Pre-ICANN56 Policy Update Webinar
16 June 2016 | 10 UTC & 19 UTC

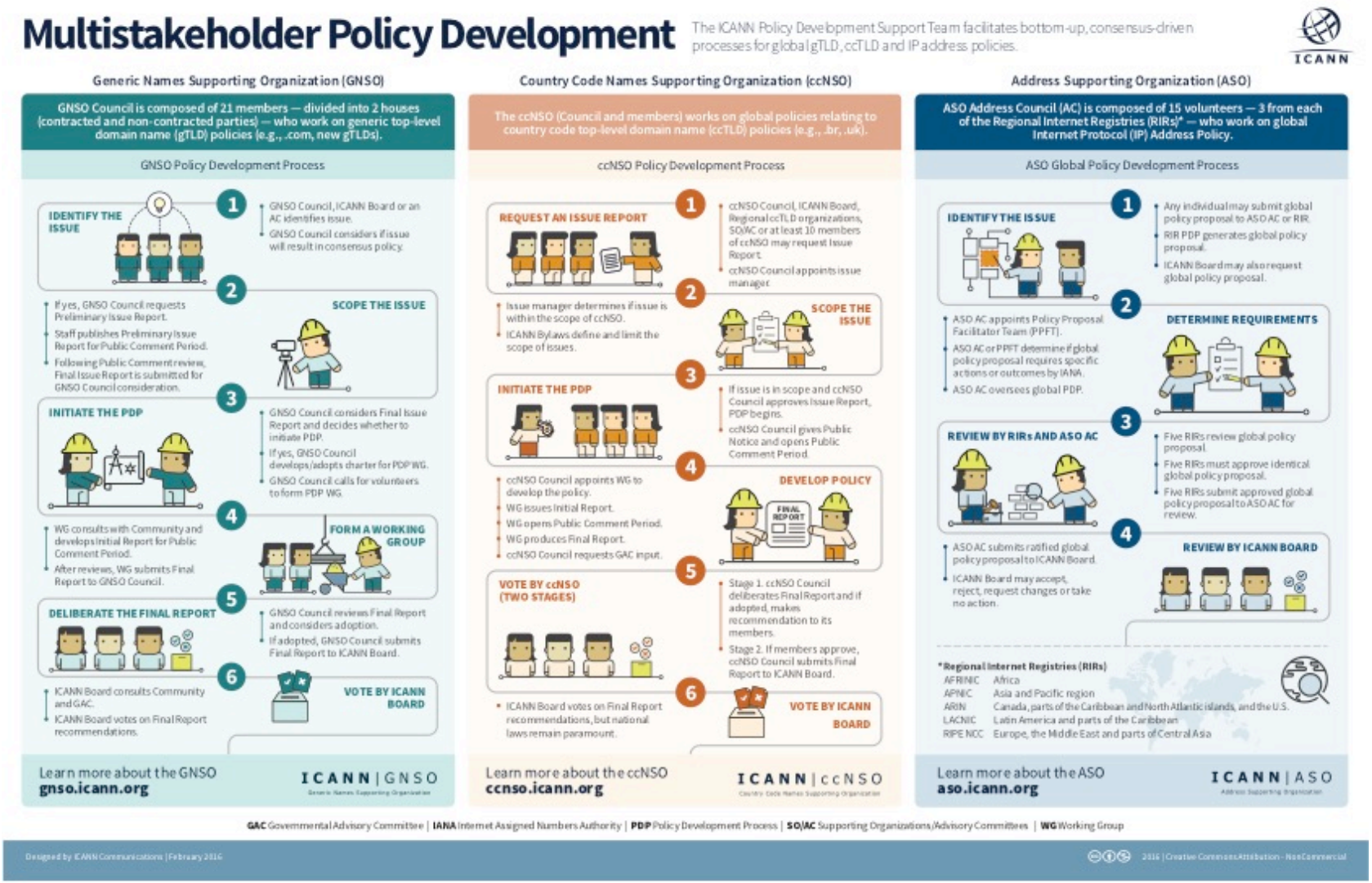
Welcome to the Pre-ICANN56 Policy Update Webinar

David Olive

Senior Vice President, Policy Development Support

General Manager, ICANN Regional Headquarters Hub in Istanbul

Policy Development Support



Meeting B Format – Policy Forum

1. Policy Development Focus
2. Enhance Cross-Community Interactions
3. Outreach Components
4. No Official Opening Ceremony
5. No High-Interest Sessions
6. No Public Forums

Cross-Community Sessions

- Charter for the CCWG on the use of new gTLD Auction Proceeds
- Proposed Framework of Principles for Future Cross Community Working Groups
- New gTLD Subsequent Procedures Policy Development Process (PDP)
- Next-Generation gTLD Registration Directory Service (WHOIS) PDP
- Review of All Rights Protection Mechanisms in all gTLDs PDP
- Country & Other Geographic Names Forum
- Operational Aspects FY17 Operational Plan & Budget
- Workload Scheduling & Management
- ICANN56 Wrap-Up & Planning Ahead for ICANN57

Cross-Community Topics

Charter for the CCWG on the Use of New gTLD Auction Proceeds

Marika Konings/Julie Hedlund

What is this about?

1. Auctions are the mechanism of last resort to resolve string contention within the New gTLD Program.

2. Significant funding has accrued as a result of several auctions – currently $105.6 million USD.

3. Community started discussion on how to deal with funds at ICANN 52. Following a workshop on the topic at ICANN53 a discussion paper was prepared by Staff and Public Consultation opened. Focus on development of framework for disbursement of funds.

4. Following review of public comments on discussion Paper, GNSO Council Chair reached out to all SO/ACs to identify volunteers to participate in a drafting team to develop a charter for a Cross-Community Working Group.

What to expect at ICANN56

Overview

- All SO/ACs, apart from the ccNSO, have put forward volunteers (ALAC, ASO, GAC, RSSAC, SSAC);
- Jonathan Robinson appointed by GNSO Council to chair DT;
- DT tasked to develop a charter for a Cross-Community Working Group on new gTLD Auction Proceeds for consideration by the ICANN SO/ACs for adoption;
- First meeting held on 23 February 2016 and meeting regularly thereafter.

What to Expect at ICANN56

- DT to publish draft Charter for discussion at ICANN56;
- This will be accompanied by:
  - (1) a memo outlining the legal and fiduciary constraints as a result of ICANN"s Bylaws and status; and
  - A statement of principles which the DT believes should guide the work of the CCWG.

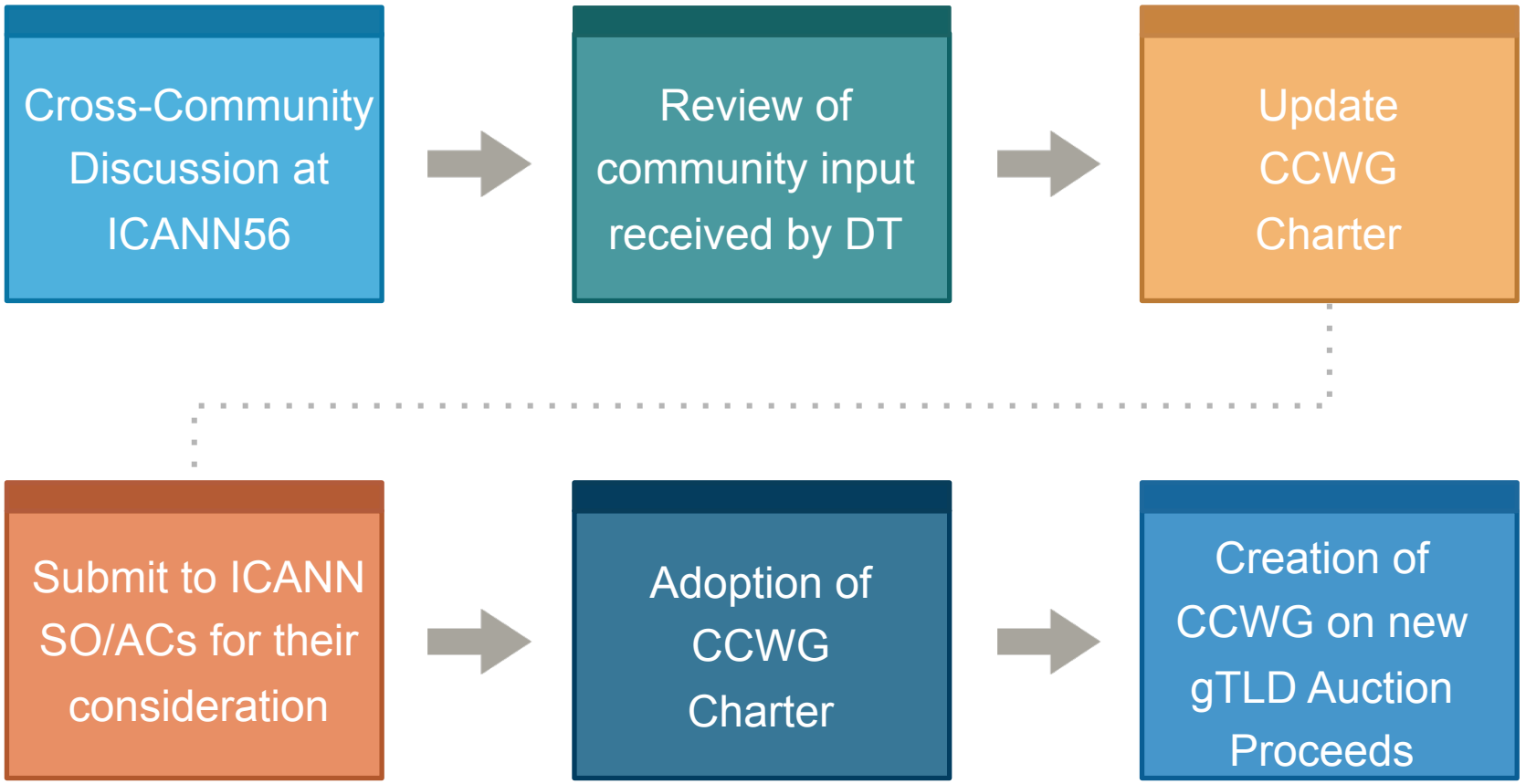
Background materials

- Review the Updated discussion paper published to reflect public comments received (see http://gnso.icann.org/en/drafts/new-gtld-auction-proceeds-07dec15-en.pdf);
- Draft Uniform Framework for a Cross Community Working Group (CCWG) Life Cycle: Principles and Recommendations (see http://gnso.icann.org/en/drafts/draft-principles-for-ccwg-22feb16-en.pdf));
- Draft Charter – see https://community.icann.org/x/mRuOAw

How to prepare for ICANN56

- Review the new gTLD Auction Proceeds Discussion Paper – see https://gnso.icann.org/en/drafts/new-gtld-auction-proceeds-07dec15-en.pdf
- Review the draft charter and come prepared to the session with any comments, questions and/or proposed additions you may have - https://community.icann.org/x/mRuOAw

Expected Next Steps



Proposed Framework of Principles for Future Cross Community Working Groups

Mary Wong

Draft Framework of Principles for Future CCWGs

Overview

Working Group chartered by ccNSO & GNSO Councils to develop guidelines for formation, chartering, operation and closure of future CCWGs

Draft Framework published for public comment in February 2016

Final Framework expected to be completed after ICANN56

What to Expect at ICANN56

CCWG will conduct open community session on key changes and major areas of community concern – ensuring diversity; budget and resources; defining consensus; post-implementation issues

Background Materials

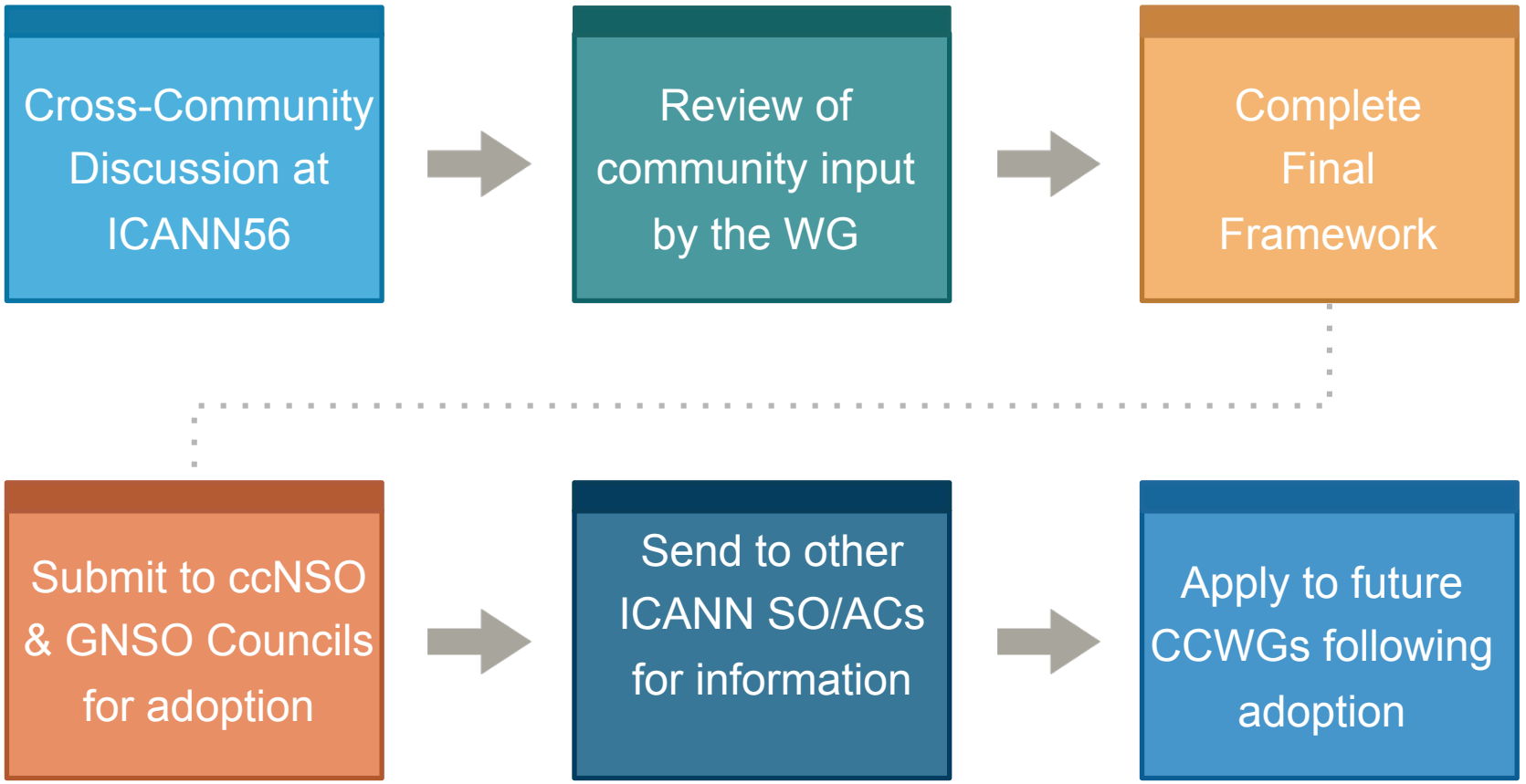
Draft Principles (February 2016):
https://www.icann.org/en/system/files/files/ccwg-framework-principles-draft-19feb16-en.pdf

Report of Public Comments received:
https://www.icann.org/en/system/files/files/report-comments-ccwg-framework-principles-draft-06may16-en.pdf

How to prepare for ICANN56

- Review the proposed Final Framework document when it is released (expected the week prior to ICANN56)
- Come to the open session on Wednesday in Helsinki with comments, questions and suggestions

Expected Next Steps



New gTLD Subsequent Procedures

Steve Chan / Julie Hedlund

ICANN

New gTLD Subsequent Procedures

Overview

Community efforts, such as a PDP and various reviews, are underway to take into consideration the 2012 New gTLD round and seek ways to improve, refine, or develop new policy recommendations for new gTLDs, acknowledging that there is existing new gTLD policy from the GNSO's 2007 Final Report on the Introduction of New gTLDs.

What to Expect at ICANN56

An open community dialogue session on Tuesday to discuss the subjects that have been considered to date by the PDP WG as well as other efforts related to new gTLDs, and a selection of topics that are of broad community interest.

How to Prepare

Review the WG's Charter: https://community.icann.org/x/KAp1Aw

Review the Draft Work Plan to see preliminary timelines: https://community.icann.org/x/NAp1Aw

New gTLD Related Efforts

Competition, Consumer Trust & Consumer Choice RT

CWG on Use of Country and Territory Names

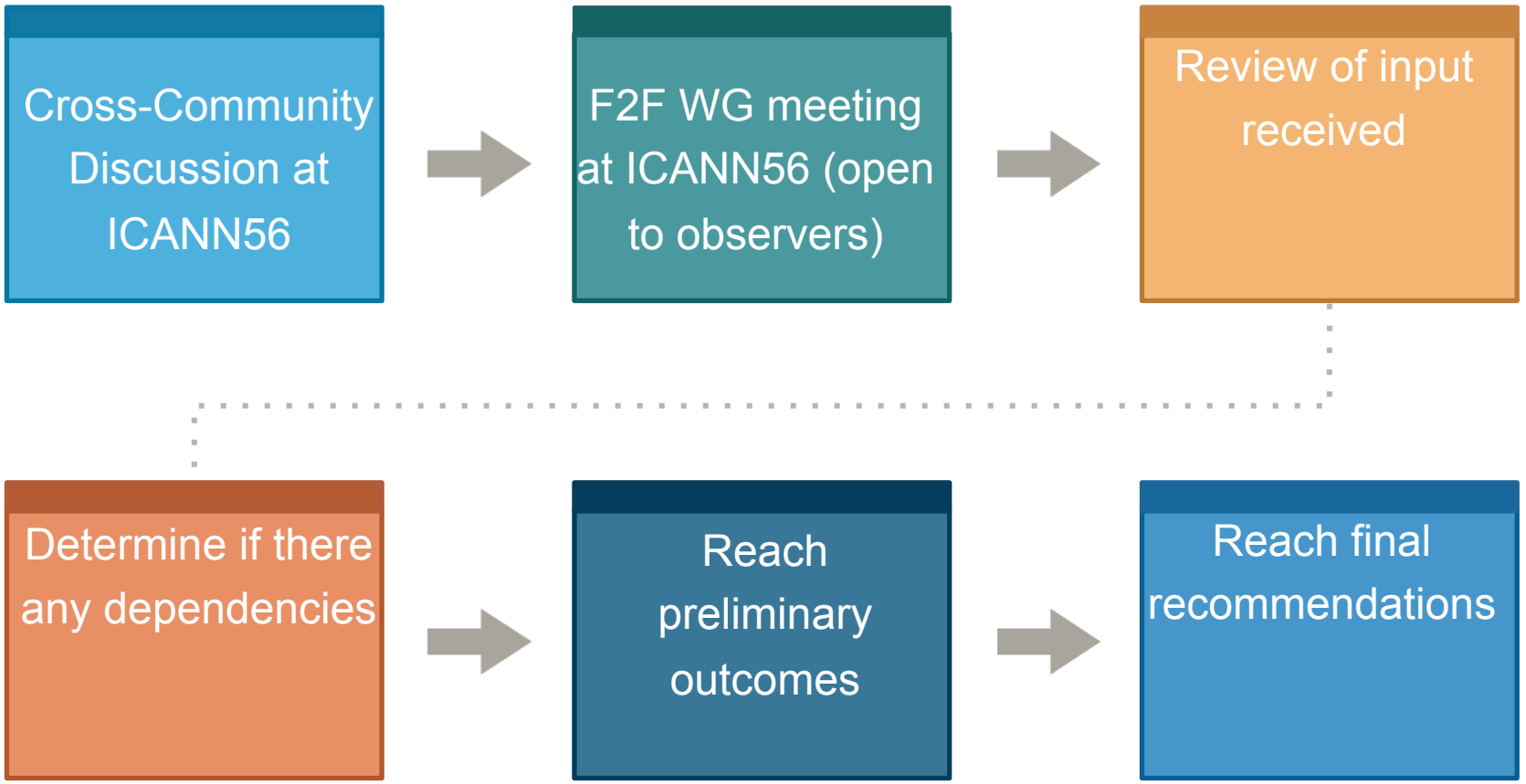
Review of All RPMs in all gTLDs PDP

Efforts in the GAC, ALAC, SSAC, etc.

IGO-INGO

GNSO PDP on new gTLD Subsequent Procedures

Expected Next Steps



SO/AC Work: GNSO New gTLD Subsequent Procedures PDP

1

What is the WG currently working on?

A total of 38 subjects in the WG's charter and 6 have been identified as high-level, overarching subjects. Preliminary discussions have taken place and community input was sought on these subjects.

2

When will the other 32 subjects be addressed?

WG expects to divide into a series of sub teams to conduct preliminary discussions and again, seek community input.

3

WG processes

WG will conduct preliminary discussions, seek input from community, integrate work from other efforts, only then reach conclusions.

Next Generation gTLD Registration Directory Service (WHOIS) PDP

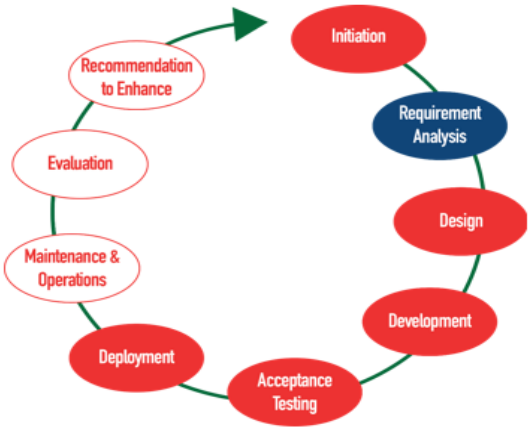
Marika Konings/Lisa Phifer

What is this about?

- WHOIS started in 1982, when the Internet Engineering Task Force (IETF) published a protocol for a directory service for ARPANET users. Initially, the directory listed contact information requested of anyone transmitting data across the ARPANET.
- As the Internet grew, WHOIS began to serve the needs of different stakeholders such as registrants, law enforcement, intellectual property & trademark owners, businesses & individual users - but the protocol remained largely unchanged.

- After nearly 15 years of GNSO task forces, working groups, workshops, surveys & studies, the ICANN community has been unable to reach consensus on comprehensive WHOIS policy reforms
- In response to the 2012 WHOIS Policy Review Team's Final Report, the ICANN Board launched the RDS PDP & the Expert Working Group (EWG) to inform it, and reconfirmed the request for a PDP in 2014 following the delivery of the EWG Final Report

What is this about? (continued)



- The GNSO RDS PDP WG was formed to attempt to reach consensus on the following questions (*at a minimum*):

- **What are the fundamental requirements for gTLD registration data?** When addressing this, the PDP WG should consider, at a minimum, *users and purposes and associated access, accuracy, data element, and privacy requirements*

- **Is a new policy framework and next-generation RDS needed to address these requirements?**

  - **If yes, what cross-cutting requirements must any next-generation RDS address**, including *coexistence, compliance, system model, and cost, benefit, and risk analysis requirements*

  - **If no, does the current WHOIS policy framework sufficiently address these requirements?** If not, what revisions are recommended to the current WHOIS policy framework to do so?

What to expect at ICANN56?

Overview

This PDP has been tasked with defining the purpose of collecting, maintaining and providing access to gTLD registration data and considering safeguards for protecting that data. This WG is expected to establish consensus requirements for registration directory services, using them to determine if and why a next-generation RDS is needed and then establish a new policy framework to support those requirements.

What to Expect at ICANN56

The WG is currently gathering available inputs and possible requirements for gTLD registration directory services. This session provides all interested parties with an opportunity to voice their concerns about WHOIS and needs for gTLD registration data, helping to augment and shape the possible requirements to be deliberated upon by this WG.

Background information

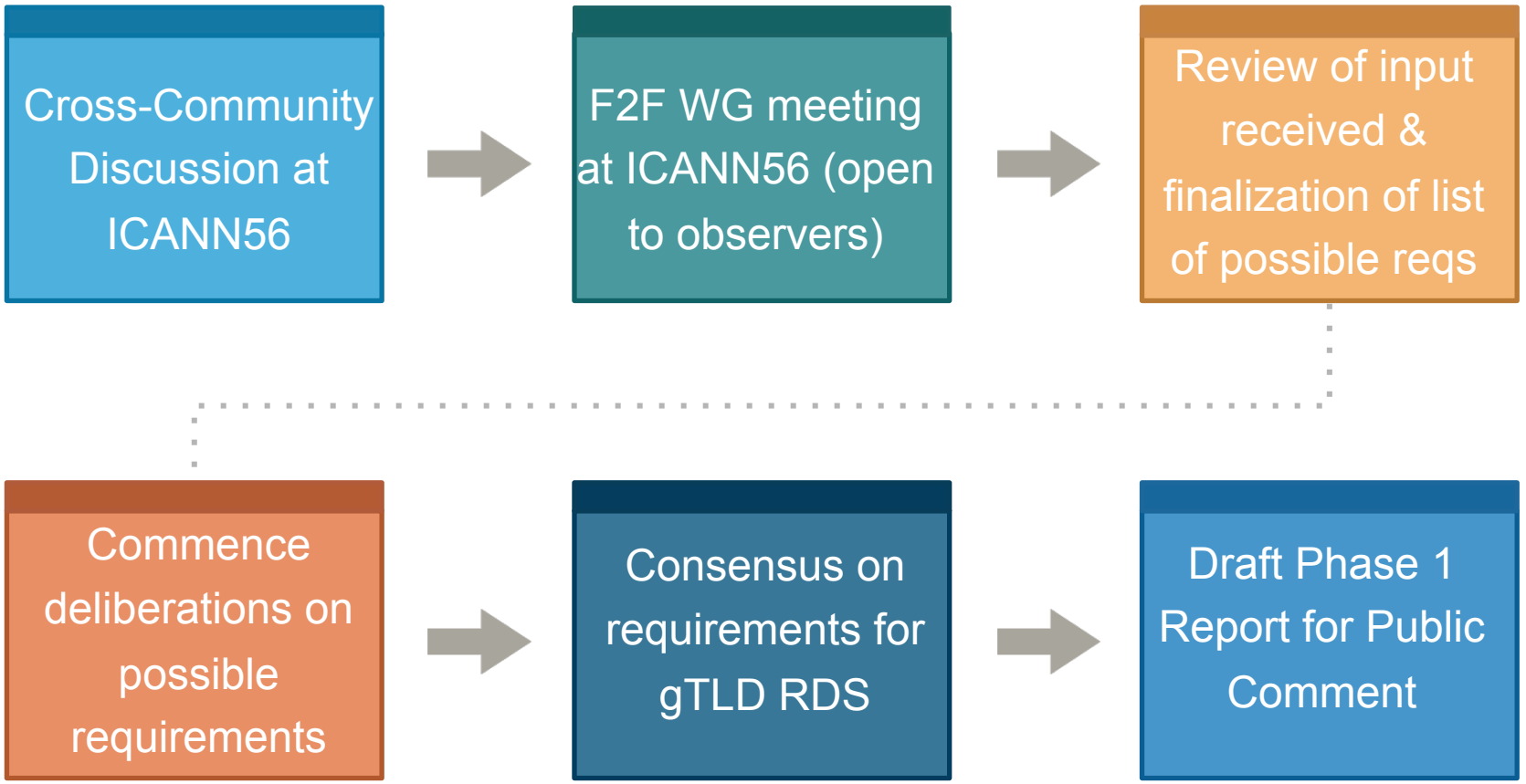
For further information about this PDP, please see https://community.icann.org/x/rjJ-Ag . Materials to help community members prepare for this session will be posted on that page, including a recent progress update report, a tutorial on the origin and history of this PDP, and a draft list of possible requirements under development by this WG.

How to prepare for ICANN56?

As a foundation for WG deliberations, you are invited to share your input on ***possible*** *requirements* in the following areas:

1. **Users/Purposes** – who should have access to gTLD registration data & why (for what purposes)?
2. **Gated access** – what steps should be take to control data access for each user/purpose?
3. **Data accuracy** – what steps should be taken to improve data accuracy?
4. **Data elements** – what data should be collected, stored, disclosed?
5. **Privacy** – what steps are needed to protect data and privacy?
6. **Coexistence** – what steps should be taken to enable next-generation RDS coexistence with and replacement of the legacy WHOIS system?
7. **Compliance** – what steps are needed to enforce these policies?
8. **System model** – what system requirements must be satisfied by any next-generation RDS implementation?
9. **Cost** – what costs will be incurred and how must they be covered?
10. **Benefits** – what benefits will be achieved and how will they be measured?
11. **Risks** – what risks do stakeholders face and how will they be reconciled?
12. **Other** Possible Requirements?

Expected Next Steps



Review of All Trademark-Related Rights Protection Mechanisms in all gTLDs

Mary Wong

ICANN

Review of All RPMs in All gTLDs

Overview

2012 New gTLD Program: trademark protections an overarching issue
Several new RPMs created
2016: GNSO Council initiates PDP and charters Working Group to review all RPMs
PDP will be in two phases:

- Phase One for the RPMs created for the 2012 New gTLD Program found
- Phase Two for the longstanding Uniform Dispute Resolution Policy

Goal is to create a uniform, consistent framework for future reviews of the RPMs

What to Expect at ICANN56

An open community dialogue session on Wednesday – for community feedback on the proposed methodology, sources and approach for data gathering, and scope of issues to be addressed in Phase One

WG open meeting on Thursday

How to Prepare

Review the WG's Charter, including the list of community suggestions for issues to be considered by the WG in Phases One and Two:
https://community.icann.org/x/2CWAAw

Review the Draft Work Plan to see the timelines:
https://community.icann.org/x/wBeOAw

Review of All RPMs in All gTLDs

1

What will be reviewed in Phase One?

The Trademark Clearinghouse (TMCH) and associated RPMs:

- Sunrise Registrations
- Trademark Claims Notices

The Uniform Rapid Suspension Procedure

The Trademark Post-Delegation Dispute Resolution Procedure

NOTE: A Preliminary Report must be published for public comment at the end of Phase One

2

What will be covered in Phase Two?

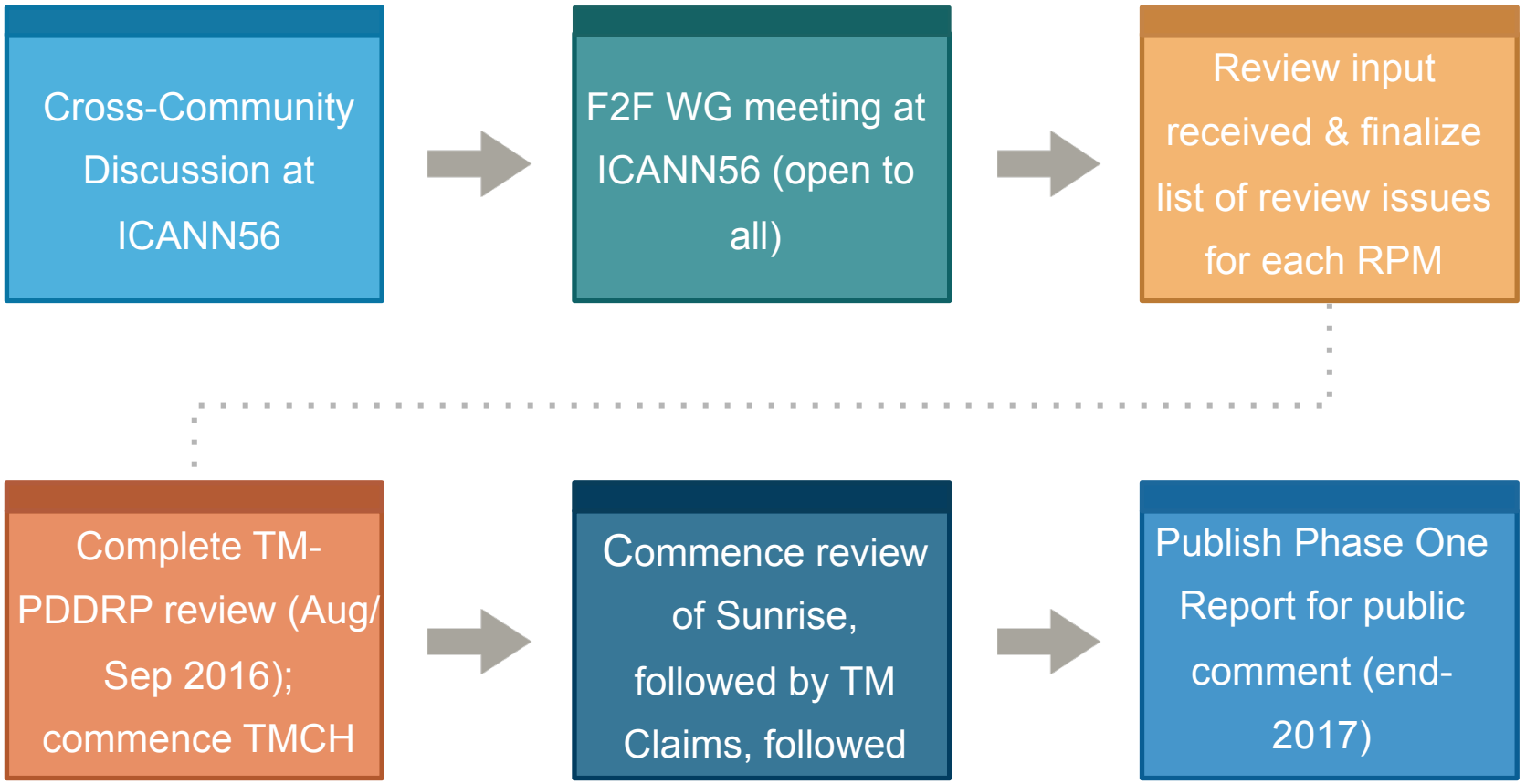
- The Uniform Dispute Resolution Policy
- Overarching themes and final recommendations for both Phases

3

How will the PDP be coordinated with other parallel, related efforts?

- The WG will coordinate closely with the New gTLD Subsequent Procedures PDP WG and track the work of the Competition, Consumer Trust and Consumer Choice Review Team and the TMCH Independent Review
- The WG is commencing its work with a review of the Trademark PDDRP, and has formed a Sub Team to begin data gathering for its review of the TMCH
- Providers, registrars, registrants and the public are expected to be consulted throughout the PDP

Expected Next Steps



Operational Aspects FY17 Operating Plan & Budget

Bart Boswinkel

Operational aspects FY17 Ops Plan & Budget

- **Purpose:**

Session to launch and embed a cross-community dialogue on ICANN's operations in ICANN's planning cycle, in particular on high level operational goals, Key Performance Indicators (KPIs) and related metrics.

- **Why important?**

Evolve community focus from dialogue on financial aspects of ICANNs Ops Plan and Budget to a community dialogue on ICANN's Operations as included in the plans.

- **Format & Topics**

Brief presentations on a topic area to set the stage, followed by community dialogue on the topic. The topics to date are: ICANN's outreach program & ICANN's hub strategy.

- **When and where?**

Tuesday 28 June 2016, 17.00-18.30, Veranda 2

Country & Other Geographic Names Forum

Bart Boswinkel

ICANN

Country & Other Geographic Names Forum

- **Purpose**

General discussion on use of country, territory and other Geographic names in the DNS to seek community feedback and input on feasibility of harmonized framework. Feedback needed by cross-community WG Country and Territory Names.

- **Why important?**

Use of Country and territory and other geographic names different policies with overlapping scope, different definitions.
Unclear and unpredictable outcome. Currently under discussion: use of 3-letter codes as TLDs

- **Format**

Overview of policies and issues by examples. Moderated discussion with interested parties

- **When and Where?**

Wednesday 29 June, 15:15-16:45 Hall A

Supporting Organizations & Advisory Committees (SO/AC) Updates

Address Supporting Organization (ASO) / Regional Internet Registries (RIRs)

Carlos Reyes

ICANN

ASO Address Council

The ASO Address Council has 15 members, 3 from each region:

- The regional policy forum of each RIR elects two members.
- The Executive Board of each RIR also appoints one person from its respective region.
- ASO Address Council consists of the members of the NRO Number Council

ASO Address Council oversees and manages the global policy development process

ICANN | ASO

Address Supporting Organization

Global Policy Development

What is a *global* policy?

- Global Internet number resource policies "require specific actions or outscomes on the part of IANA."
- All five RIRs must approve the same global policy proposal, which is submitted to the ASO AC for ratification.
- ICANN Board may accept, reject, request changes or take no action on a ratified global policy proposal.

Examples

- Post-Exhaustion IPv4 Allocation Mechanisms by the IANA (2012)
- Allocation of IPv6 Blocks to RIRs (2006)

No global policy proposals exist at this time.

Regional Policy Development

Areas of policy under discussion:

- Internet number resource transfer policies (IPv4, ASNs) within and between regions
- IPv6 allocation policies

Numbering Community Updates

- **Service Level Agreement** between ICANN and RIRs on IANA Numbering Services approved
- ICANN Board of Directors Seat 10 Selection: Announcement expected soon (replacing Kuo Wei Wu at ICANN57)

Country Code Names Supporting Organization (ccNSO)

Bart Boswinkel

ccNSO Community Discussions

Launch of ccNSO policy development process on review mechanism for decision on delegation, transfer, revocation and retirement of ccTLDs and on retirement of ccTLDs.

- Scope of PDPs (one or two PDPs)
- Initial timeline

Progress implementation CWG-Stewardship Proposal and next steps

- Progress to date
- Selection and appointment members CSC and RZERC
- Next steps: what should ccNSO expect to implement between Helsinki and Hyderabad?

Progress implementation CCWG-Accountability and next steps

- Progress to date
- WS 2 and what to be expected
- ccNSO Council Survey Accountability and Transparency

ccNSO meeting days agenda

- http://ccnso.icann.org/meetings/helsinki56/agenda.htm
- Tuesday 28 and Wednesday 29 June, 08:30-15:00, Veranda 2

Generic Names Supporting Organization (GNSO)

Marika Konings / Mary Wong

GNSO Update for ICANN56

In addition to leading and participating in cross-community discussions:

Significant time for PDP WG meetings:
- New gTLDs
- Next-Gen RDS RPMs
- IGO-INGO Curative Rights

Bilateral meetings with the ICANN Board and Governmental Advisory Committee

GNSO Monday Sessions:
- GNSO Review
- IANA Transition Implementation Issues

Implementation Review Team Meetings for adopted policies:
- Thick WHOIS
- IGO-INGO Protection

GNSO Council Meeting:
- GNSO Liaison to the GAC
- Post-transition entities and procedures
- WHOIS Conflicts with Local Law Procedure

GNSO Wrap-up Session

At-Large / At-Large Advisory Committee

Heidi Ullrich

ALAC/At-Large Activities Since ICANN55

Policy

- Between ICANN55 and ICANN56, the ALAC submitted Statements to 5 ICANN public comment proceedings.
- The ALAC responded to the request for feedback from 2 GNSO PDP WGs.
- Other policy activities include holding several capacity building webinars on substantive issues of interest to the At-Large community.
- More Information: https://atlarge.icann.org/policy-summary.

Process

- The At-Large Review started with ITEMs being selected as the Independent Examiner.
- ALAC is updating its Rules of Procedure, specifically the sections about selection and election related matters.

ALAC/At-Large Activities during ICANN56

Policy and Process	• The ALAC will discuss the next steps with the new ICANN Bylaws including developing the internal processes that will be needed. • The ALAC will also review the status of their WGs, provide an update on the ALS Criteria and Expectations Taskforce and discuss implementation of their approved FY17 special requests in addition to a number of other issues.
At-Large Review	• The At-Large Review Working Party will review timelines and references for use by the members of ITEMs. • ITEMs will conduct interviews with members of At-Large and other communities.
Outreach and Engagement	• The Sub-Committee on Outreach and Engagement is organizing sessions with the NextGen students as well as with the Fellows with the aim of increasing their understanding of the ALAC and At-Large. • A joint EURALO/Civil Society Networking Event will take place on Tuesday evening . Open to members of EURALO and the CS Engagement activities.

Regional Activities during ICANN56

- EURALO will hold its General Assembly 08:00-10:00 on 28 June. The agenda includes a review of the EURALO Annual Report 2015-2016, a briefing by the EURALO Bylaws Task Force and the launch of the EURALO ALS Engagement Task Force.
- EURALO, jointly with the Civil Society Engagement group, will host a Networking Event in conjunction with the President's Reception, 18:30-19:30 on 28 June.
- AFRALO will hold the Joint AFRALO/AfrICANN Meeting 13:30-15:00 on 29 June focusing on the IANA Stewardship Transition and ICANN Accountability.
- NARALO's monthly meeting will take place 13:30-15:00 on 29 June. This meeting will focus on outreach strategy and activities and preparations for the NARALO General Assembly to be held in FY17.
- The RALO Secretariats meeting will be held 13:30-15:00 on 28 June. Agenda items include ATLAS II recommendations, the At-Large Review and other issues where RALO discussions and agreement are required.

Governmental Advisory Committee (GAC)

Olof Nordling / Karine Perset

Introduction & Selected GAC sessions

- The Governmental Advisory Committee (GAC) currently has 168 governments as members and 35 IGOs as observers

- The GAC has a full agenda Monday – Thursday, some session topics:
  - 2-character labels and country names as second level domains
  - GAC advice effectiveness
  - Privacy and proxy services
  - IGO acronyms protection
  - https://gacweb.icann.org/x/LwKMAg

..and more

- Participation in the afternoon cross-community sessions. *The GAC will also lead the session on Workload Scheduling and Management on Thursday,15:15 -16:45!*

- GAC Working Groups will advance their work :
  - Geographic Names – *Monday, 08:15 - 09:15*
  - Under-Served Regions Capacity Building session – *Monday, 11:30 -12:30*
  - Public Safety – *Tuesday, 08:15 - 09:15*
  - Human Rights & International Law – *Wednesday, 08:15 -09:15*
  - Review of GAC Operating Principles – *Wednesday, 13:45 -15:00*
  - NomCom Relations – *Thursday, 08:15 - 09:15*
- Meetings with other SO/ACs and CCT RT
- ….and Communique finalization on Thursday
- It's all in Room HALL A – welcome!

Root Server System Advisory Committee (RSSAC)

Steve Sheng/Carlos Reyes

ICANN

RSSAC

Structure

- 12 Board-appointed Root Server Operator Representatives
- 3 Root Zone Management Partner Representatives (NTIA, IANA Functions, Verisign as root zone maintainer)
- 3 Liaisons (from the IAB and SSAC, to the ICANN Board)

Work

- Co-Chairs
  - Tripti Sinha, University of Maryland, RSO D-root
  - Brad Verd, Verisign, RSO A/J-roots
- Meets monthly via teleconference and in person at ICANN public meetings
- Caucus of DNS experts

Caucus

74 Technical Experts: 47% do *not* work in root server operations

Published RSSAC001; RSSAC002 v1 , v2, v3; and RSSAC003 in past two years.

To apply, please send a statement of interest to: rssac-membership@icann.org

Publications since ICANN55

Advisory on Measurements of the Root Server System (v3)	• Updated RSSAC002v2 with several clarifications based on implementation experience • The implementation of these measurements helps meet the requirements of RSSAC001 (Advisory on Service Expectations of Root Server Operators) • Provides valuable data regarding the ongoing expansion of the root zone

Current Work

Root Server System Naming Scheme Work Party	• Document the technical history of the names assigned to individual root servers • Consider changes to the current naming scheme and perform risk analysis • Make a recommendation to root server operators, root zone management partners and ICANN
History of the Root Server System	• Chronological history of the root server system from its origin to its current structure, divided into historical periods • Description the current operators, and their histories in operating the root service, provided by each operator organization

Security & Stability Advisory Committee (SSAC)

Steve Sheng / Julie Hedlund

ICANN

Publications Since ICANN55

- [SAC082]: Response to the Request for Advice Relating to the 2012 New Generic Top Level Domain (gTLD) Round (May 2016)
- [SAC081]: SSAC Response to Request for Input on Next Generation gTLD RDS to Replace WHOIS Policy Development Process (PDP) (May 2016)

DNSSEC Workshop in Helsinki

When: Monday, 27 June – 09:15 to 13:30

Description: DNSSEC deployment is continuing to move forward. This session is a public presentation and discussion with those actively engaged in the deployment of DNSSEC.

Why It's Important: Registries, Registrars, ISP's and others who plan to deploy DNSSEC services will benefit from the presentation and discussion of the deployment experience.

Who Should Attend: Anyone with an interest in the deployment of DNSSEC, particularly registry, registrar and ISP representatives with technical, operational, and strategic planning roles.

Question and Answer Session

Press *1 to join the queue &
ask the
Policy Development Support Team
your questions.

How to Stay Updated

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⊙ **ICANN56 Mobile Application**
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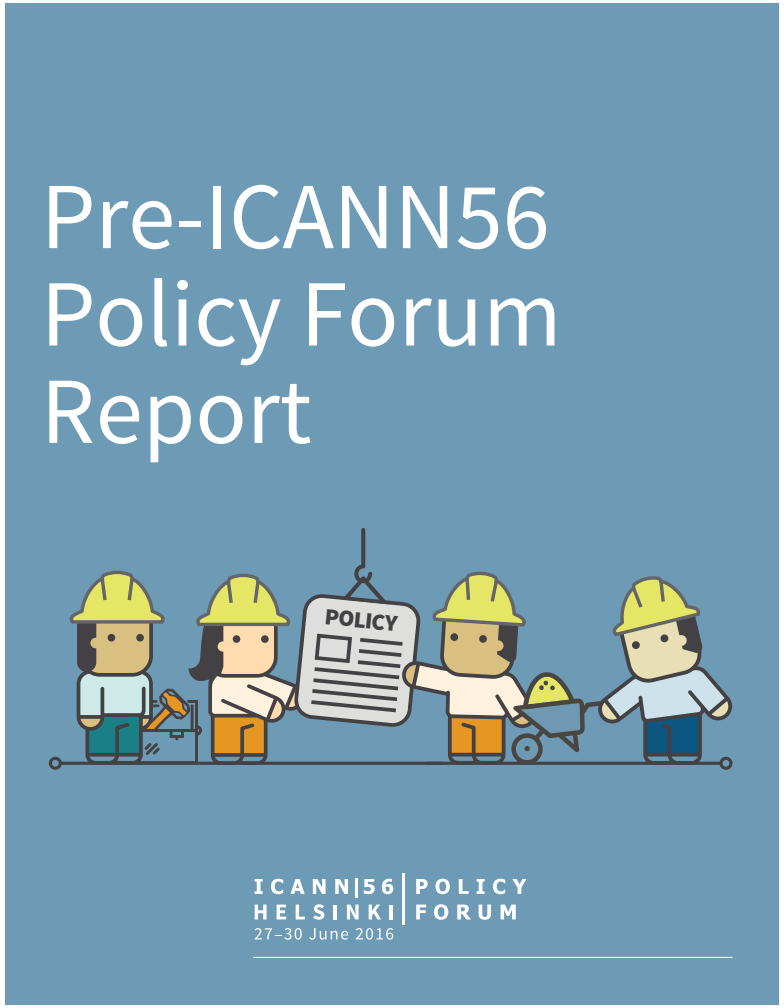
⊙ **Communication Tools Classroom Session & Working Group Newcomer Webinar**
Two newcomer sessions are held at the start of every PDP Working Group. A communication tools classroom (staff-led) and a Working Group Newcomer Webinar (community-led) aimed at newcomers in the GNSO Working Group setting. Next Session: July 2016, date TBC. Please contact nathalie.peregrine@icann.org for more details

Pre-ICANN56 Policy Forum Report



Prepare for ICANN56 with briefings on the various cross-community topics as well as updates from the Supporting Organizations and Advisory Committees.

The Pre-ICANN56 Report will include:

- What to Expect at ICANN56
- Background Information
- Session Details

Visit the ICANN56 page from 21 June 2016 onwards:
https://meetings.icann.org/en/helsinki56

And look for the **Post-ICANN56 Policy Forum Report** with results and a recap of the meeting in early July!

Policy Development Support Staff

29 FTE

Subject Matter Experts and SO/AC Support Services

5 Time Zones across 9 Countries

14 Languages

- Afrikaans
- Chinese
- Dutch
- English
- Finnish
- French
- German
- Greek
- Italian
- Japanese
- Spanish
- Swedish
- Turkish

Thank you & See You in Helsinki!

Recordings & slides from this webinar will be posted on the Policy Presentations Space on the ICANN website – see link in Adobe Connect Pod and below:

https://www.icann.org/resources/pages/presentations-2012-08-27-en