

Export Compliance

An Export Control Classification Number (ECCN) is a specific alpha-numeric code used in the U.S. that identifies the level of export control for articles, technology and software exported from the participating states of the Wassenaar Arrangement, established in 1996.

Each participating state has its own classification procedure, but the system used in the U.S. is the most renowned and frequently used within the business sphere.

To find out which ECCN is assigned to a Kaspersky Lab product, please refer to the table below.

Wassenaar Arrangement.

ECCN/EAR's Assigned to Kaspersky Lab

Products	ECCN/EAR	Export License	CCATS
Kaspersky Internet Security	5D992.c/MMKT	NLR	SELF
Kaspersky Anti-Virus	5D992.c/MMKT	NLR	SELF
Kaspersky Internet Security - Multi-Device	5D992.c/MMKT	NLR	SELF
Kaspersky Total Security - Multi-Device	5D992.c/MMKT	NLR	SELF
Kaspersky Endpoint Security 10 for Windows	5D992.c/MMKT	NLR	SELF
Kaspersky Security Center 10	5D992.c/MMKT	NLR	SELF
Kaspersky Security 10 for Mobile	5D992.c/MMKT	NLR	SELF
Kaspersky Total Security for Business	5D992.c/MMKT	NLR	SELF
Kaspersky Endpoint Security for Business - Core	5D992.c/MMKT	NLR	SELF
Kaspersky Endpoint Security for Business - Select	5D992.c/MMKT	NLR	SELF
Kaspersky Endpoint Security for Business - Advanced	5D992.c/MMKT	NLR	SELF
Kaspersky Security 8.0 for SharePoint Server	5D992.c	NLR	SELF
Kaspersky Pure 3.0	5D992.c/MMKT	NLR	SELF
Kaspersky Security for Mac	5D992.c	NLR	SELF
Linux Mail Security 8.0	5D992.c/MMKT	NLR	SELF
Kaspersky Password Manager 5.0	5D992	NLR	SELF
Kaspersky Small Office Security	5D992/MMKT	NLR	SELF
Kaspersky Endpoint Security for Mac 8	EAR99	NLR	SELF
Kaspersky Anti-Virus for Novell NetWare 5.7	EAR99	NLR	SELF
Kaspersky Anti-Virus for Windows Servers Enterprise Edition 8	EAR99	NLR	SELF
Kaspersky Security for Microsoft Exchange Servers 8	EAR99	NLR	SELF
Kaspersky Anti-Virus for Lotus Domino 8.0	EAR99	NLR	SELF
Kaspersky Security for Virtualization 1.1	EAR99	NLR	SELF
Kaspersky Anti-Virus 6.0 R2 for Windows Servers	EAR99	NLR	G137267
Kaspersky Anti-Virus 6.0 R2 for Windows Workstations	EAR99	NLR	G137268
Kaspersky Anti-Virus 6.0 Second Opinion Solution	EAR99	NLR	G137266
Kaspersky Administration Kit 8.0a.	5D992.c	NLR	G137273
	b. mass market/ publicly available	N/A	G137273

Kaspersky Internet Security 2012	5D992.c	NLR	G138915
Tablet Security	EAR99	NLR	G137993
Kaspersky Endpoint Security 8 For Windows	5D992.c	NLR	G142107
Kaspersky Security Center	5D992.c	NLR	G142375
Kaspersky Mobile Security 9	5D992.c	NLR	G142363
Kaspersky Endpoint Security for Smartphones	5D992.c	NLR	SELF
Kaspersky Administration Kit 8	5D992.c	NLR	SELF
Kaspersky Anti-virus SDK	EAR99	NLR	SELF
Kaspersky Anti-virus SDK Updater	EAR99	NLR	SELF
Kaspersky SafeStream	EAR99	NLR	SELF
Kaspersky Endpoint Security for Linux version 8.0	5D992.c	NLR	SELF
Kaspersky Anti-Virus 8.0 for Linux File Servers	5D992.c	NLR	SELF
Kaspersky Internet Security 2013	5D992.c/MMKT	NLR	SELF
Kaspersky Anti-Virus 2013	5D992.c/MMKT	NLR	SELF
Kaspersky Pure 2.0	5D992.c/MMKT	NLR	SELF

The word "SELF" in the CCATS column is used to identify those products that are self-classified under either Part 740.17.b.1 or Part 742.15.b.1 of the U.S. Export Administration Regulations (EAR).

References

ECCN

Export Control Classification Numbers are assigned by the U.S. Department of Commerce, Bureau of Industry and Security (BIS) in the Commerce Control List (CCL). This is the fundamental designation indicating the controls applied to an item. Kaspersky Lab products typically fall under one of two ECCNs:

1. EAR99 - A category encompassing U.S.-origin items not elsewhere specified on the Commerce Control List (possibly including software without any encryption).
2. 5D992.c - A category encompassing certain enumerated, high-functioning encryption products and software that can be shipped without delay anywhere in the world (except for Cuba, Iran, North Korea, Sudan, and Syria) as No License Required ("NLR"). 5D002 products may generally also be shipped around the world under license exception ENC provided certain restrictions are met (including, for example, restrictions on certain high-end products and end-users in certain restricted countries).

LIC

Given the appropriate ECCN, you should consult the Export Administration Regulations (EAR) or legal counsel to determine the appropriate license type and eligible countries for export purposes[1]. The license types for Kaspersky Lab products are typically:

1. NLR - No License Required (To Most Countries)

Mass Market

U.S. government regulations allow mass market encryption products containing strong encryption to be exported under 5D992, NLR (see above) after a 30-day review. A "Mass Market" in this column indicates that the software has been reviewed by the U.S. Government and has been approved as mass market software.

CCATS

Commodity Classification Automated Tracking System. This is the code number assigned by BIS to products that have been classified using the Commerce Control List (CCL). Kaspersky Lab provides the CCATS number when applicable. We provide the CCATS because some encryption exports require post-shipment reporting to BIS and the CCATS information will assist with the reporting requirements, if required.

[1] A license is still required for exports and re-exports from the U.S. to countries controlled for anti-terrorism (AT) reasons (currently, Cuba, Iran, North Korea, Sudan, and Syria). A license is also still required for exports to end-users of concern, or in support of a prohibited end-user.