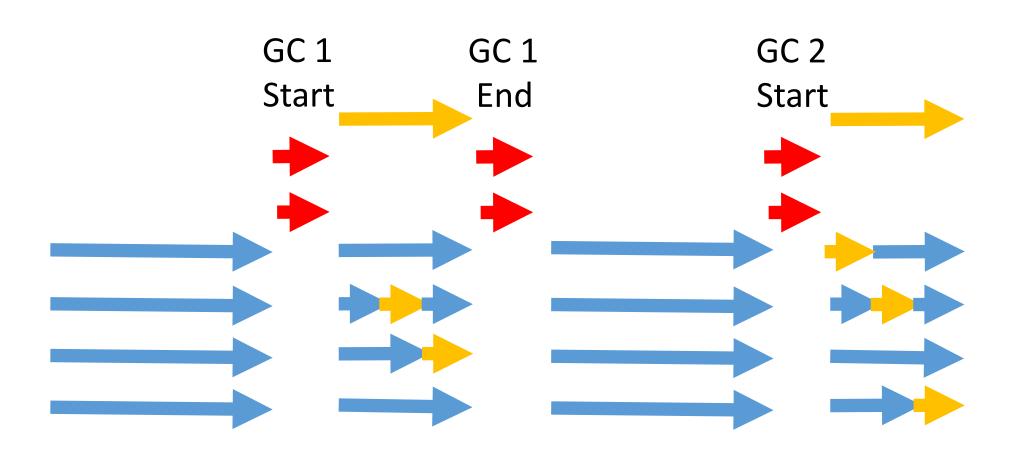




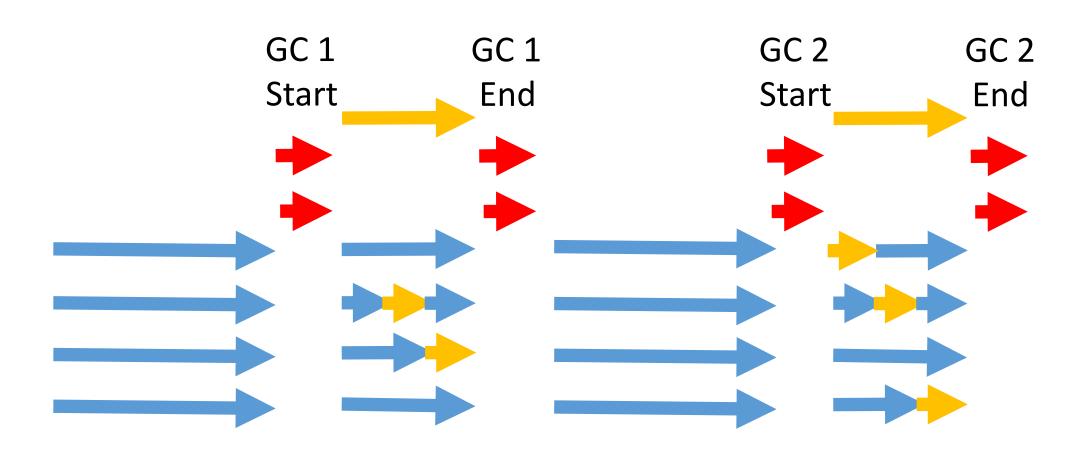




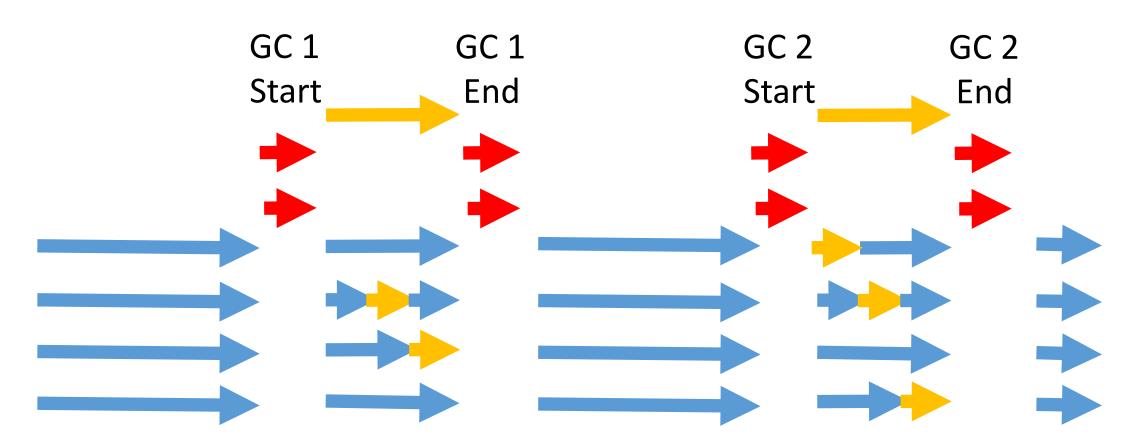








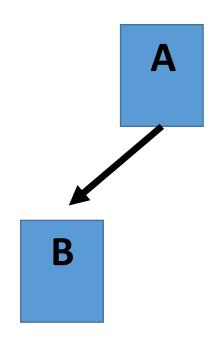




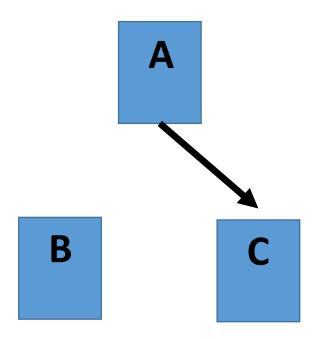


Why is there a write barrier required?











How is the write barrier implemented?



How is the write barrier implemented?

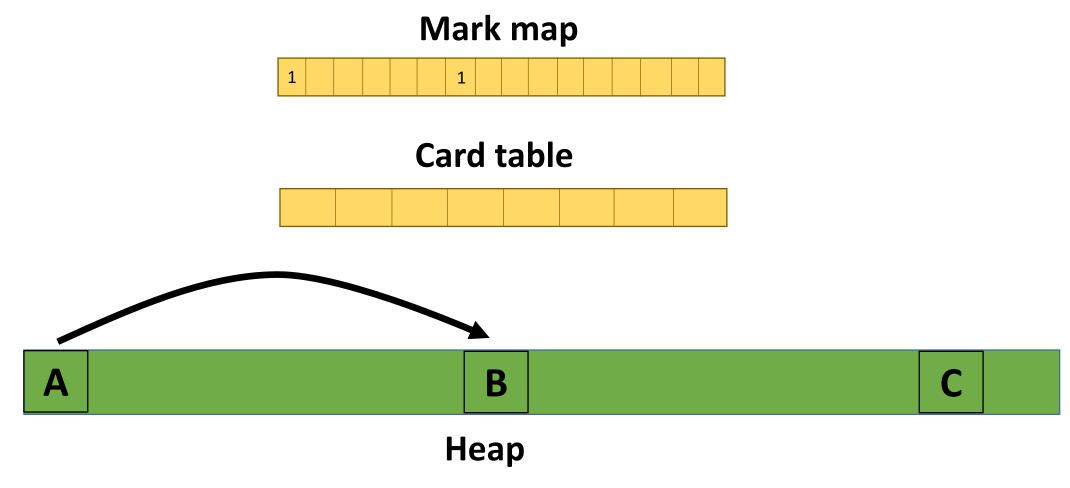
```
private void setField(Object A, Object C) {
    A.field1 = C;
}
```



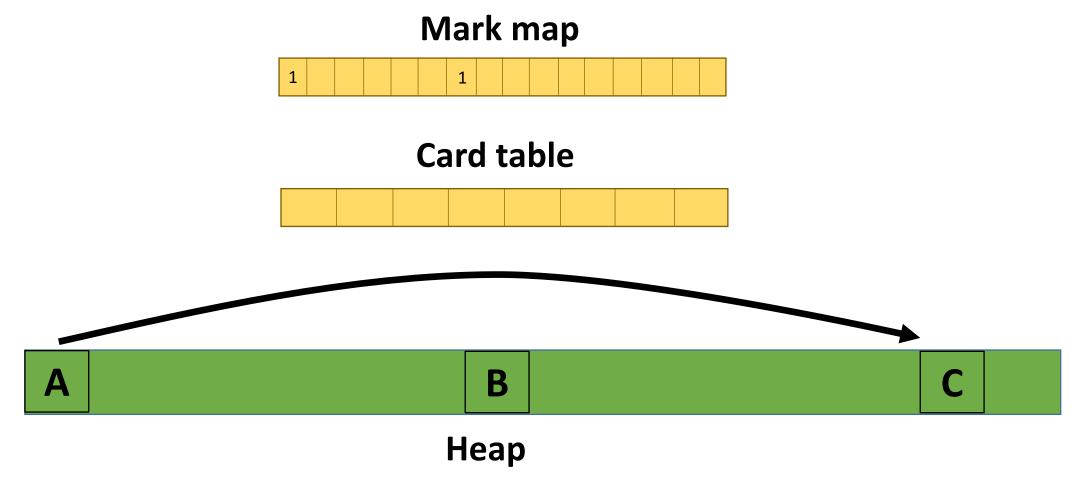
How is the write barrier implemented?

```
private void setField(Object A, Object C) {
    A.field1 = C;
    if (concurrentGCActive) {
        cardTable->dirtyCard(A);
    }
}
```

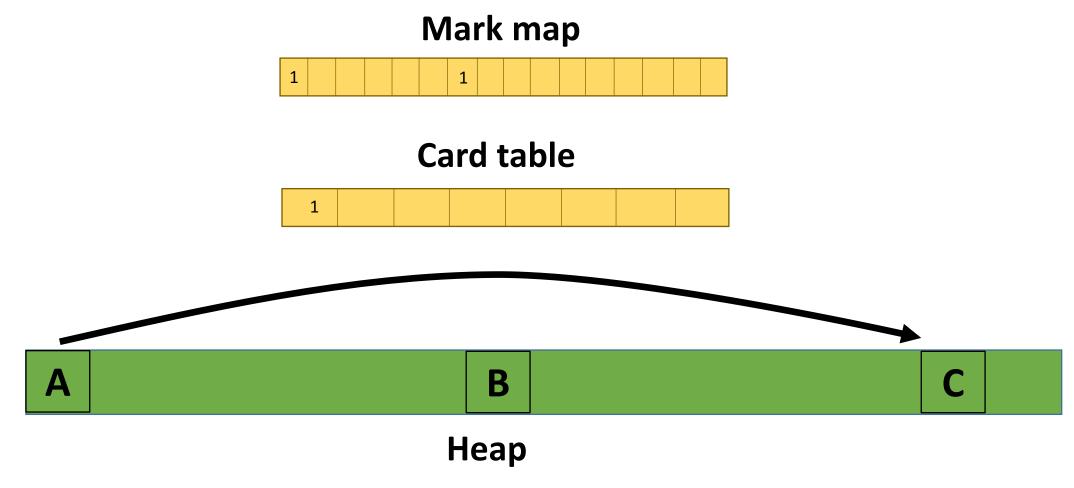




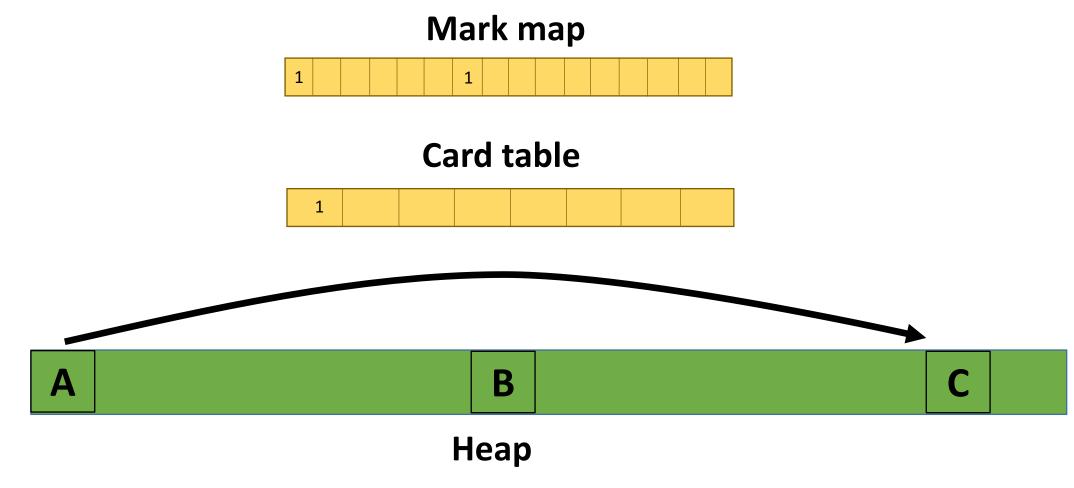




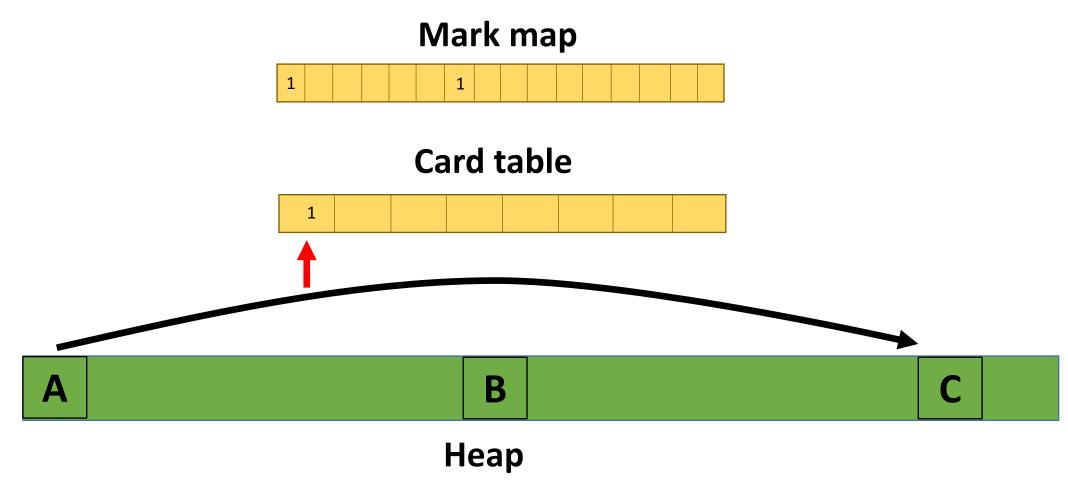




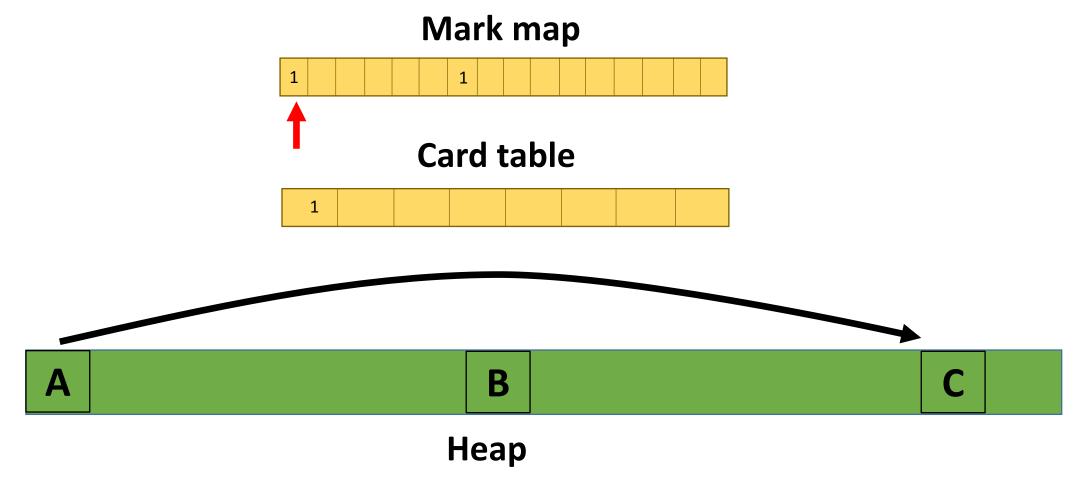




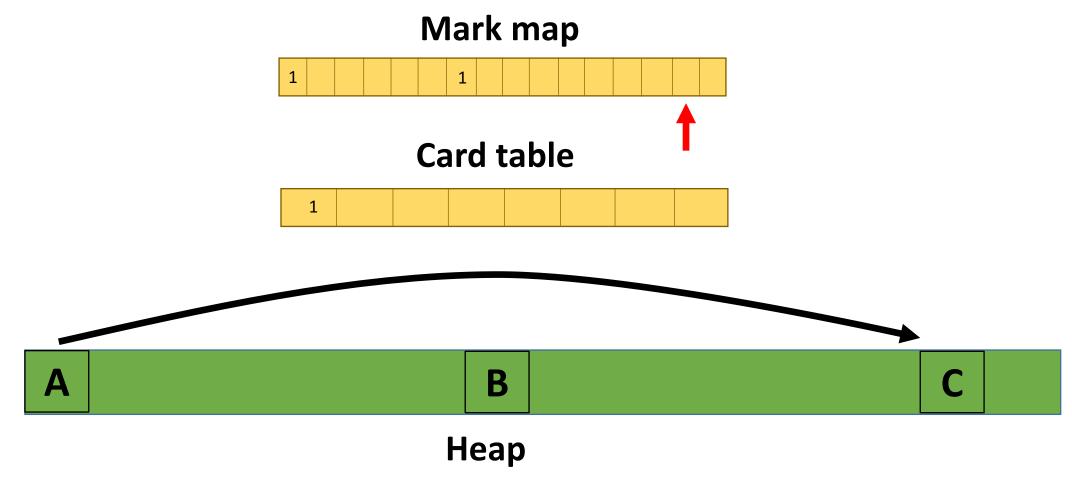




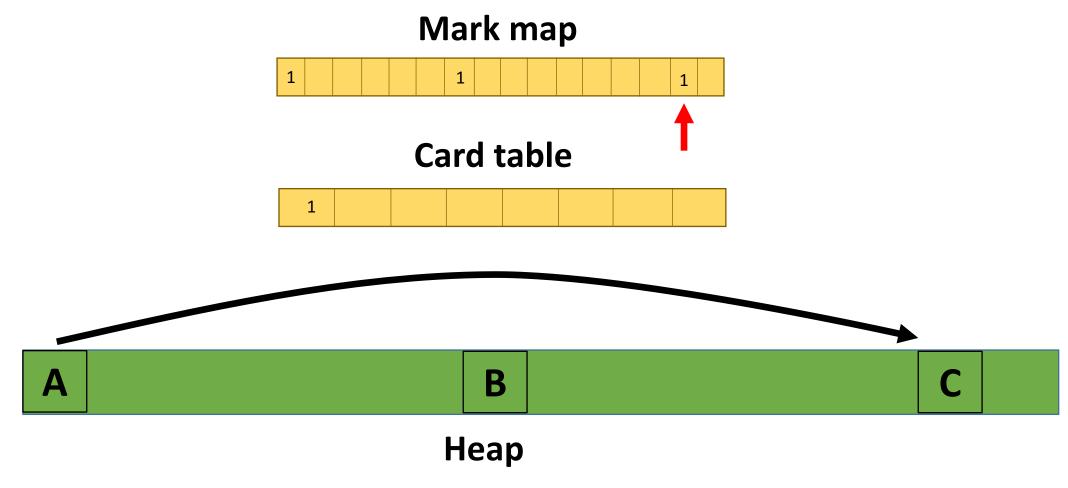














-Xgcpolicy:gencon

- Generational copy collector
 - Focuses collection on a small part of the heap
- Provides a significant reduction in GC STW pause times
- Introduces another write barrier for the remembered set
- GC native memory overhead for mark map, work packets, card table and copy scan caches
- Concurrent Global collector from optavgpause



-Xgcpolicy:gencon heap

- Heap is divided into Nursery and Tenure areas
 - Generally the Nursery is smaller than the Tenure area

Nursery	Tenure
	Неар



-Xgcpolicy:gencon heap

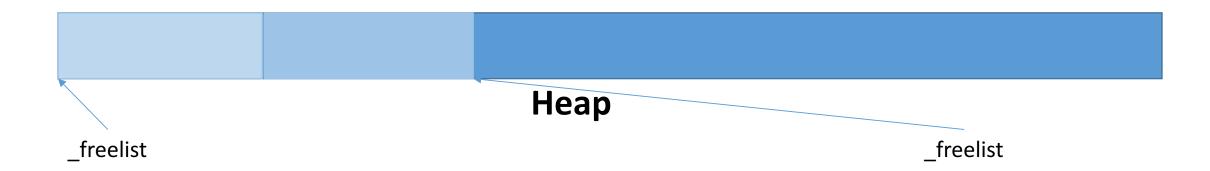
- Heap is divided into Nursery and Tenure spaces
 - Generally the Nursery is smaller than Tenure
- The Nursery is divided into 2 logical spaces: Allocate and Survivor
 - Objects are allocated in Allocate space*

Allocat	:e	Survivor	Tenure
Heap			



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-Xgcpolicy:gencon heap

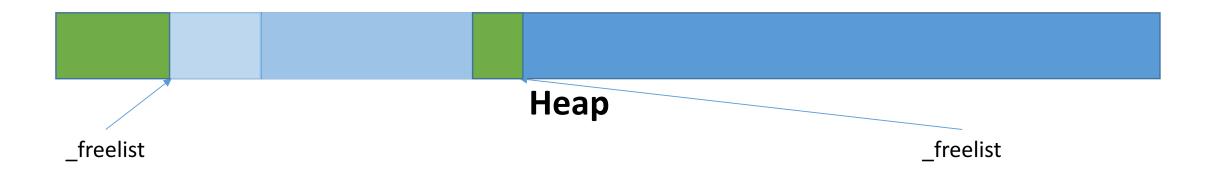
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-Xgcpolicy:gencon heap

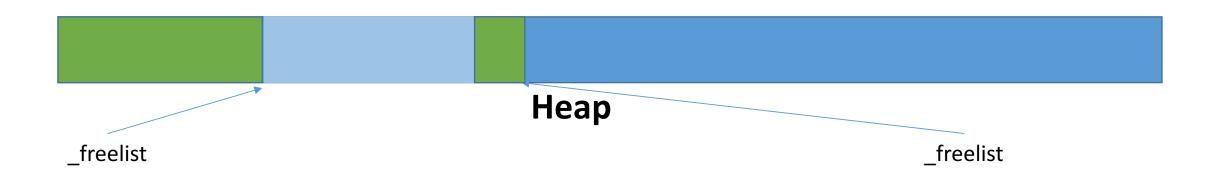
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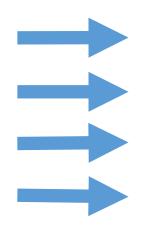


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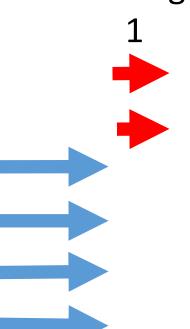






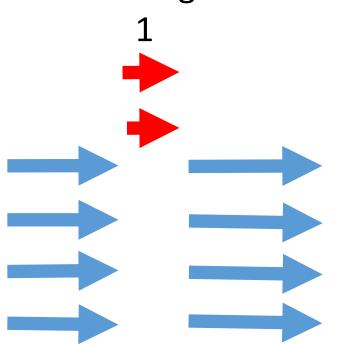


Scavenge

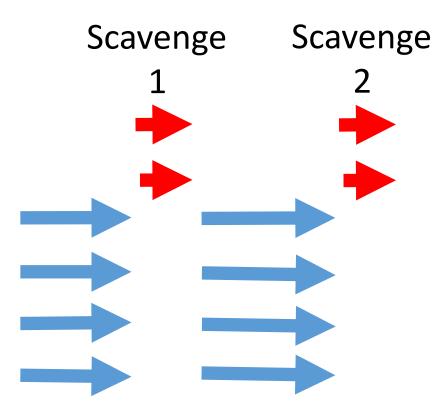




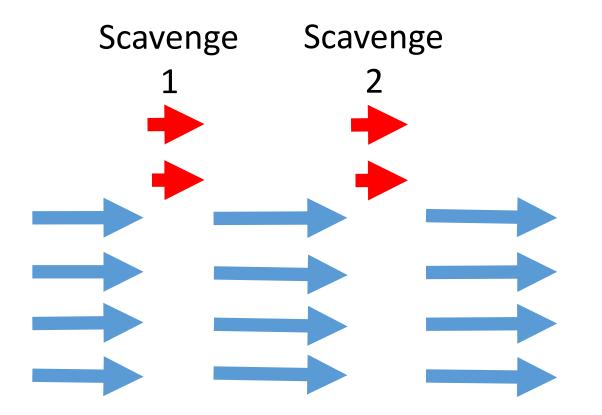
Scavenge



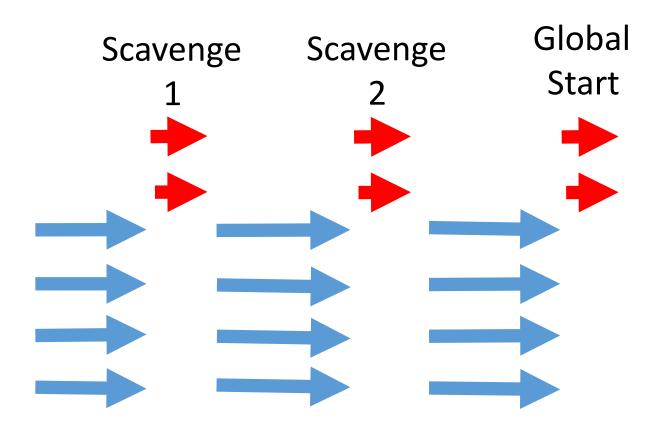




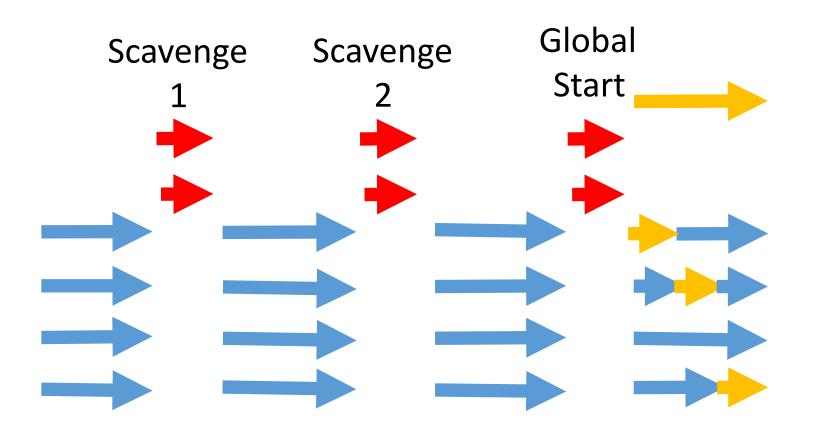




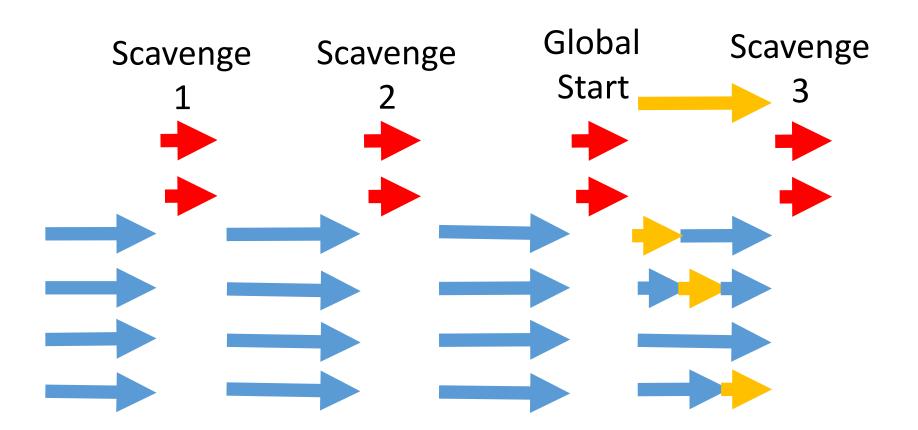




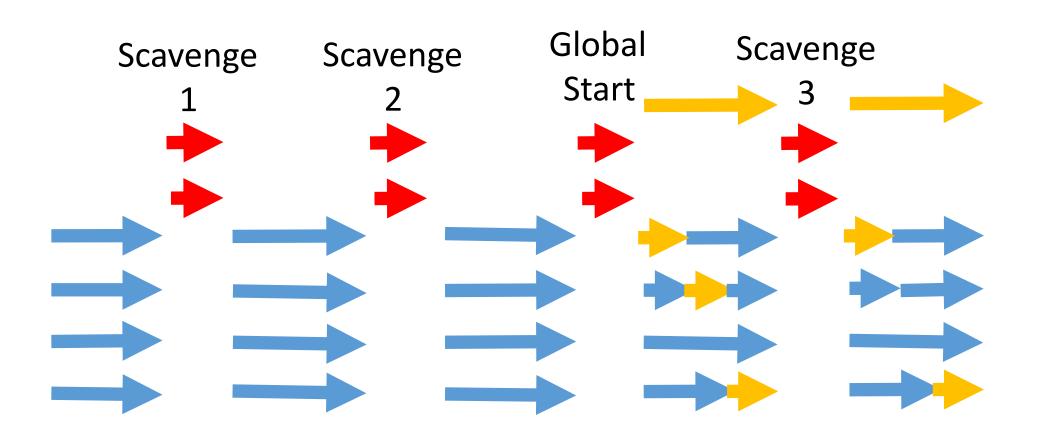




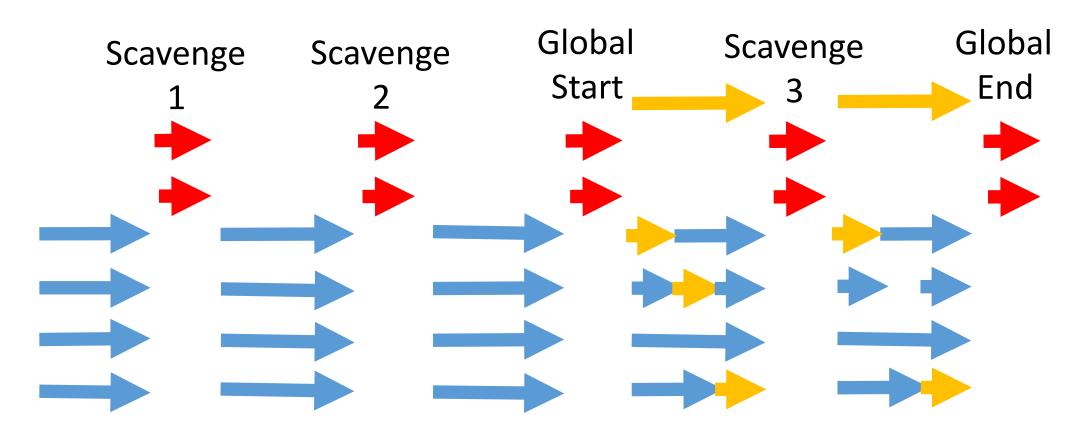




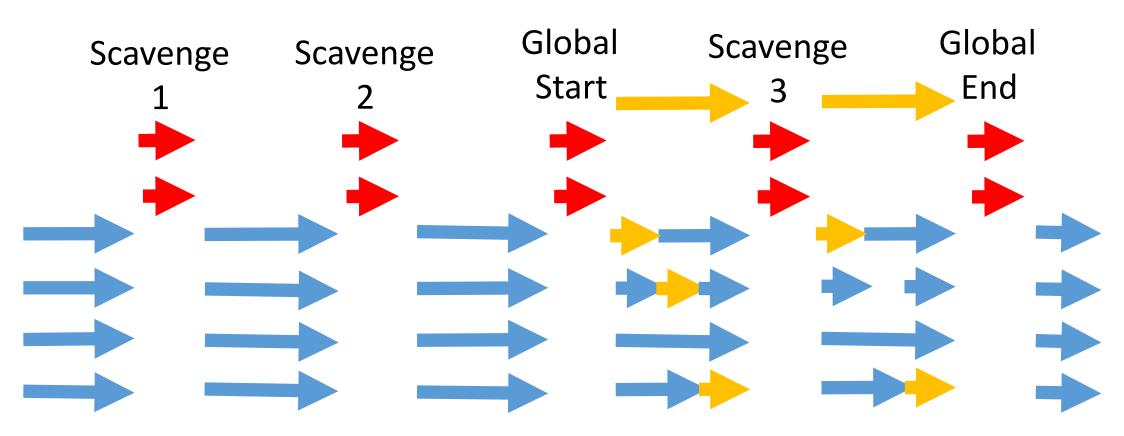














Why is there a write barrier required?



- Why is there a write barrier required?
- The GC needs to be able to find objects in the nursery which are only referenced from tenure space



How is the write barrier implemented?

```
private void setField(Object A, Object C) {
    A.field1 = C;
}
```



How is the write barrier implemented?

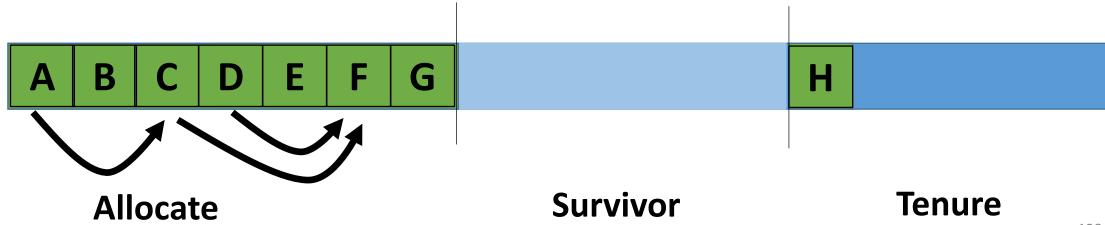
```
private void setField(Object A, Object C) {
    A.field1 = C;
    if (A is tenured) {
        if (C is NOT tenured) {
            remember(A);
        }
    }
}
```



How is the write barrier implemented?

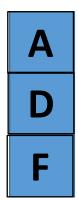
```
private void setField(Object A, Object C) {
  A.field1 = C;
  if (A is tenured) {
   if (C is NOT tenure) {
      remember(A);
    if (concurrentGCActive) {
      cardTable->dirtyCard(A);
```

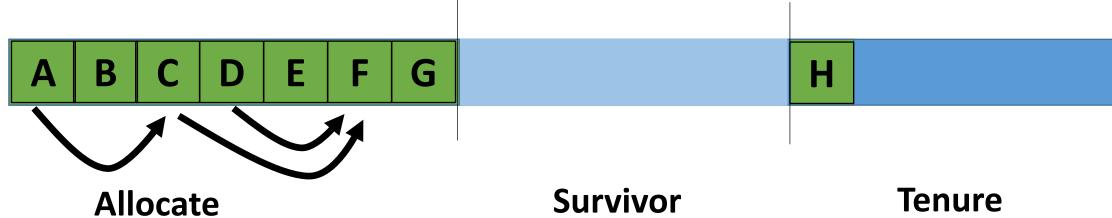






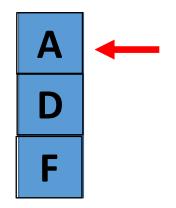
Root Set

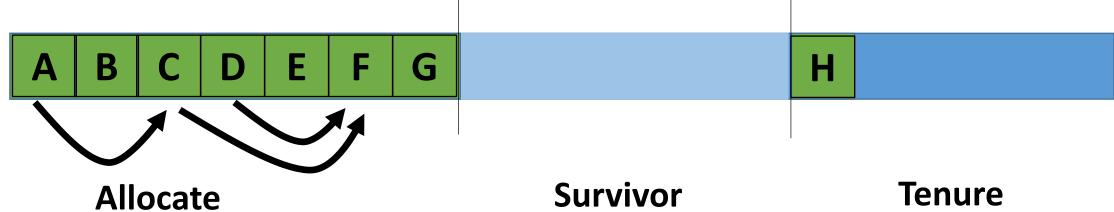






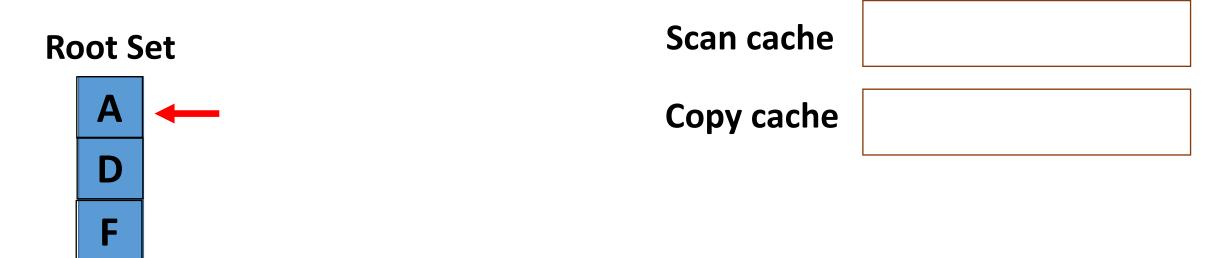
Root Set

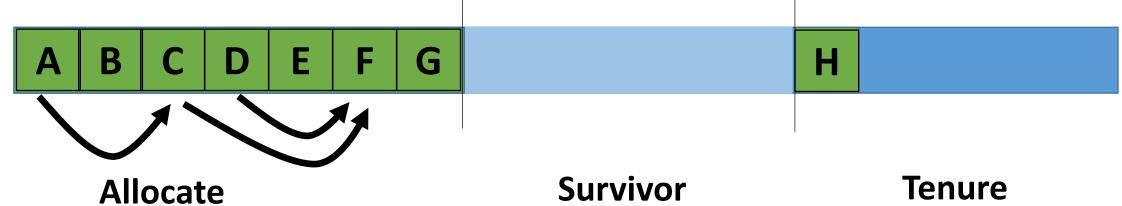




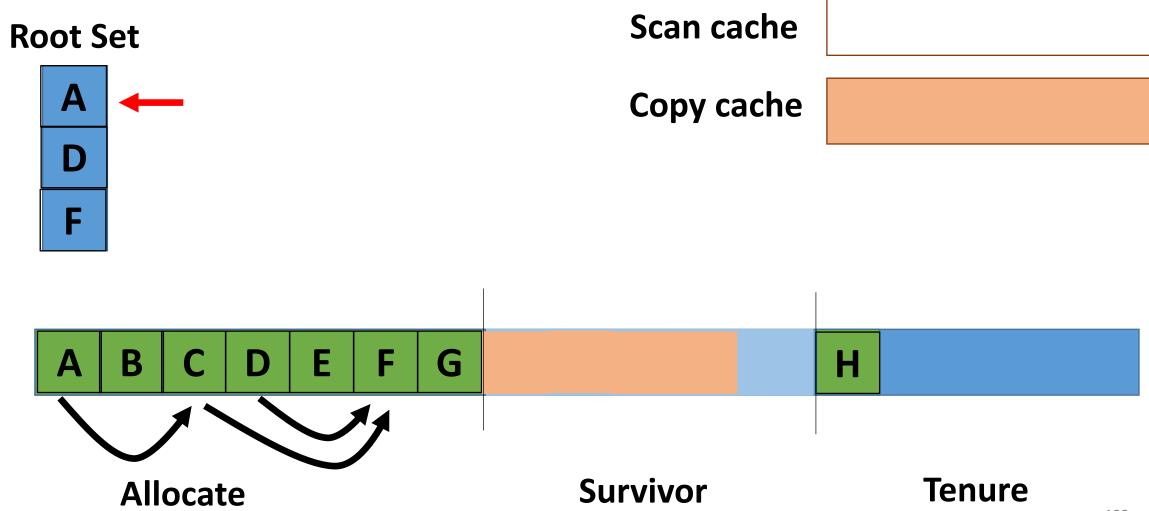


Work List

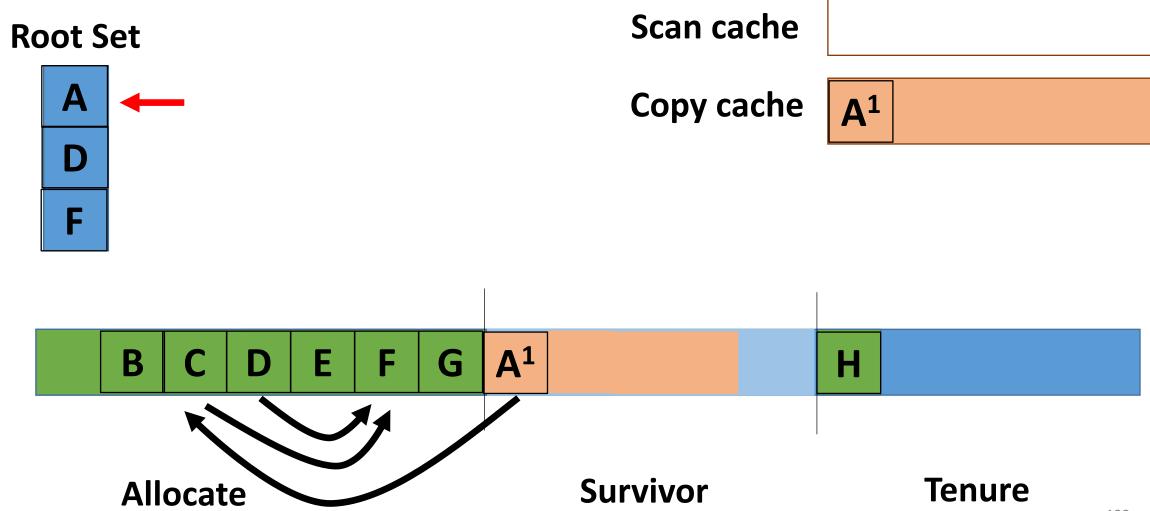




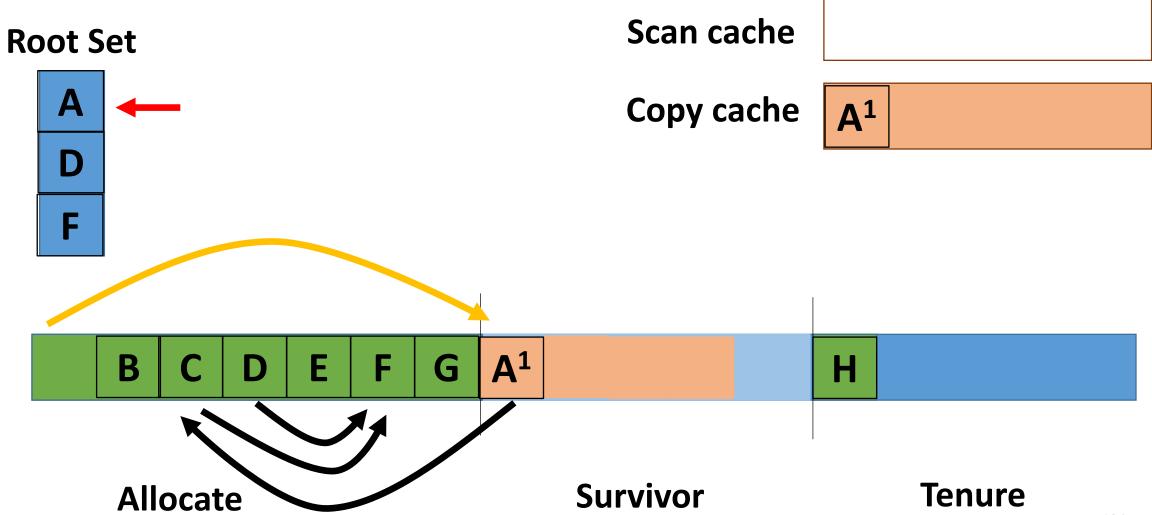




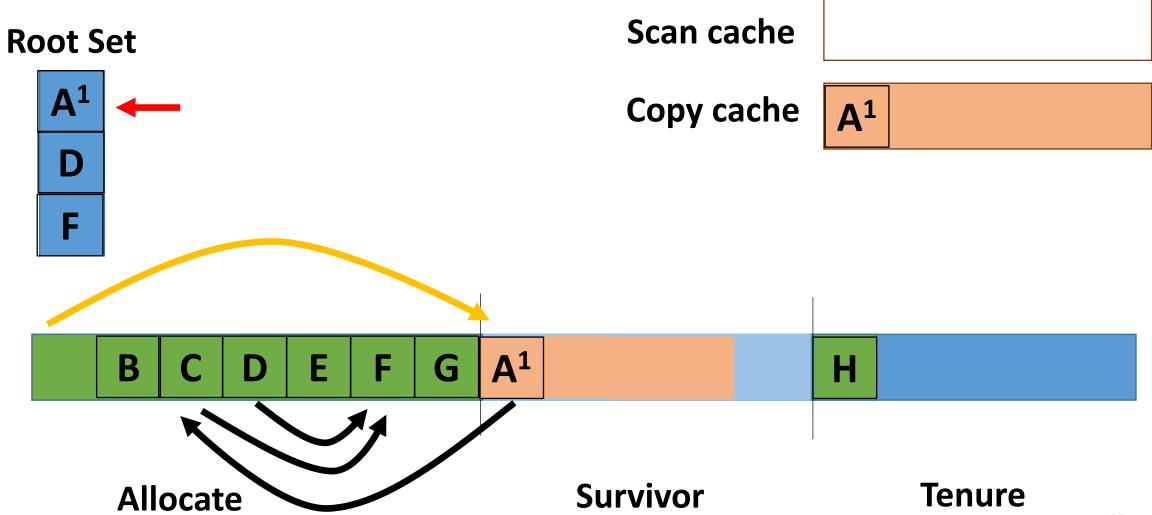




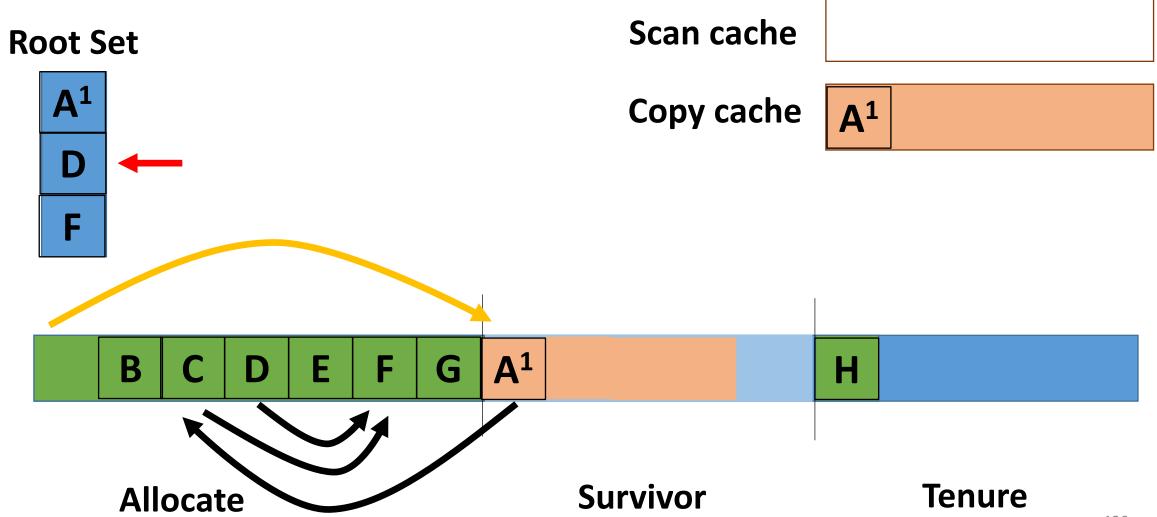




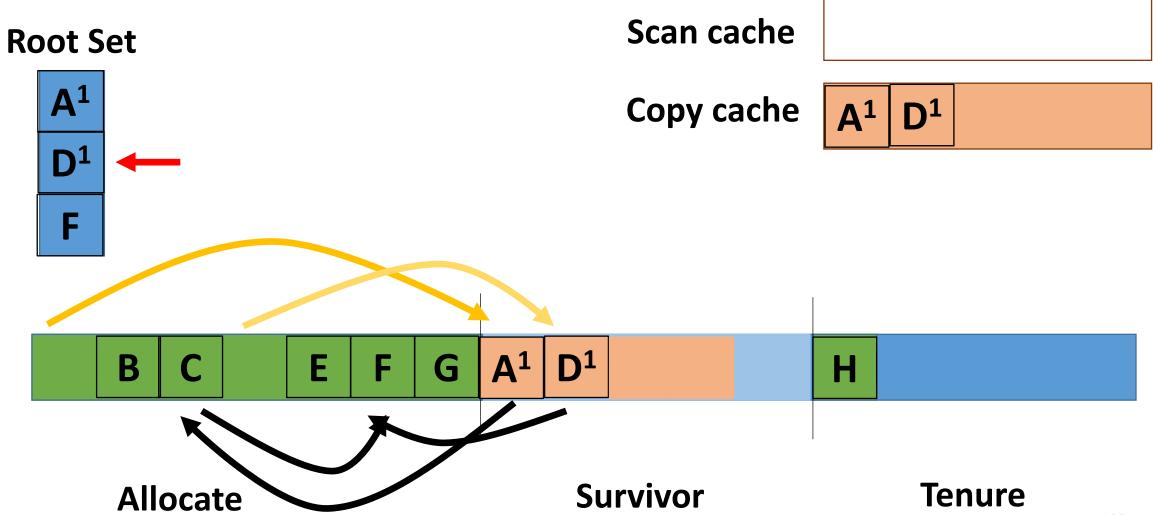




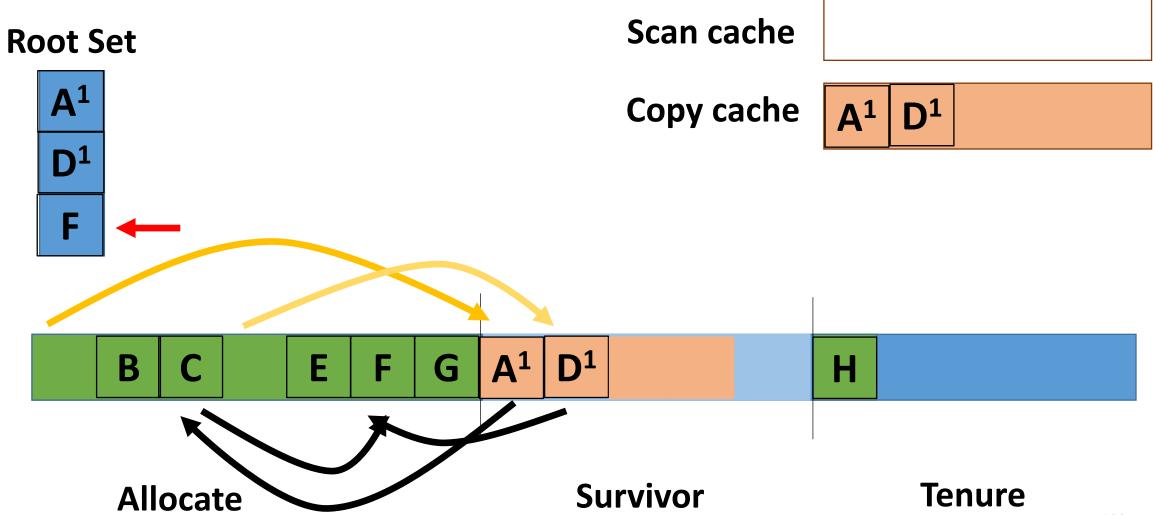




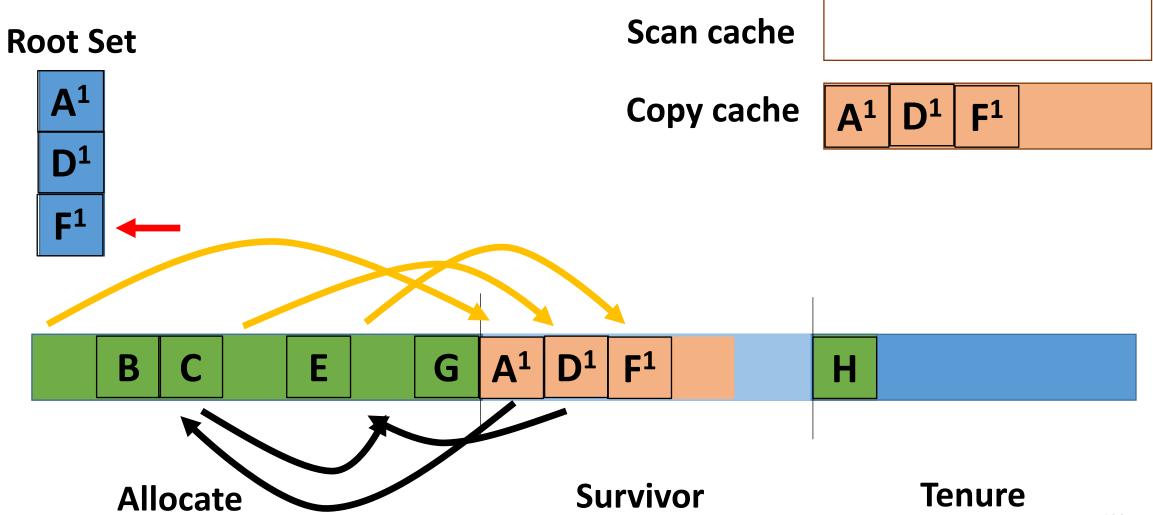










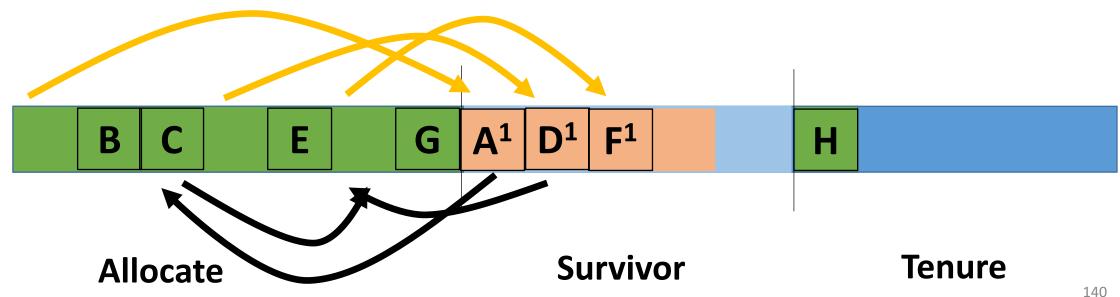




Work list

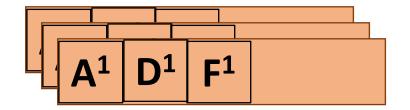
Scan cache

Copy cache



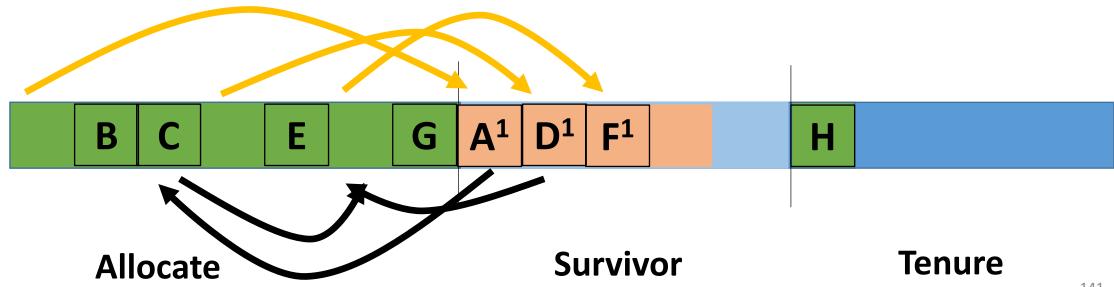


Work list



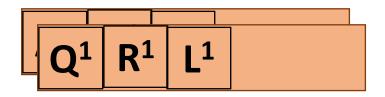
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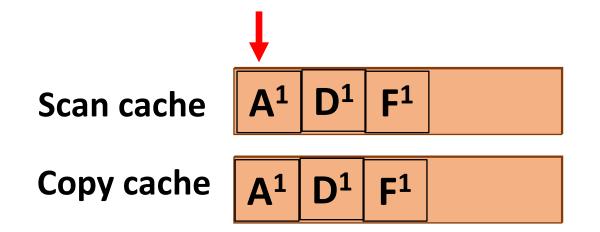
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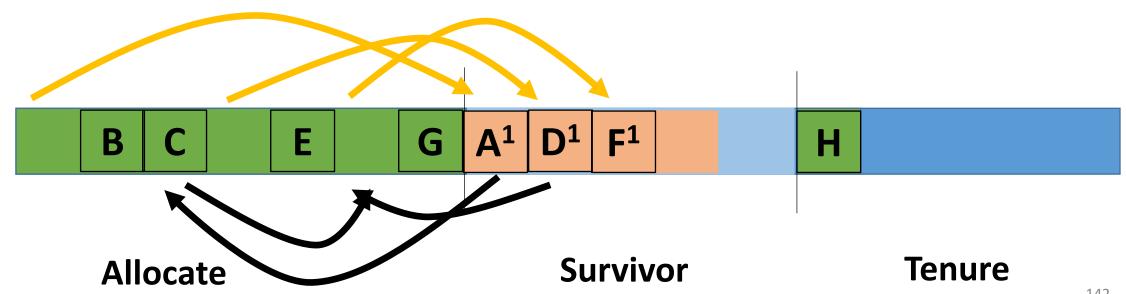




Work list

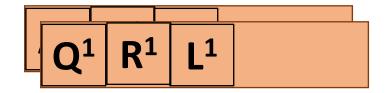






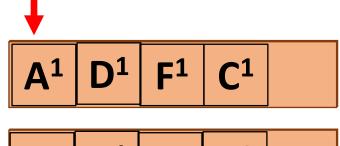


Work list

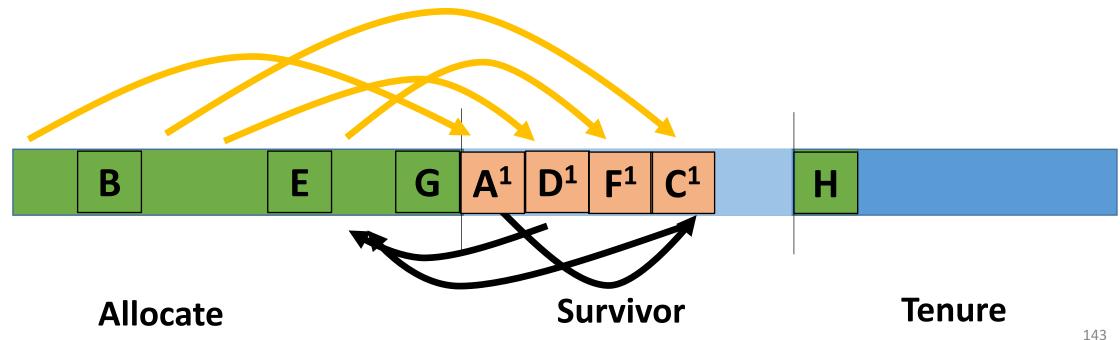


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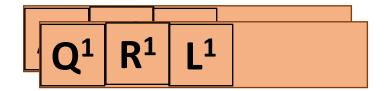






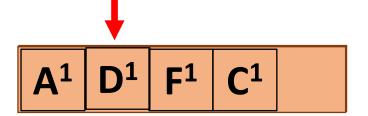


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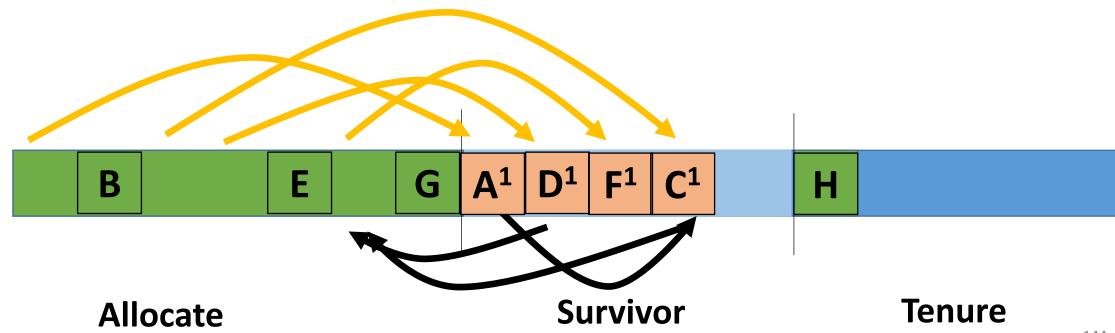


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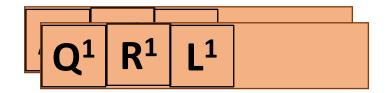






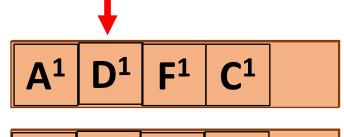


Work list

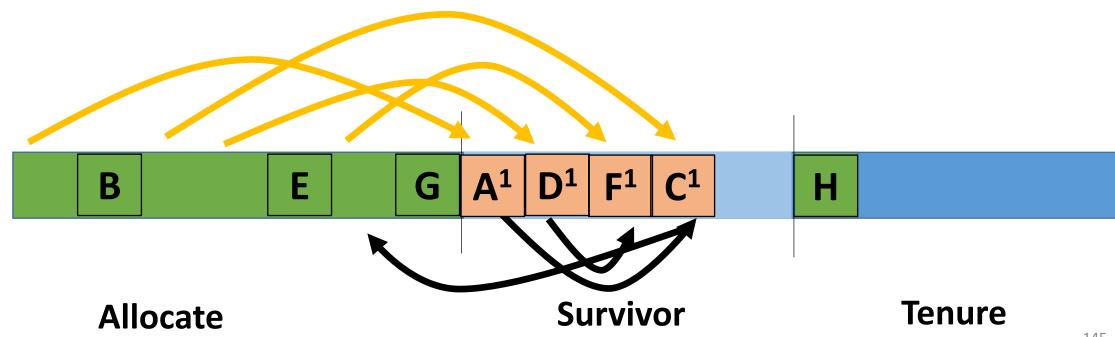


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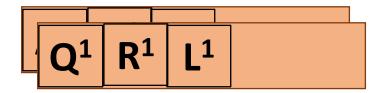








Work list

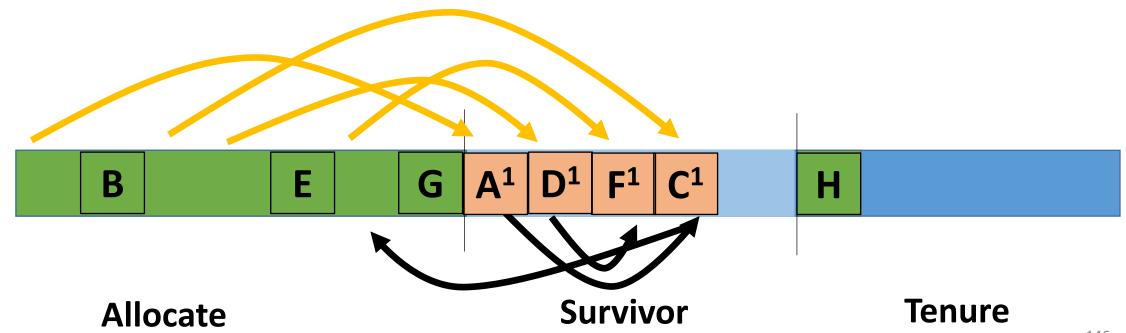


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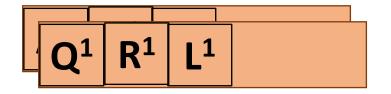




146

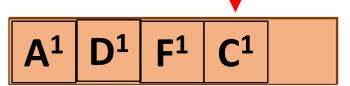


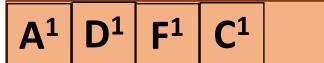
Work list

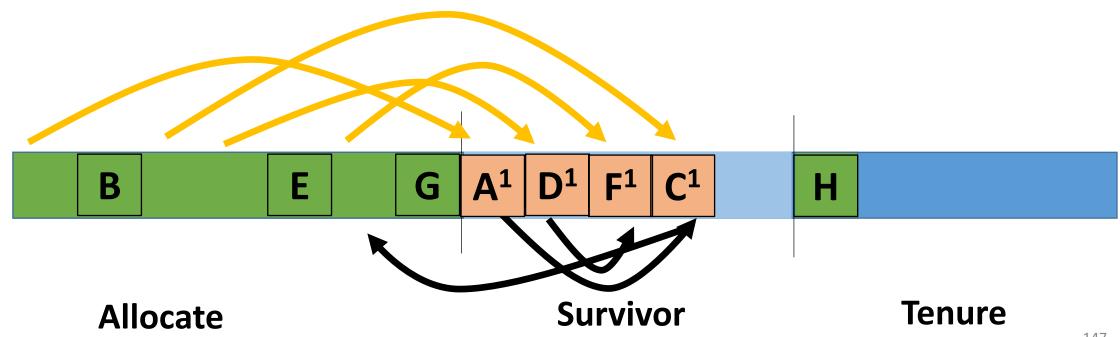


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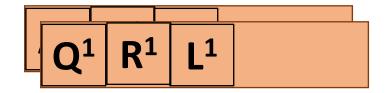






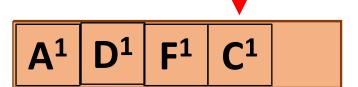


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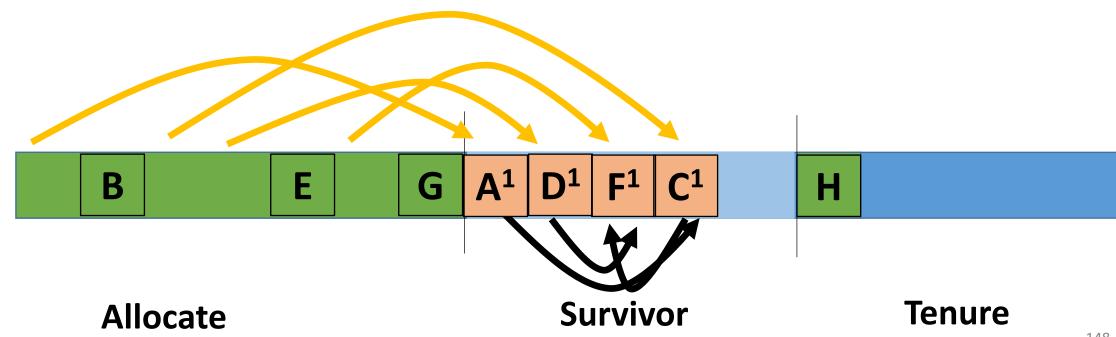


Scan cache

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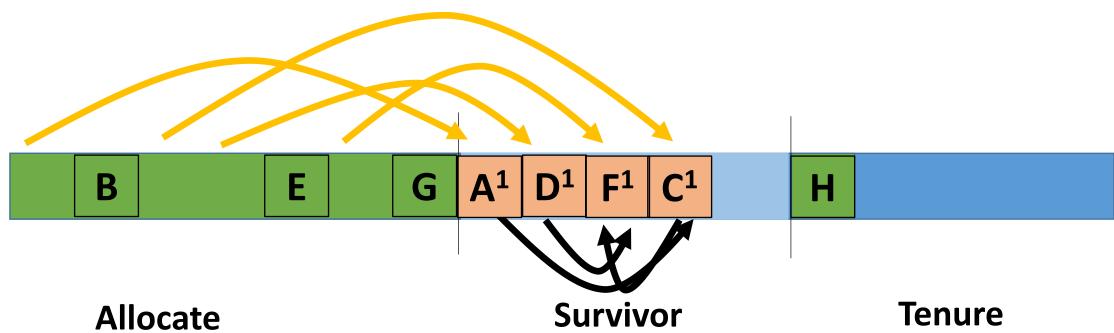
148



Work list

Scan cache

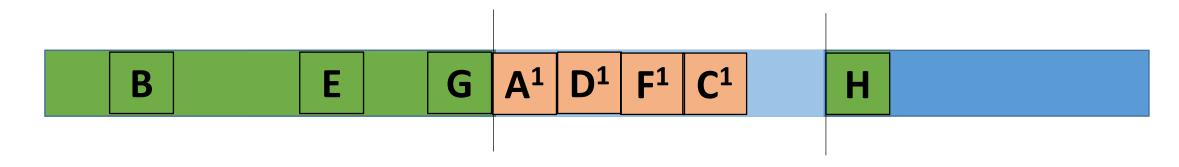
Copy cache





150

-Xgcpolicy:gencon GC

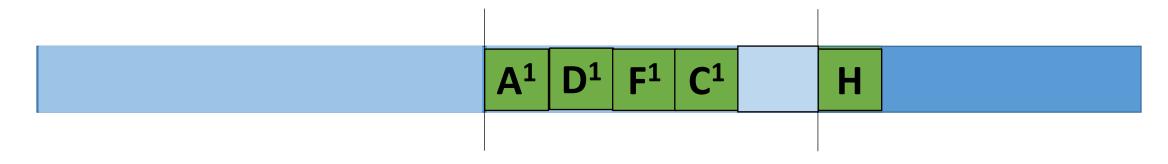


Allocate Survivor Tenure



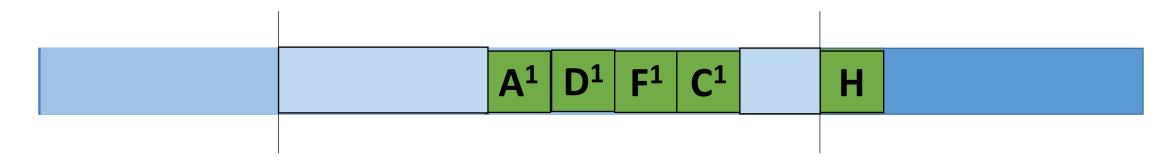
151

-Xgcpolicy:gencon GC



Survivor Allocate Tenure





Survivor Allocate Tenure

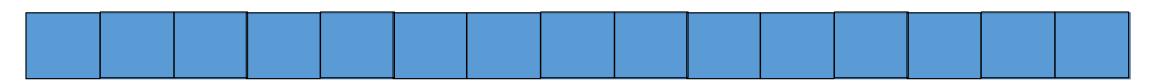
152



- Region based generational collector
 - Partial Garbage Collections (PGC) focus on high ROI regions
 - Goal of no global collections
 - NUMA aware allocation and GC
- Provides a significant reduction in max GC STW pause times
- Introduces a write barrier to track inter region references
- GC native memory overhead for 2 mark maps, work packets, remembered set card table and copy scan caches
- Full parallel global as a fall back if the PGCs can not keep up



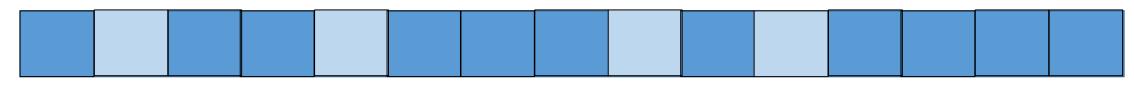
- Heap is divided into a fixed number of regions
 - Region size is always a power of 2
 - Attempts to have between 1000-2000 regions
 - Bigger heap == bigger region size
- Regions are evenly distributed across NUMA nodes



Heap



- Allocate from Eden regions
 - Eden can be any set of completely free regions
 - Attempts to pick regions from each NUMA node
- Application threads allocate from the NUMA node they are running on



Heap



- No non-array object can be larger than region size
 - Object size > region size == OutOfMemoryError
- Large arrays are allocated as arraylets
 - Arrays less than region size are allocated as normal arrays

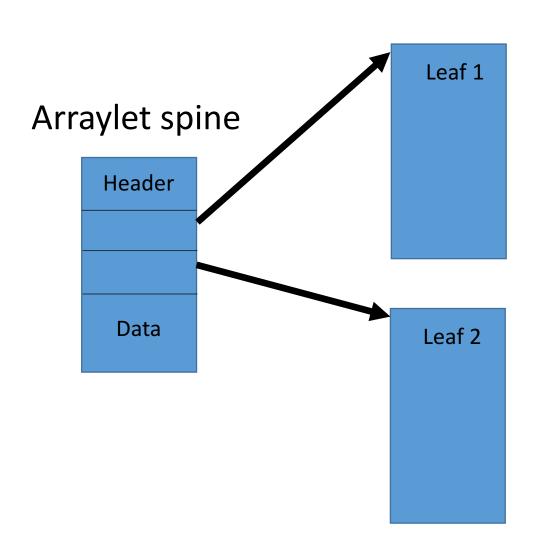


-Xgcpolicy:balanced arraylets

- Arraylets are a dis-contiguous representation of arrays
 - Array is create from construct and an arraylet spine and 1 or more arraylet leaves
 - An arraylet spine is allocate like a normal object
 - Each leaf consumes an entire region



-Xgcpolicy:balanced arraylets



- To access an element you calculate the leaf (index / leaf size)
- Then you calculate the index into that leaf (index % leaf size)



-Xgcpolicy:balanced arraylets

- Array element access is slower due to an extra dereference
- JNI critical sections causes the entire data section of the arraylet to be copied into a native memory buffer

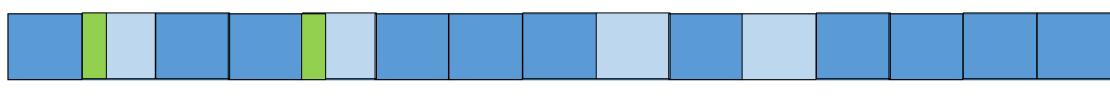


What does allocation look like?



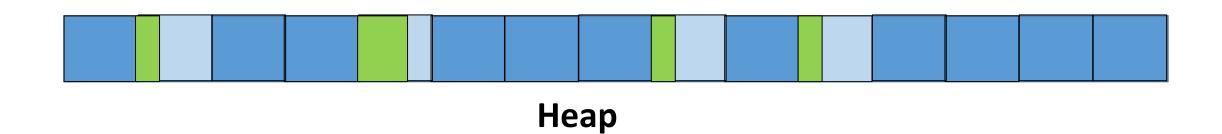
Heap



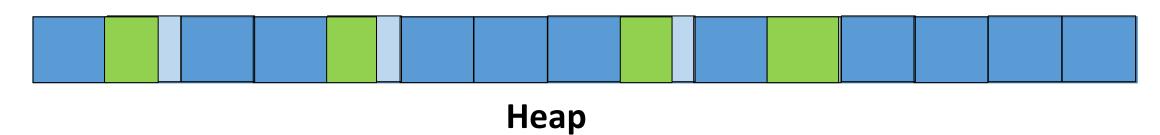


Heap



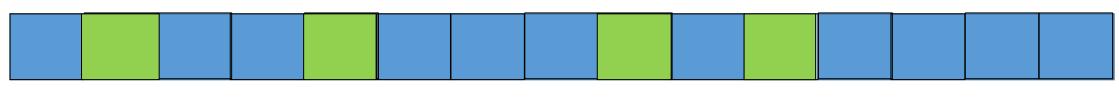






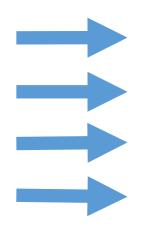


• Time for a PGC

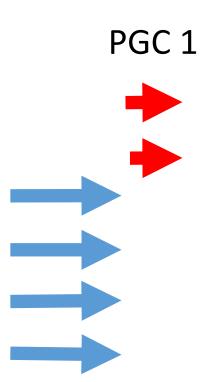


Heap

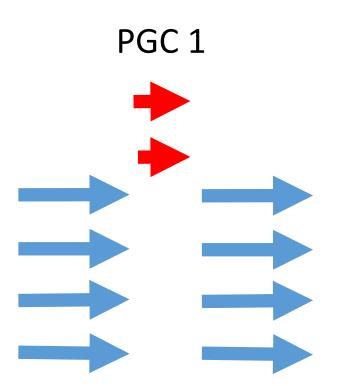




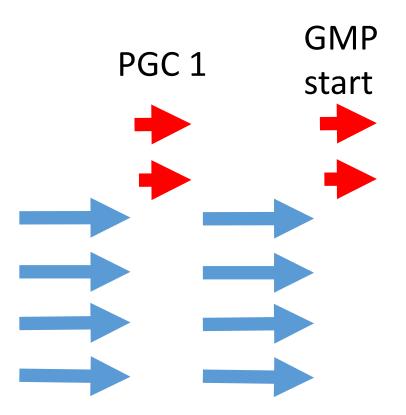




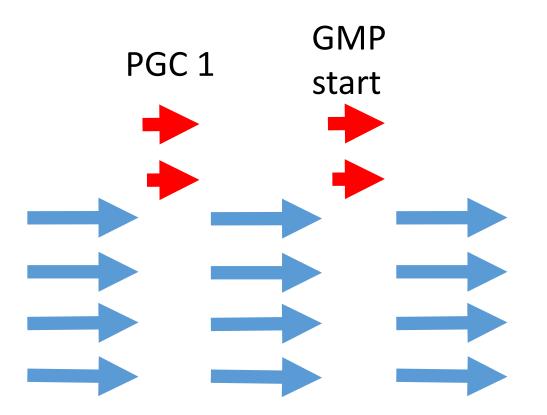




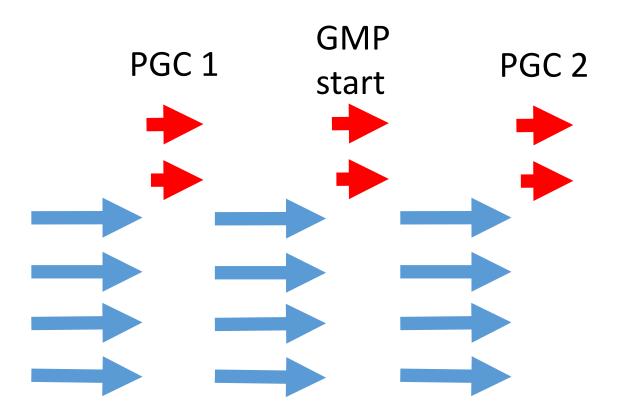




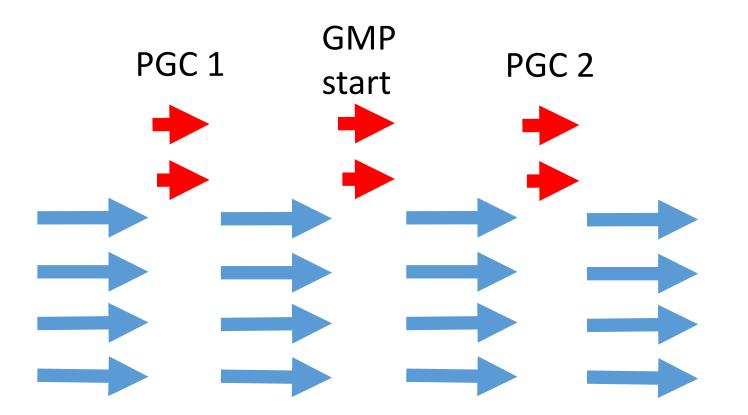




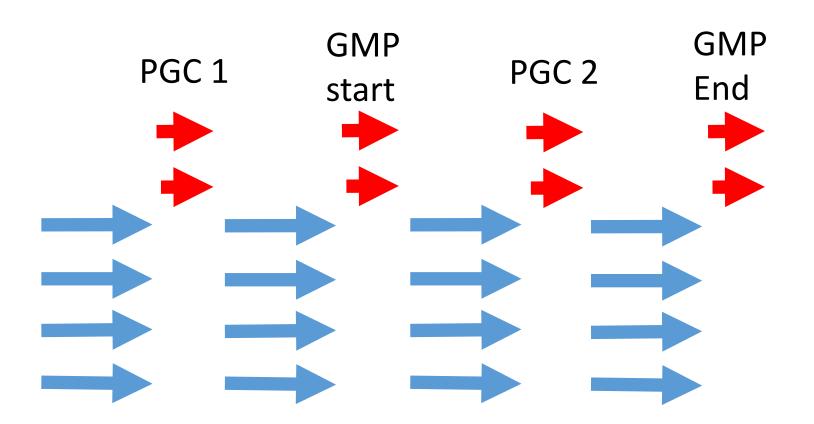




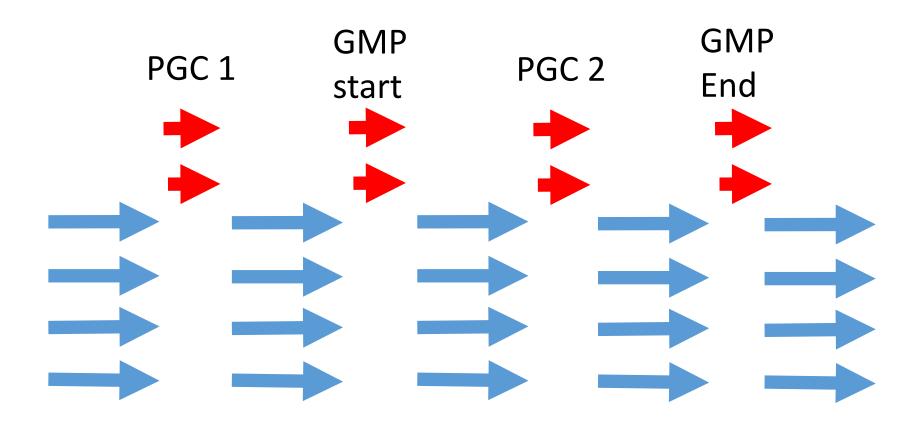




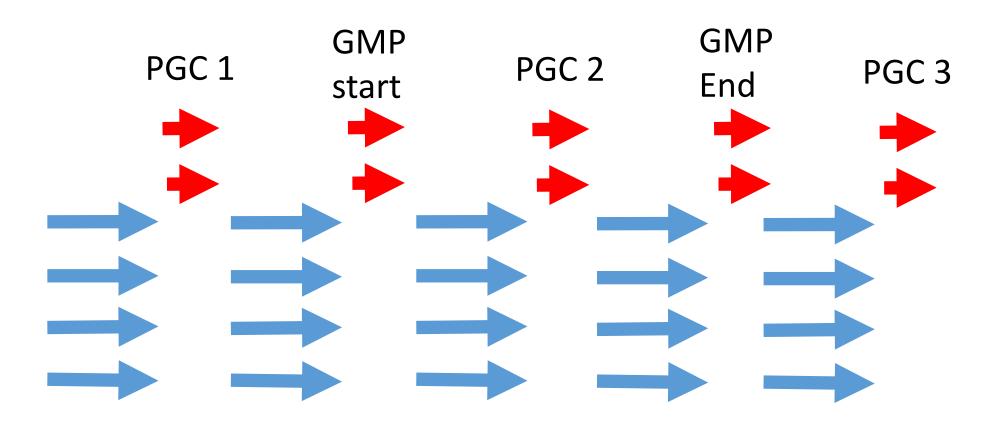




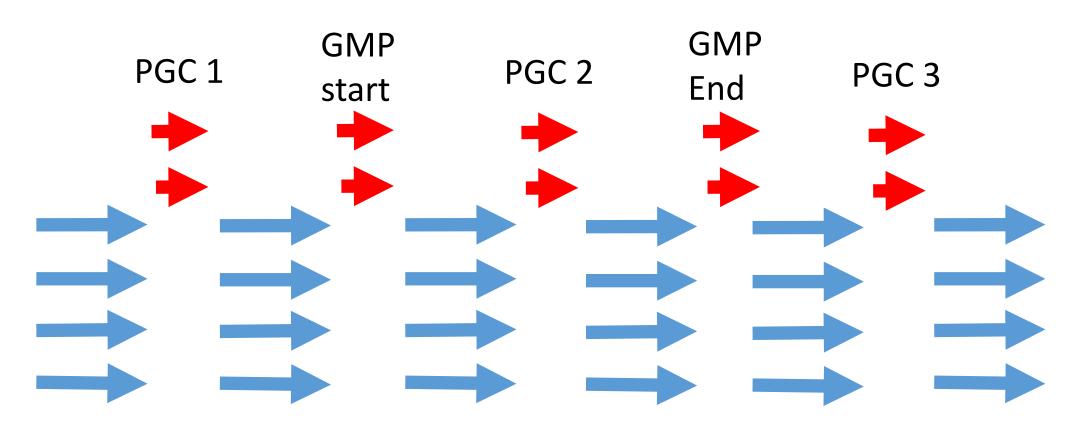












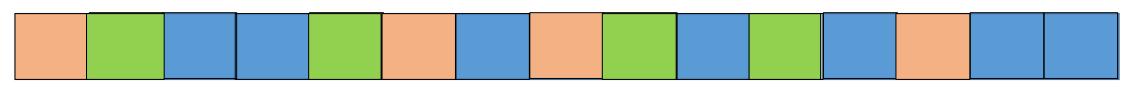


-Xgcpolicy:balanced Global Mark Phase (GMP)

- Does not reclaim any memory!
- Performs a marking phase only
- Scheduled to run in between PGCs
- Builds an accurate mark map of the whole heap
- Mark map is used to predict region ROI for PGC
- Scrubs RSCL



- Select regions for inclusion
 - Always select Eden regions
 - Use GMP mark map to predict regions with low live counts
 - If RSCL has too many entries it is not a good candidate
- RSCL for selected regions becomes a root





- Select regions for inclusion
 - Always select Eden regions
 - Use GMP mark map to predict regions with low live counts
 - If RSCL has too many entries it is not a good candidate
- RSCL for selected regions becomes a root



Heap



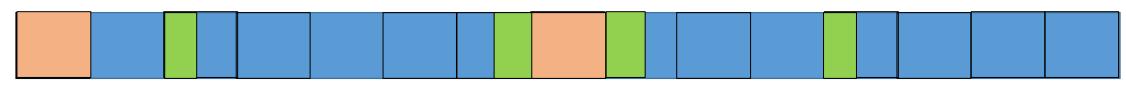
- Select regions for inclusion
 - Always select Eden regions
 - Use GMP mark map to predict regions with low live counts
 - If RSCL has too many entries it is not a good candidate
- RSCL for selected regions becomes a root



Heap



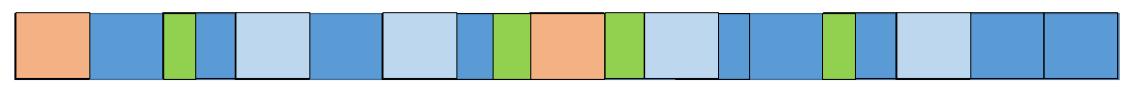
Perform the copy forward operation





-Xgcpolicy:balanced PGC

- Perform the copy forward operation
- Pick the next free regions for Eden





Why is there a write barrier required?



- Why is there a write barrier required?
- Balanced PGCs can select any region to be included in the collect.
- Similar to the generational barrier the GC needs to know which regions reference a given region



How is the write barrier implemented?

```
private void setField(Object A, Object C) {
    A.field1 = C;
}
```



How is the write barrier implemented?

```
private void setField(Object A, Object C) {
    A.field1 = C;
    if (findRegion(A) != findRegion(C)) {
        addRSCLEntryFor(C, A);
    }
}
```



- Incremental soft realtime collector
 - Ultra low STW pause times of 3ms
 - Incremental mark and sweep phases with no compactor
 - Application receives 70% of the utilization
 - In any timing windows of 100ms application will run for 70ms
- Provides a significant reduction in max GC STW pause times
- Uses a Snapshot At The Beginning (SATB) write barrier
- High percentage of floating garbage
- GC native memory overhead for a mark map, work packets and a remembered set

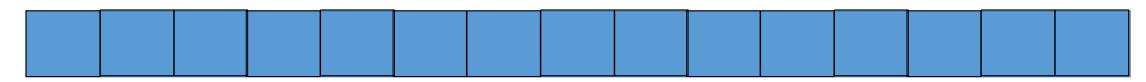


- Heap is divided into fixed sized regions
 - Region size is 64k
 - Bigger heap == more regions



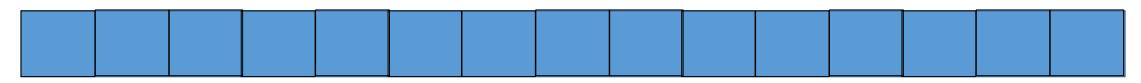


- Cell based allocation
- Regions are assigned a cell size on first use
 - Cell sizes range from 16 bytes to 2048 bytes
 - Only objects of that cell size can be allocated in a region of a particular cell size





- Objects larger than 2048 bytes consume contiguous regions
 - If no contiguous regions a full STW GC is completed before OOM
- Large arrays are allocated as arraylets
 - Arraylet leaves are 2048 bytes
 - Each arraylet leaf region contains 32 arraylet leaves





What does allocation look like?





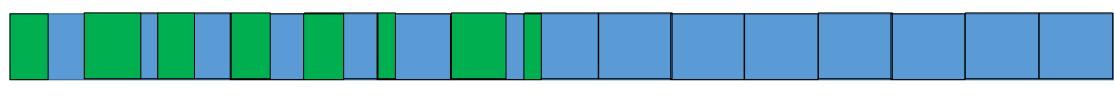
What does allocation look like?



Heap



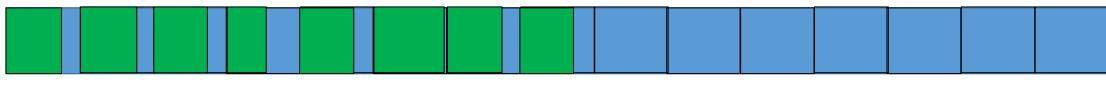
What does allocation look like?



Heap



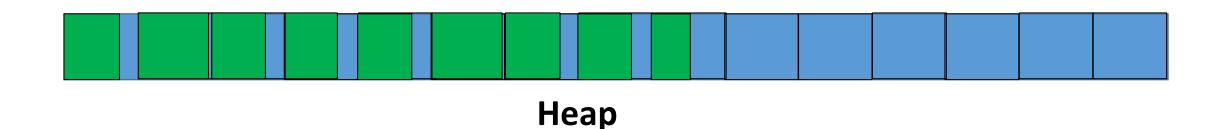
What does allocation look like?



Heap

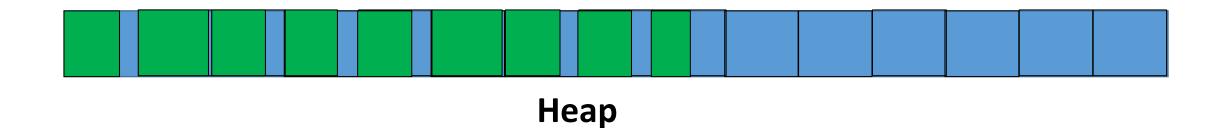


- Time to start a GC before the heap is exhausted
- GCs are triggered with 50% heap free



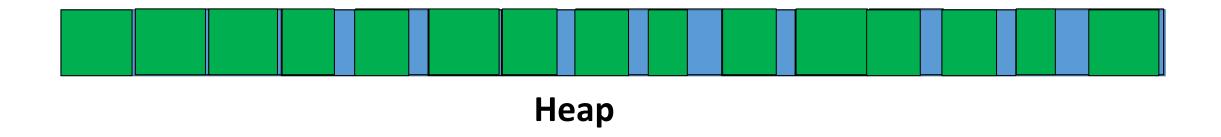


- While the GC is happening heap is still consumed
- All objects allocated during the GC are kept alive for this GC



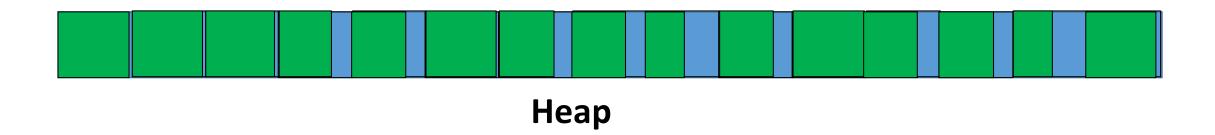


At marking end the heap basically full



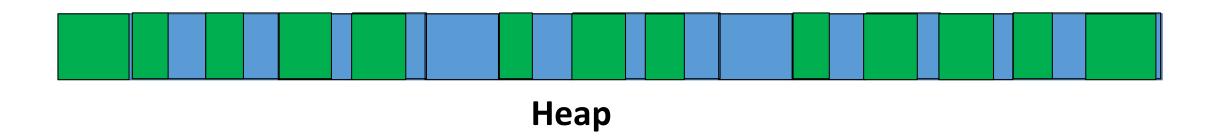


Sweep phase will start freeing memory



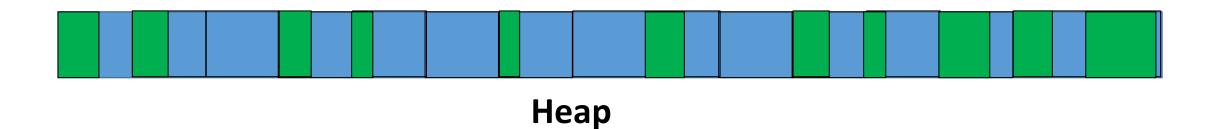


Sweep phase will start freeing memory

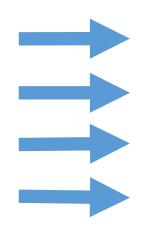




- At sweep complete the application goes back to getting 100% utilization
- If less than 50% of the heap is freed another GC is triggered

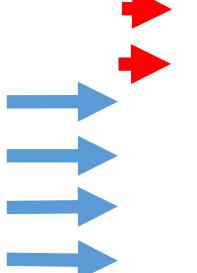




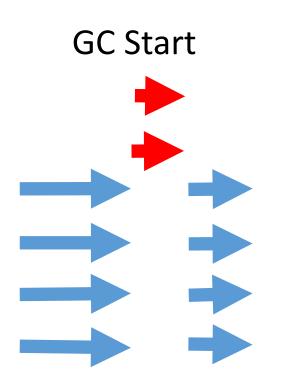




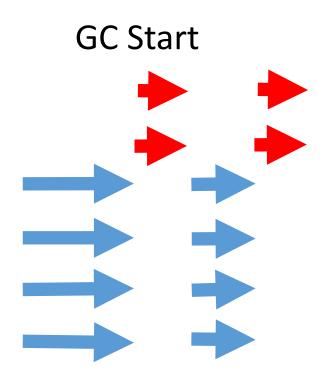
GC Start



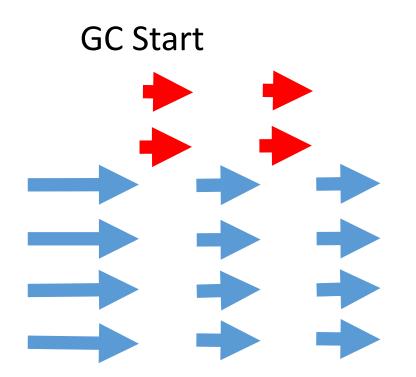




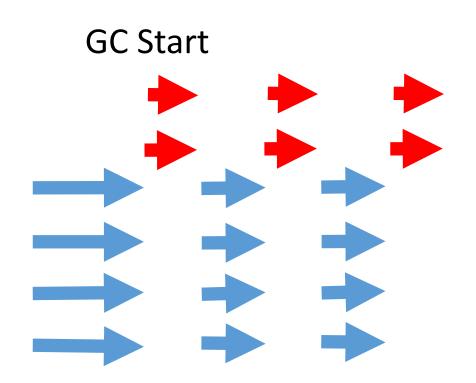




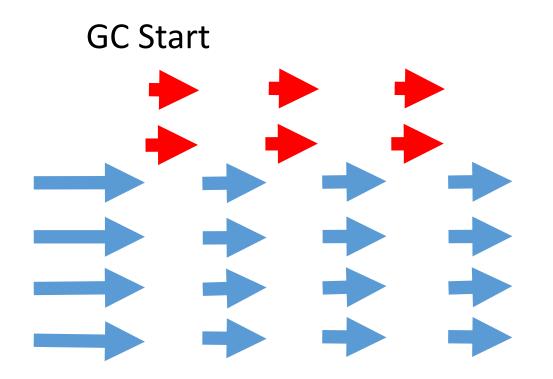




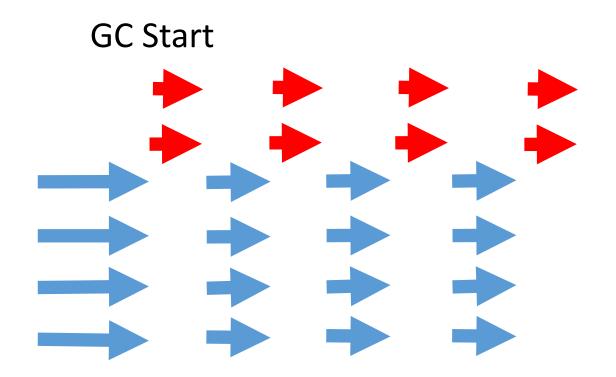




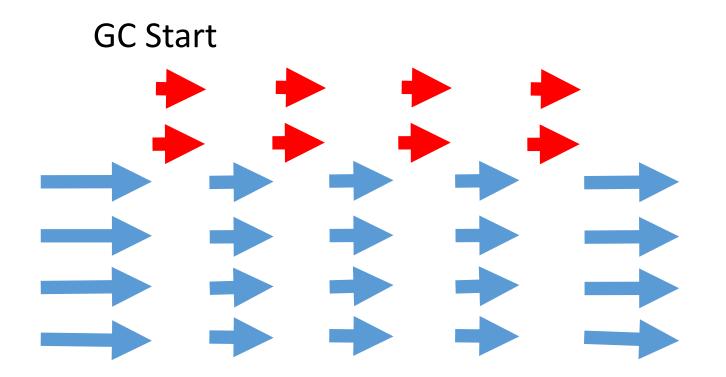




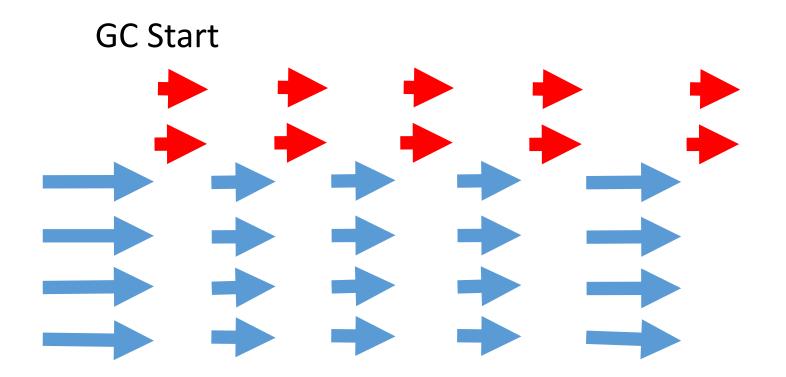




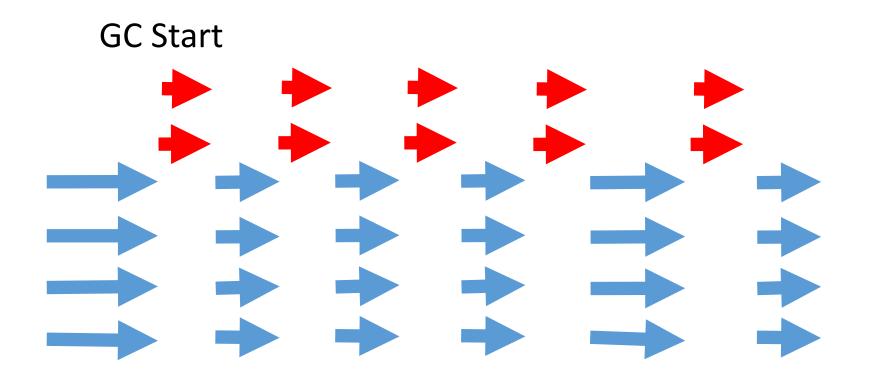




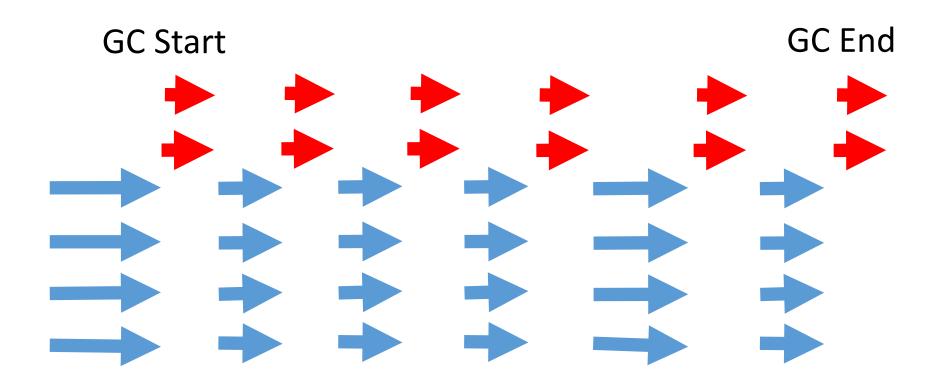




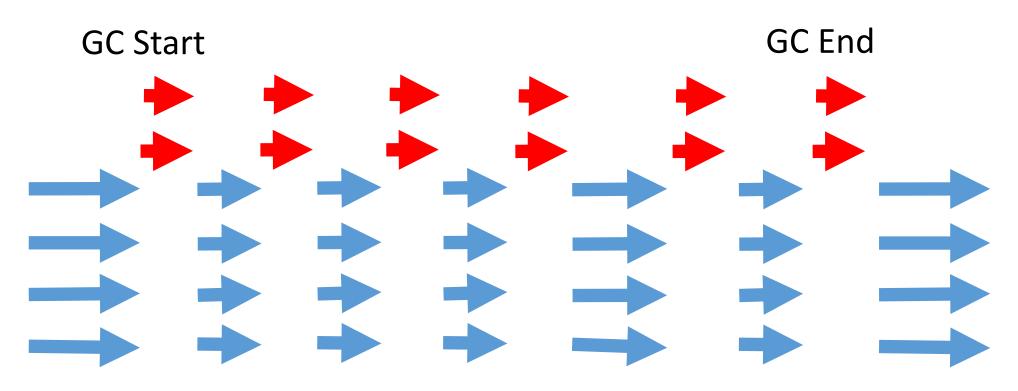














-Xgcpolicy:metronome SATB write barrier

- A snapshot at the beginning barrier makes the guarantee that all objects alive at the beginning of the GC will be alive at the end
- This causes a lot of floating garbage but ensures correctness for the incremental collector
- The barrier has to be performed before the store



-Xgcpolicy:metronome SATB write barrier

How is the write barrier implemented?

```
private void setField(Object A, Object C) {
    A.field1 = C;
}
```



-Xgcpolicy:metronome SATB write barrier

How is the write barrier implemented?

```
private void setField(Object A, Object C) {
   Object temp = A.field1;
   if (barrier isActive) {
      remember(temp):
   }
   A.field1 = C;
}
```



- Metronome uses the same marking and sweeping as optthruput
- Root scanning, marking and sweeping all have yield points
- The remembered set is added as a new root
- If the pause time has been reached the GC pauses and lets the application run again



GC policy quick guide

GC Policy	Domain	Memory used in addition to heap (% of Xmx)	Throughput
gencon*	Webservers, desktop applications, runs most apps well	9	Highest
balanced	Very large heaps, large NUMA systems	13.5	High
metronome	Soft-realtime systems, trading applications, etc.	4.5	Low-Medium
optthruput	Small heaps with little GC	4.5	Medium
optavgpause	Why not gencon?	6	Low-Medium



Links

Eclipse OMR

https://www.eclipse.org/omr/

Eclipse OpenJ9

https://www.eclipse.org/openj9

AdoptOpenJDK

https://adoptopenjdk.net/?variant=openjdk8-openj9



Questions???